



Board of Trustees
Finance Committee Meeting
September 19, 2019





FINANCE COMMITTEE MEETING

Integral Care's mission is to improve the lives of people affected by behavioral health and developmental and/or intellectual challenges.

DATE: Thursday, September 19, 2019
TIME: 12:00 p.m.
PLACE: 1430 Collier St. – Board Room
Austin, Texas 78704

AGENDA

- I. Citizens' Comments** (Presentations are limited to 3 minutes) – page 1
- II. Approval of Finance Committee Minutes for August 26, 2019** – pages 2-6
- III. Discuss and Take Appropriate Action on Cash & Investment Report – August, 2019** (Weden) – pages 7-9
- IV. Discuss and Take Appropriate Action on Financial Statements and Amendments (if applicable) for the Period Ending August 31, 2019 (Subject to Audit)** (Weden, Thompson) – pages 10-19
- V. Discuss and Take Appropriate Action on Board Policy "Investment and Cash Management – 04.17" Including Authorized Broker/Dealer List** (Weden) – pages 20-31
- VI. Discuss and Take Appropriate Action on Extended Mobile Crisis Outreach Team** (Handley, Blyth) – pages 32-34
- VII. Update on MIS Security Changes** (Levi) – pages 35-41
- VIII. Update on EHR Implementation** (Weden, Ghazi) – pages 42-45
- IX. Update on New Milestones Foundation** (Hearon) – pages 46-52
- X. Update on Transformation 1115 Waiver** (Weden) – Verbal Report – page 53
- XI. Announcements** – page 54
- XII. New Business** – page 55
 - a. Identify Consent/Non-Consent Agenda Items
- XIII. Citizens' Comments** (Presentations are limited to 3 minutes) – page 56

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Note: The full packet is available on Integral Care's webpage at:
<http://integralcare.org/agendas-minutes/> ***(Under the heading "Finance Committee")***

I. Citizens' Comments

II. Approval of Minutes for August 26, 2019 Finance Committee Meeting



FINANCE COMMITTEE MINUTES

DATE: August 26, 2019
TIME: 12:00 p.m.
PLACE: 1430 Collier St. – Board Room
Austin, Texas 78704

MEMBERS PRESENT: Tom Young, Cynthia Ramos

MEMBERS ABSENT: Luanne Southern

Center staff were in attendance.

The meeting was called to order by Mr. Young at 12:00 p.m.

I. CITIZENS' COMMENTS

None.

II. APPROVAL OF FINANCE COMMITTEE MINUTES

No changes were noted to the minutes of the July 22, 2019 meeting. They stand approved as submitted.

III. DISCUSS AND TAKE APPROPRIATE ACTION ON CASH & INVESTMENT REPORTS FOR JULY, 2019

Mr. Young made a motion to recommend to the Board the acceptance of the Cash and Investment Report for July, 2019.

Ms. Ramos seconded.

Mr. Watson reviewed the Cash and Investment Report for July, 2019 stating the interest earned in 7/2019 was \$26,011 and total market and book value at end of month was \$20,157,440. He also stated there were no significant changes for the month. A comparison of FY 2018 vs. FY 2019 cash and investment amounts was reviewed. Discussion followed.

All were in favor. Motion carried.

IV. DISCUSS AND TAKE APPROPRIATE ACTION ON FINANCIAL STATEMENTS AND AMENDMENTS (IF APPLICABLE) FOR THE PERIOD ENDING JULY 31, 2019 (SUBJECT TO AUDIT)

Ms. Ramos made a motion to recommend to the Board the acceptance of the Financial Statements and amendments (if applicable) for the period ending July 31, 2019, subject to audit.

Mr. Young seconded.

Ms. Thompson discussed the following information from the schedules found in the packet for July, 2019: Financial Summary, YTD Budget Amendments, Balance Sheet General Operating Fund and Notes (Schedule N2), Statement of Revenue and Expenditures Combined (Schedule C1), Statement of Revenue and Expenditures Operations (Schedule C2) and Notes, and Capital Projects (Schedule C4). Ms. Thompson discussed in detail the information on the Summary page including: Total Annual Budget; YTD Net; Fund Balance; Unrestricted Fund Balance Days of Operation; 2019 Fund Balance Budget & Capital Outlay. Discussion followed.

All were in favor. Motion carried.

V. DISCUSS AND TAKE APPROPRIATE ACTION TO APPROVE FY 2020 BUDGET

Mr. Young made a motion to recommend to the Board the approval of the FY20 Budget.

Ms. Ramos seconded.

Ms. Thompson reviewed the following information in the packet:

- Unduplicated Individuals Served, and
- 5 Year Historical Revenue Trend

She stated that the trend information had an incorrect projection, so she will re-do and send out to the full Board. Discussion followed.

All were in favor. Motion carried.

VI. DISCUSS AND TAKE APPROPRIATE ACTION AUTHORIZING APPROVAL FOR THE BOARD CHAIR AND/OR CHIEF EXECUTIVE OFFICER AND/OR HIS DESIGNEE, AS APPLICABLE, TO SIGN THE HEALTH AND HUMAN SERVICES LOCAL MENTAL HEALTH AUTHORITY CONTRACT FOR FY 2020

Ms. Ramos made a motion to recommend to the Board to authorize approval for the Board Chair and/or Chief Executive Officer and/or his designee, as applicable, to sign the Health and Human Services local Mental Health Authority Contract for FY 2020.

Finance Committee Minutes/Integral Care

August 26, 2019

Page 3

Mr. Young seconded.

Ms. Thompson stated that the Performance Contract with Health and Human Services Commission (HHSC) is the primary contract between Integral Care and HHSC for provision of mental health services and designates Integral Care as the Local Mental Health Authority (LMHA). She then discussed the funding through HHSC. Discussion followed.

All were in favor. Motion carried.

VII. DISCUSS AND TAKE APPROPRIATE ACTION AUTHORIZING APPROVAL FOR THE BOARD CHAIR AND/OR CHIEF EXECUTIVE OFFICER AND/OR HIS DESIGNEE, AS APPLICABLE, TO SIGN THE HEALTH AND HUMAN SERVICES LOCAL INTELLECTUAL AND DEVELOPMENTAL DISABILITY AUTHORITY CONTRACT FOR FY 2020

Ms. Ramos made a motion to recommend to the Board to authorize approval for the Board Chair and/or Chief Executive Officer and/or his designee, as applicable, to sign the Health and Human Services local Intellectual and Developmental Disability Authority Contract for FY 2020.

Mr. Young seconded.

Ms. Thompson stated that the Performance Contract with Health and Human Services Commission (HHSC) is the primary contract between Integral Care and HHSC for provision of local intellectual and developmental disability authority services and designates Integral Care as the Local Intellectual and Developmental Disability Authority (LIDDA). She then discussed funding through HHSC. Discussion followed.

All were in favor. Motion carried.

VIII. UPDATE ON RESOURCE DEVELOPMENT

Ms. Eldridge reviewed the information for Quarterly Report Q4 found on pages 29-31 of the finance packet. She discussed the following categories: Grants Awarded, Grants Submitted/In progress, Grants to be Submitted, and Declined grants. Her next report will include a wrap-up for the entire year. She also stated that the ribbon cutting for Terrace @ Oak Springs is scheduled for September 23, 2019 from 10 a.m. – 12 p.m. with a community event scheduled for 6:00 – 8:00 p.m. Discussion followed.

IX. ANNOUNCEMENTS

Mr. Evans congratulated Mr. Weden on his appointment to the Texas HHSC Medicaid Managed Care Advisory Committee.

X. NEW BUSINESS

- Consent: Items III, VI, VII
- Non-Consent: Items IV, V

XI. CITIZENS' COMMENTS

None.

There being no further business, the meeting adjourned at 12:30 p.m.

Tom Young, Chair
Finance Committee

Date

Libby Worsham

Libby Worsham,
Executive Assistant




CASH AND INVESTMENT REPORT

For the month ended August 31, 2019

Cash and Cash Equivalents	Investments Market Value	Investments Book Value	Percentage of Portfolio	Monthly Interest	Interest Rates	Stated Maturity Term	Average Days to Maturity
<u>Chase Bank of Texas</u>							
Deposit Account	137,821	137,821	0.74%	218	0.57%	1	1
<u>Frost Bank</u>							
Deposit Account	16,050,297	16,050,297	86.73%	25,046	2.05%	1	1
<u>Short-term Investments:</u>							
TexPool Fund - Operating	2,318,537	2,318,537	12.53%	4,268	2.17%	1	1
TexPool Fund - Midelburg Trust	-	-	0.00%	-		1	1
Totals and Averages, current month	18,506,655	18,506,655	100.00%	29,532	2.05%	1	1
Totals and Averages, previous month	\$ 20,157,440	\$ 20,157,440	100.00%	\$ 26,011	2.24%	1	1
Totals and Averages, previous year	\$ 16,718,580	\$ 16,718,580	100.00%	34,503	1.93%	1	1
Benchmark: 90-day T-bill rate at 8/31/19 - 1.95%							

This report is in full compliance with the investment policy as established for the Investment Portfolio, the Public Funds Investment Act (Chapter 2256.023 and Generally Accepted Accounting Principles (GAAP).

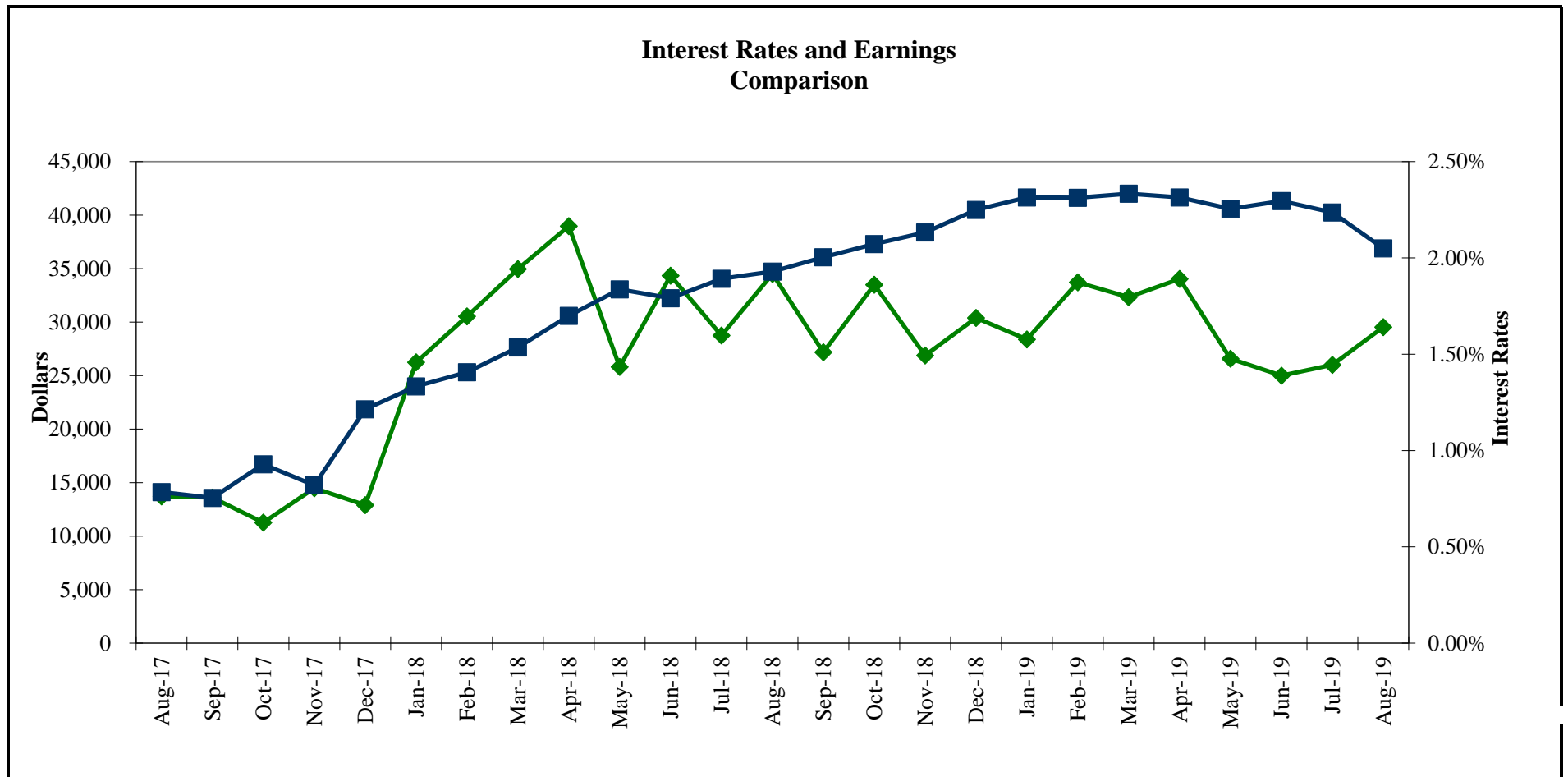
(1) - The period change is the result of changes in cash position and not fluctuations in market value of investments.


David A. Weden, CAO/CFO

9/16/19


Mark Watson, Director of Accounting

9/16/19



Interest Rate
Earnings

Aug'17
0.78%
13,721

Aug'18
1.93%
34,503

Aug'19
2.05%
29,532



For the month ended August 31, 2019

Cash and Cash Equivalents	Purchase Dates	Investments Book Values	Interest Rates	Maturity Dates	Average Days to Maturity
<u>Chase Bank of Texas</u>					
Chase Bank Depository Account	6/1/2007	\$ 137,821	0.57%		1
<u>Frost Bank</u>					
Frost Bank Depository Account	2/1/2017	16,050,297	2.05%		1
<u>Short-term Investments:</u>					
TexPool Fund - Operating	6/1/2007	2,318,537	2.17%		1
Total		\$ 18,506,655	2.05%		1

Integral Care
Financial Summary Period Ending August 31, 2019 (Preliminary, Not Final)

	Operations	Capital Projects	Total	
Total Annual Budget - Current	\$ 104,540,417	\$ 2,937,285	\$ 107,477,702	
Total Annual Budget - Original	97,003,401	3,440,000	100,443,401	<u>Notes YTD Net:</u>
Total Budget Amendments	\$ 7,537,016	\$ (502,715)	\$ 7,034,301	(1) Month of December included staff incentive pay of \$350K
	<i>Notes: (1) & (2)</i>	<i>(3) & (4)</i>		
Year-to-Date (YTD) Net	\$ (103,054)	\$ (298,980)	\$ (402,034)	(2) Month of July, added add'l MAC \$517K, qtrly claims: \$648K, \$885K, \$877K, will prepare one more qtr in FY2019, accrued June-Aug rev
Year-to-Date Planned Fund Balance Expense	(441,902)	(562,830)	(1,004,732)	(3) Month of March net proceeds \$265K sale of 8606 Colonial Drive
Year-to-Date Net (without FB planned loss)	\$ 338,848	\$ 263,850	\$ 602,698	(4) Months of July & August, Capital Projects, SAMHSA IT rev \$222K
Waiver Reserve	\$ 1,318,270		1,318,270	
Waiver FY2020 early metric reporting	2,888,039		2,888,039	Prior to board approved purchase facility on William Cannon Drive
Housing First Oaksprings, add'l fundraising, see Note (8)	926,902		926,902	
Other Revenues	\$ 5,133,211	\$ -	\$ 5,133,211	
Total Net, Preliminary, Not Final	\$ 5,030,157	\$ (298,980)	\$ 4,731,177	

	Fund Balance Category	2018 Ending Fund Balance	FY2019 YTD Net Operations	FY2019 YTD Fund Balance
1) Fund Balance				
Operations	Unassigned	\$ 5,786,603	\$ (1,724,389)	
Waiver	Assigned	13,413,156	1,318,270	
Fiscal Year to Date Net Operations			\$ (103,054)	
Housing First Oaksprings, add'l fundraising, see Note (8)			\$ 926,902	
Sale of 8606 Colonial \$265,123 & S.Lamar renovation (\$1,273)			\$ 263,850	
		\$ 19,199,759	\$ 681,579	\$ 19,881,338
Waiver DY7 Revenue (Reserve 2019), Capital Outlay	Committed	2,537,285	(562,830)	1,974,455
Subtotal				21,855,793
Waiver FY2019			2,888,039	2,888,039
Oaksprings Housing First, FY2019, see Note (6)	Nonspendable		1,724,389	1,724,389
Oaksprings Housing First, FY2018, see Note (7)	Nonspendable	4,640,995		4,640,995
Total Fund Balance		\$ 26,378,040	\$ 4,731,177	\$ 31,109,217
	Notes:	(5)	(6)	

2) Unrestricted Fund Balance Days of Operation	
FY2019 YTD Fund Balance Operations & Waiver	\$ 21,855,793
Fund Balance Operations Balance	(52,864)
FY2019 YTD Adjusted Fund Balance	\$ 21,802,930
FY2019 YTD Average Daily Expense	\$ 263,618
YTD Days of Operation	83
The unused portion Waiver reserve from FY2018 fund balance added to available fund balance to calculate days of operation	

Notes, Fund Balance:

- (5) 2018 Ending Fund Balance: \$1,904,486 accrued compensated absences + \$26,378,040 = \$28,282,526 = FY2018 audit pg. 41
- (6) Month of February, transferred \$1.7 to Housing First LP, changed financials to total amounts of notes receivable as "nonspendable" fund balance, therefore, reduced the days of
- (7) The \$6,365,384 (\$4,640,995 + \$1,724,389) will remain designated as "nonspendable" in audit with footnote of collected fundraising included in "Unassigned" fund balance
- (8) Oaksprings Housing First, Integral Care cash transfer total \$6,365,384. Fundraising Collected, FY2018 \$2,975,918 and FY2019 \$926,902, total \$3,902,819.

3) 2019 Fund Balance Budget & Capital Outlay:	Annual Budget	Used to Date	Budget Balance
Committed Fund Balance - Waiver DY7 Revenue:			
Capital Outlay:			
IT (SAMHSA revenue \$221,861)	\$ (2,097,285)	\$ (78,020)	\$ (2,019,265)
Facilities Consultant	(250,000)	(248,339)	(1,661)
Rundberg Build-Out	(190,000)	(236,472)	46,472
Total Committed Fund Balance, Waiver DY7	\$ (2,537,285)	\$ (562,830)	\$ (1,974,455)
Net Sale Proceeds Colonial Drive & S. Lamar Build Out		\$ 263,850	
Total Capital Outlay	\$ (2,537,285)	\$ (298,980)	\$ (1,974,455)
Note: SAMHSA CCBHC contract funds \$400K IT budget = total capital outlay budget \$2,937,285			
Unassigned Fund Balance:			
Infant Parent Program, Early Childhood Intervention see Note (9)	\$ (201,260)	\$ (148,396)	\$ (52,864)
UTDMS - Integrated Practice Units (IPU)	(192,951)	(293,505)	
Total Unassigned Fund Balance - Operations	\$ (394,211)	\$ (441,902)	\$ (52,864)
Total Planned Fund Balance Use in FY2019	\$ (2,931,496)	\$ (1,004,732)	\$ (2,027,318)

- (9) ECI contract increased by \$39,140, same amount reduced fund balance designation budget

FISCAL YEAR 2019 YTD BUDGET AMENDMENTS	Budget - Revised	Budget - Prior Monthly	Total Budget Revisions	FTE Budget Changes
<u>Month of August Budget Amendments:</u>				
Travis County System of Care	841,599	715,342	126,257	
ECI - Contract Increase	670,224	631,084	39,140	
Fund Balance Unassigned - ECI	394,211	433,351	(39,140)	
All Other August Amendments			(16,397)	1.00
Total August Budget Amendments			109,860	
<u>Expanded Mobile Crisis Outreach Team (EMCOT)</u>				
City of Austin EMCOT	1,147,229	-	1,147,229	
Travis County EMCOT	764,819	-	764,819	
EMCOT	1,912,048	-	1,912,048	(1.78)
Reserve-Unearned Cost Reimb (EMCOT contract beg 10/1)			(115,000)	
<u>City of Austin</u>				
Interlocal Agreement - Projects for Assistance in Transition from Homelessness (PATH) Expansion	1,998,591	1,868,591	130,000	2.00
Downtown Alliance Community Court (DACC)	261,669		261,669	
City of Austin Rapid Rehousing	400,000		400,000	1.00
City of Austin (excludes EMCOT Total)			791,669	3.00
<u>Travis County</u>				
Travis County ThriveCare	125,000		125,000	
<u>SAMHSA</u>				
SAMHSA Mental Health Awareness Training (MHAT)	125,000	-	125,000	1.15
SAMHSA Amplify Care Through CCBHC (Certified Community Behavioral Health Clinic)	2,000,000	-	2,000,000	15.50
SAMHSA Clinical High Risk Psychosis (CHR-P)	400,000	-	400,000	3.35
SAMHSA	2,525,000	-	2,525,000	20.00
<u>AISD</u>				
CCC In-School	420,000	300,000	120,000	
AISD In-School Program	683,000	400,000	283,000	
DSHS Integrated Care in Schools (HB13)	856,972	500,000	356,972	
FFS	304,050	83,000	220,612	
IN-SCHOOL	2,264,022	1,283,000	980,584	10.50
<u>HHSC DSHS MH</u>				
DSHS Residency Program	116,667	70,000	46,667	
DSHS - Consumer Operated Services	181,500	71,500	110,000	
DSHS MH First Aid	142,000	50,000	92,000	
Reserve - Unearned FFS Contract Max-MHFA			(60,000)	
HHSC Suicide Care Pilot Project (regional expansion)	401,200		401,200	1.60
DSHS-MH			589,867	
<u>Other</u>				
Lifeworks	120,000		120,000	
UT DMS (SAMHSA) Integrated Community Recovery	209,942		209,942	3.10
TCOOMMI (Adult & Juvenile)	159,185		159,185	
Waiver, Committed Fund Balance	\$ 2,537,285	3,763,340	(1,226,055)	
All Other Amendments (line items < \$100K)			852,201	11.82
Total FYTD Budget Amendments, May 2019			\$ 7,034,301	49.24
Original Budget			\$ 100,443,401	917.16
Current Budget, May 2019			\$ 107,477,702	966.40

Balance Sheet - General Operating Fund - Schedule N2
As of 08/31/2019

	Unaudited Beginning Balance 9/01/2018	Prior Period Balance 07/31/2019	Current Period Balance 08/31/2019	Notes	Current Period Change	Year To Date Change	Current Period % Change	Year To Date % Change
Schedule N2 Balance Sheet Gen. Op. Fund								
Assets								
Current Assets								
Cash	\$ 16,739,381	\$ 20,164,678	\$ 18,514,250		\$ (1,650,428)	\$ 1,774,869	(8.18%)	10.60%
Accounts Receivable	14,124,052	17,466,647	12,933,177	(1)	(4,533,470)	(1,190,874)	(25.96%)	(8.43%)
Deposits and Prepaids	794,319	520,341	768,384		248,043	(25,935)	47.67%	(3.27%)
Inventory	-	-	-		-	-		
Total Current Assets	\$ 31,657,752	\$ 38,151,666	\$ 32,215,811		\$ (5,935,854)	\$ 558,059	(15.56%)	1.76%
Noncurrent Assets								
Investment in Tejas	\$ -	\$ -	\$ -		\$ -	\$ -		
Investment in NMF	128,649	128,649	128,649		-	-	0.00%	0.00%
Long-term Note Receivable HFOS LP	-	6,365,384	6,365,384		-	6,365,384	0.00%	
Total Noncurrent Assets	\$ 128,649	\$ 6,494,033	\$ 6,494,033		\$ -	\$ 6,365,384	0.00%	4947.87%
Total Assets	\$ 31,786,401	\$ 44,645,699	\$ 38,709,844		\$ (5,935,854)	\$ 6,923,443	(13.30%)	21.78%
Liabilities								
Current Liabilities								
Interfund Payables	\$ (4,342,260)	\$ 259,196	\$ 233,547		\$ (25,648)	\$ 4,575,808	(9.90%)	(105.38%)
Accounts Payable	5,843,193	2,237,107	2,813,265	(2)	576,157	(3,029,928)	25.75%	(51.85%)
Deferred Revenue	1,103,456	11,195,477	1,747,595	(3)	(9,447,882)	644,140	(84.39%)	58.37%
Fringe Payables	999,016	2,751,201	913,244		(1,837,957)	(85,772)	(66.81%)	(8.59%)
Total Current Liabilities	\$ 3,603,405	\$ 16,442,981	\$ 5,707,652		\$ (10,735,329)	\$ 2,104,247	(65.29%)	58.40%
Noncurrent Liabilities								
Accrued Compensated Absences	\$ 1,804,957	\$ 1,989,022	\$ 1,892,976		\$ (96,046)	\$ 88,019	(4.83%)	4.88%
Total Noncurrent Liabilities	\$ 1,804,957	\$ 1,989,022	\$ 1,892,976		\$ (96,046)	\$ 88,019	(4.83%)	4.88%
Total Liabilities	\$ 5,408,361	\$ 18,432,003	\$ 7,600,628		\$ (10,831,375)	\$ 2,192,266	(58.76%)	40.53%
Fund Equity								
Fund Balance - Operations								
Fund Balance - Operations	\$ 19,199,759	\$ 19,199,759	\$ 19,199,759		\$ -	\$ -	0.00%	0.00%
Net Income - Operations	-	134,636	5,030,157		4,895,521	5,030,157	3636.11%	
Net Income - Capital Projects (non Dsg Funds)	-	263,850	263,850		-	263,850	0.00%	
FY2019 Cash Transfer - Housing First LP	-	(1,724,389)	(1,724,389)		-	(1,724,389)	0.00%	
Total Fund Balance - Operations	\$ 19,199,759	\$ 17,873,857	\$ 22,769,378	(4)	\$ 4,895,521	\$ 3,569,618	27.39%	18.59%
Fund Balance - Capital Project - Designated Funds								
Fund Balance - Capital Project - Designated Funds	\$ 2,537,285	\$ 2,537,285	\$ 2,537,285		\$ -	\$ -	0.00%	0.00%
Net Income - Capital Project - Designated Funds	-	(562,830)	(562,830)		-	(562,830)	0.00%	
Total Fund Balance - Capital Project - Designated Funds	\$ 2,537,285	\$ 1,974,455	\$ 1,974,455	(5)	\$ -	\$ (562,830)	0.00%	(22.18%)
Fund Balance - Restricted - HFOS								
Fund Balance - Restricted - HFOS	\$ 4,640,995	\$ 4,640,995	\$ 4,640,995		\$ -	\$ -	0.00%	0.00%
Net Income - Restricted - HFOS	-	-	-		-	-		
FY2019 Cash Transfer - Housing First LP	-	1,724,389	1,724,389		-	1,724,389	0.00%	
Total Fund Balance - Restricted - HFOS	\$ 4,640,995	\$ 6,365,384	\$ 6,365,384	(6)	\$ -	\$ 1,724,389	0.00%	37.16%
Total Fund Equity	\$ 26,378,040	\$ 26,213,696	\$ 31,109,217		\$ 4,895,521	\$ 4,731,177	18.68%	17.94%
Total Liabilities and Fund Equity	\$ 31,786,401	\$ 44,645,699	\$ 38,709,844		\$ (5,935,854)	\$ 6,923,443	(13.30%)	21.78%

BALANCE SHEET NOTES

Period Ending 8/31/2019

Note 1 Accounts Receivable, \$12,933,177:

3rd Party FFS A/R	\$ 1,068,414
3rd Party FFS A/R - Allowance	(357,733)
Sub-Total 3rd Party FFS A/R	\$ 710,680
Contracts Receivable	7,036,927
Contracts Receivable - Accrued Revenue (MAC, etc.)	781,368
Oak Springs LP (construction cost)	4,074,430
Employee Advances - Payroll Pay Period Conversion & Cobra	320,191
Rental Operations	9,581
Total Accounts Receivable	\$ 12,933,177

Note 2 Accounts Payable, \$2,813,265:

Accrued Accounts Payable (mainly Contract Provider Exp and DSHS/DADS prior years unspent cost reimb advances)	\$ 1,036,254
Accounts Payable	437,055
Retainage	1,334,336
Tenant Security Deposits	5,620
Total Accounts Payable	\$ 2,813,265

Note 3 Deferred Revenue, \$1,747,595:

HHSC DSHS (federal funds allocation received September 2019)	\$ (476,955)
HHSC DADS	106,776
St. David's (Herman Center, MHFA)	788,887
CCC	81,394
Episcopal Health Foundation	657,950
All Other (27 accounts)	589,543
Total Deferred Revenue	\$ 1,747,595

Note 4 Fund Balance Operations (includes Unassigned, Waiver Assigned) \$22,769,378:

Fund Balance Ending 8/31/2018 (includes Unassigned & Waiver)	\$ 19,199,759
FY2019 YTD Net Operations	(103,054)
FY2019 Colonial House Net Proceeds & Capital S.Lamar Buildout	263,850
FY2019 Cash Transfer Housing First LP	(1,724,389)
Waiver Reserve	1,318,270
Waiver FY2020 early metric reporting	2,888,039
Housing First Oaksprings, fundraising	926,902
Fund Balance Ending (Operations & Midelberg)	\$ 22,769,378

Note 5 Fund Balance Waiver DY7, FY2019 Reserve, \$1,974,455:

Fund Balance Ending 8/31/2018	\$ 2,537,285
FY2019 YTD DY7 Reserve Use	(562,830)
Total Waiver Fund Balance	\$ 1,974,455

Note 6 Fund Balance Nonspendable, Oak Springs Housing First, \$6,365,384:

Fund Balance Nonspendable, Oak Springs Housing First, Fund Balance Ending 8/31/2018	\$ 4,640,995
FY2019 Cash Transfer Housing First LP	1,724,389
Total YTD Fund Balance	\$ 6,365,384
Total Fund Balance	\$ 31,109,217

Statement of Revenues and Expenditures - Schedule C1 - Combined
08/01/2019 Through 08/31/2019

	Original Budget	Budget Revisions	Revised Budget	Current Month Actual	YTD Actual	YTD Budget	YTD Variance	Percent Variance
Schedule C1 - Combined								
REVENUES								
Local Funds								
City of Austin	\$ 5,364,678	\$ 2,098,639	\$ 7,463,317	\$ 542,905	\$ 6,480,723	\$ 7,463,317	\$ (982,594)	(13.17%)
Travis County	5,843,576	1,274,435	7,118,011	550,720	6,228,985	7,118,011	(889,026)	(12.49%)
Central Health	10,766,618	120,000	10,886,618	1,232,456	8,729,197	10,886,618	(2,157,421)	(19.82%)
Other Local	4,209,787	266,955	4,476,742	1,200,295	5,770,738	4,476,742	1,293,996	28.90%
Total Local Funds	\$ 26,184,659	\$ 3,760,029	\$ 29,944,688	\$ 3,526,376	\$ 27,209,643	\$ 29,944,688	\$ (2,735,045)	(9.13%)
State Funds								
DSHS Mental Health	\$ 31,209,855	\$ 1,049,346	\$ 32,259,201	\$ 2,855,279	\$ 30,371,685	\$ 32,259,201	\$ (1,887,517)	(5.85%)
DSHS Substance Abuse	2,251,968	(17,582)	2,234,386	238,826	2,736,932	2,234,386	502,546	22.49%
DADS	3,975,199	178,608	4,153,807	410,067	3,871,354	4,153,807	(282,454)	(6.80%)
TCOOMMI	1,812,913	138,947	1,951,860	138,631	1,801,613	1,951,860	(150,247)	(7.70%)
DARS (Early Childhood Intervention)	627,170	43,054	670,224	96,039	700,149	670,224	29,925	4.46%
Other State	176,510	-	176,510	12,338	143,018	176,510	(33,492)	(18.97%)
Total State Funds	\$ 40,053,615	\$ 1,392,373	\$ 41,445,988	\$ 3,751,181	\$ 39,624,750	\$ 41,445,988	\$ (1,821,238)	(4.39%)
Federal Funds								
Medicare/Medicaid/HMO	\$ 10,914,774	\$ 348,239	\$ 11,263,013	\$ 873,295	\$ 10,931,189	\$ 11,263,013	\$ (331,824)	(2.95%)
HCS/Tx Hm Lvg Waiver	264,167	1,157	265,324	21,815	250,753	265,324	(14,571)	(5.49%)
Other Federal	3,681,955	2,797,698	6,479,653	535,969	5,198,879	6,479,653	(1,280,774)	(19.77%)
Total Federal Funds	\$ 14,860,896	\$ 3,147,094	\$ 18,007,990	\$ 1,431,080	\$ 16,380,821	\$ 18,007,990	\$ (1,627,169)	(9.04%)
Waiver Funds								
1115 Waiver	\$ 15,147,540	\$ -	\$ 15,147,540	\$ 2,481,138	\$ 15,147,540	\$ 15,147,540	\$ -	0.00%
Total Waiver Funds	\$ 15,147,540	\$ -	\$ 15,147,540	\$ 2,481,138	\$ 15,147,540	\$ 15,147,540	\$ -	0.00%
Total REVENUES	\$ 96,246,710	\$ 8,299,496	\$ 104,546,206	\$ 11,189,775	\$ 98,362,754	\$ 104,546,206	\$ (6,183,452)	(5.91%)
EXPENDITURES								
Operating expenditures								
Salaries	\$ 52,044,465	\$ 4,036,139	\$ 56,080,604	\$ 4,466,078	\$ 52,620,567	\$ 56,080,604	\$ 3,460,037	6.17%
Fringe benefits	13,147,585	695,461	13,843,046	1,034,635	12,132,960	13,843,046	1,710,086	12.35%
Travel/Workshop	971,259	174,278	1,145,537	135,644	1,049,389	1,145,537	96,148	8.39%
Prescription Drugs & Medicine	336,115	1,624	337,739	74,605	457,173	337,739	(119,434)	(35.36%)
Consumable Supplies	384,193	32,735	416,928	26,087	315,206	416,928	101,722	24.40%
Contracts & Consultants	19,919,096	1,799,469	21,718,565	2,290,406	17,605,126	21,718,565	4,113,439	18.94%
Capital Outlay	3,216,412	(459,784)	2,756,628	33,974	547,391	2,756,628	2,209,237	80.14%
Furniture & Equipment	956,828	105,759	1,062,587	186,893	1,741,582	1,062,587	(678,995)	(63.90%)
Facility/Telephone/Utility	5,982,763	145,394	6,128,157	549,132	6,241,538	6,128,157	(113,381)	(1.85%)
Insurance Costs	364,126	15,532	379,658	31,133	361,293	379,658	18,365	4.84%
Transportation Costs	130,000	29,080	159,080	28,237	229,971	159,080	(70,891)	(44.56%)
Professional Fees	170,738	5,000	175,738	17,572	174,099	175,738	1,639	0.93%
Other Operating Costs	759,985	290,299	1,050,284	108,786	984,871	1,050,284	65,413	6.23%
Client Support Costs	2,059,836	163,315	2,223,151	199,111	2,058,451	2,223,151	164,700	7.41%
Total Operating expenditures	\$ 100,443,401	\$ 7,034,301	\$ 107,477,702	\$ 9,182,293	\$ 96,519,617	\$ 107,477,702	\$ 10,958,085	10.20%
Total EXPENDITURES	\$ 100,443,401	\$ 7,034,301	\$ 107,477,702	\$ 9,182,293	\$ 96,519,617	\$ 107,477,702	\$ 10,958,085	10.20%
Total Gain/Loss Operating before FB	\$ (4,196,691)	\$ 1,265,195	\$ (2,931,496)	\$ 2,007,482	\$ 1,843,138	\$ (2,931,496)	\$ 4,774,634	(162.87%)
Fund Balance								
Fund Balance	\$ 4,196,691	\$ (1,265,195)	\$ 2,931,496	\$ 2,888,039	\$ 2,888,039	\$ 2,931,496	\$ (43,457)	(1.48%)
Total Fund Balance	\$ 4,196,691	\$ (1,265,195)	\$ 2,931,496	\$ 2,888,039	\$ 2,888,039	\$ 2,931,496	\$ (43,457)	(1.48%)
Total Gain/Loss Operating With FB	\$ -	\$ -	\$ -	\$ 4,895,521	\$ 4,731,177	\$ -	\$ 4,731,177	

Statement of Revenues and Expenditures - Schedule C2 - Operations
08/01/2019 Through 08/31/2019

	Original Budget	Budget Revisions	Revised Budget	Current Month Actual	YTD Actual	YTD Budget	YTD Variance	Notes	Percent Variance
Schedule C2 - Operations									
REVENUES									
Local Funds									
City of Austin	\$ 5,364,678	\$ 2,098,639	\$ 7,463,317	\$ 542,905	\$ 6,480,723	\$ 7,463,317	\$ (982,594)	(1)	(13.17%)
Travis County	5,843,576	1,274,435	7,118,011	550,720	6,228,985	7,118,011	(889,026)	(2)	(12.49%)
Central Health	10,766,618	120,000	10,886,618	1,232,456	8,729,197	10,886,618	(2,157,421)	(3)	(19.82%)
Other Local	4,209,787	266,955	4,476,742	1,200,295	5,485,238	4,476,742	1,008,496	(4)	22.53%
Total Local Funds	\$ 26,184,659	\$ 3,760,029	\$ 29,944,688	\$ 3,526,376	\$ 26,924,143	\$ 29,944,688	\$ (3,020,545)		(10.09%)
State Funds									
DSHS Mental Health	\$ 31,209,855	\$ 1,049,346	\$ 32,259,201	\$ 2,855,279	\$ 30,371,685	\$ 32,259,201	\$ (1,887,517)	(4)	(5.85%)
DSHS Substance Abuse	2,251,968	(17,582)	2,234,386	238,826	2,736,932	2,234,386	502,546	(5)	22.49%
DADS	3,975,199	178,608	4,153,807	410,067	3,871,354	4,153,807	(282,454)	(6)	(6.80%)
TCOOMMI	1,812,913	138,947	1,951,860	138,631	1,801,613	1,951,860	(150,247)	(7)	(7.70%)
DARS (Early Childhood Intervention)	627,170	43,054	670,224	96,039	700,149	670,224	29,925		4.46%
Other State	176,510	0	176,510	12,338	143,018	176,510	(33,492)		(18.97%)
Total State Funds	\$ 40,053,615	\$ 1,392,373	\$ 41,445,988	\$ 3,751,181	\$ 39,624,750	\$ 41,445,988	\$ (1,821,238)		(4.39%)
Federal Funds									
Medicare/Medicaid/HMO	\$ 10,914,774	\$ 348,239	\$ 11,263,013	\$ 873,295	\$ 10,931,189	\$ 11,263,013	\$ (331,824)	(8)	(2.95%)
HCS/Tx Hm Lvg Waiver	264,167	1,157	265,324	21,815	250,753	265,324	(14,571)		(5.49%)
Other Federal	3,681,955	2,397,698	6,079,653	515,707	4,977,019	6,079,653	(1,102,635)	(9)	(18.14%)
Total Federal Funds	\$ 14,860,896	\$ 2,747,094	\$ 17,607,990	\$ 1,410,818	\$ 16,158,960	\$ 17,607,990	\$ (1,449,030)		(8.23%)
Waiver Funds									
1115 Waiver	\$ 15,147,540	\$ -	\$ 15,147,540	\$ 2,481,138	\$ 15,147,540	\$ 15,147,540	\$ -		0.00%
Total Waiver Funds	\$ 15,147,540	\$ -	\$ 15,147,540	\$ 2,481,138	\$ 15,147,540	\$ 15,147,540	\$ -		0.00%
Total REVENUES	\$ 96,246,710	\$ 7,899,496	\$ 104,146,206	\$ 11,169,512	\$ 97,855,394	\$ 104,146,206	\$ (6,290,812)		(6.04%)
EXPENDITURES									
Operating expenditures									
Salaries	\$ 52,044,465	\$ 4,036,139	\$ 56,080,604	\$ 4,466,078	\$ 52,620,567	\$ 56,080,604	\$ 3,460,037	(12)	6.17%
Fringe benefits	13,147,585	695,461	13,843,046	1,034,635	12,132,960	13,843,046	1,710,086		12.35%
Travel/Workshop	971,259	174,278	1,145,537	135,644	1,049,069	1,145,537	96,468		8.42%
Prescription Drugs & Medicine	336,115	1,624	337,739	74,605	457,173	337,739	(119,434)	(13)	(35.36%)
Consumable Supplies	384,193	32,735	416,928	26,087	315,206	416,928	101,722	(14)	24.40%
Contracts & Consultants	19,669,096	1,799,469	21,468,565	2,290,406	17,295,064	21,468,565	4,173,501	(15)	19.44%
Capital Outlay	26,412	42,931	69,343	13,712	179,133	69,343	(109,790)	(16)	(158.33%)
Furniture & Equipment	956,828	105,759	1,062,587	186,893	1,679,902	1,062,587	(617,315)	(17)	(58.10%)
Facility/Telephone/Utility	5,982,763	145,394	6,128,157	549,132	6,196,082	6,128,157	(67,925)		(1.11%)
Insurance Costs	364,126	15,532	379,658	31,133	361,293	379,658	18,365		4.84%
Transportation Costs	130,000	29,080	159,080	28,237	229,971	159,080	(70,891)		(44.56%)
Professional Fees	170,738	5,000	175,738	17,572	173,911	175,738	1,827		1.04%
Other Operating Costs	759,985	290,299	1,050,284	108,786	964,494	1,050,284	85,790		8.17%
Client Support Costs	2,059,836	163,315	2,223,151	199,111	2,058,451	2,223,151	164,700	(18)	7.41%
Total Operating expenditures	\$ 97,003,401	\$ 7,537,016	\$ 104,540,417	\$ 9,162,030	\$ 95,713,276	\$ 104,540,417	\$ 8,827,141		8.44%
Total EXPENDITURES	\$ 97,003,401	\$ 7,537,016	\$ 104,540,417	\$ 9,162,030	\$ 95,713,276	\$ 104,540,417	\$ 8,827,141		8.44%
Total Gain/Loss Operating before FB	\$ (756,691)	\$ 362,480	\$ (394,211)	\$ 2,007,482	\$ 2,142,118	\$ (394,211)	\$ 2,536,329		(643.39%)
Fund Balance									
Fund Balance	\$ 756,691	\$ (362,480)	\$ 394,211	\$ 2,888,039	\$ 2,888,039	\$ 394,211	\$ 2,493,828	(11)	632.61%
Total Fund Balance	\$ 756,691	\$ (362,480)	\$ 394,211	\$ 2,888,039	\$ 2,888,039	\$ 394,211	\$ 2,493,828		632.61%
Total Gain/Loss Operating With FB	\$ -	\$ -	\$ -	\$ 4,895,521	\$ 5,030,157	\$ -	\$ 5,030,157		

REVENUE BUDGET VARIANCE NOTES - OPERATIONS

Period Ending 8/31/2019

General Note: All contracts are budgeted at contract amount. In some instances we will budget a contra budget if we believe that we do not have the capacity to earn all funds, ie some DSHS SUD contracts.

Note 1: City of Austin - YTD Budget Variance (\$982,594):

City of Austin - Expanded Mobile Crisis Outreach Team (EMCOT)	\$ (186,386)	Contract Began 10/1/2018
City of Austin - Main Interlocal	(91,434)	Additional funds \$130K added to contract for PATH
City of Austin - Downtown Alliance Community Court (DACC)	(251,813)	New DACC contract \$261,669, begins 4/1/2019
City of Austin - Rapid ReHousing	(374,606)	New Contract, executed April
City of Austin - SAMSO	98,472	Contract term date 9/30, month of Sept \$72K > 1 mo bud
City of Austin - Project Recovery	(145,601)	
City of Austin - HIV Program	(66,668)	
City of Austin - All Other (6 line items)	35,442	Cost Reimbursement Contracts
Total City of Austin Budget Variance	\$ (982,594)	

Note 2: Travis County - YTD Budget Variance (\$889,026):

Travis County - Expanded Mobile Crisis Outreach Team (EMCOT)	\$ (123,342)	Contract Began 10/1/2018
Travis County - Correctional Complex	(313,291)	Increase contract, added APN prescriber
Travis County - SAMSO	(119,257)	
Travis County - Juvenile Probation	(87,739)	
Travis County - System of Care	(85,392)	
Travis County - All Other (8 line items)	(160,005)	
Total Travis County Budget Variance	\$ (889,026)	

Note 3: CCC-Central Health - YTD Budget Variance (\$2,157,421):

In-Patient / Respite	\$ (1,929,188)	Cost Reimbursement, contract end 9/30 spend DSHS 1st
CommUnity Care- EMERGE Program	(224,909)	Cost Reimbursement Contract
Medication Assisted Therapy (MAT)	31,808	
AISD In-School Counseling	(35,132)	Contract Began 10/1/2018
Total CCC / Central Health Budget Variance	\$ (2,157,421)	

Note 4: Other Local - YTD Budget Variance \$1,008,496:

St. David's Foundation - Oaksprings Housing First Construction	\$ 781,000
All Other line items	227,496
Total Other Local Budget Variance	\$ 1,008,496

Note 5: DSHS Mental Health - YTD Budget Variance (\$1,887,517):

Mental Health First Aid	\$ (80,100)	
Forensic ACT (SB292)	(500,998)	Includes one-time funds, can rollover to FY2020
Integrated Care In-School (HB13)	(471,812)	Includes one-time funds, can rollover to FY2020
Healthy Community Collaborative (HCC)	(316,576)	3 year contract, allows funds to rollover to FY2020
Residency Program	(116,667)	
Suicide Care Pilot Project (regional expansion)	(372,126)	Contract executed April 2019
All Other (4 line items)	(29,238)	
Total DSHS Mental Health Budget Variance	\$ (1,887,517)	

Note 6: Other DSHS Substance Use Disorder \$502,546:

DSHS Opioid	\$ (120,737)
All Other (13 line items)	(392,046)
Contra Reserve Budget Unearned FFS Contract Max	1,015,329
Total DSHS Substance Use Disorder Budget Variance	\$ 502,546

Note 7: DADS (\$282,454):

Transition Support Team	\$ (140,404)
All Other (5 line items)	(142,050)
Total DADS Budget Variance	\$ (282,454)

Note 8: TCOOMMI (\$150,247):

Adult	\$ (150,247)
Juvenile	-
Total TCOOMMI Budget Variance	\$ (150,247)

Note 9: Medicare / Medicaid / HMO (\$331,824):

Other Medicaid	\$ (12,587)
Substance Use Disorder	(2,507)
Case Management	39,216
Rehab	(538,631)
Medicare	46,919
IDD Service Coordination	(109,234)
Contra Reserve Budget Unearned FFS Revenues	245,000
Total Medicare/Medicaid/HMO Budget Variance	\$ (331,824)

Note 10: Other Federal YTD Budget Variance (\$1,102,635)

SAMHSA - CCBHC (Certified Behavioral Health Clinic)	\$ (938,114)	New Award, contract began 9/30/2018
SAMHSA - CHR-P (Clinical High Risk Psychosis, similar to DSHS RA1SE)	(217,446)	New Award, contract began 9/30/2018
UT DMS (SAMHSA)	(195,825)	New Award, contract began 9/30/2018
SAMHSA - MHAT (Mental Health Awareness Training)	(63,261)	
MAC	328,554	
All Other (4 line items)	(16,543)	
Total Other Federal Budget Variance	\$ (1,102,635)	

Note 11: Fund Balance - Operations (\$2,493,828):

UT DMS IPU - Annual Budget (\$192,951)	\$ (192,951)
ECI Infant Parent Program - Annual Budget (\$201,260)	(201,260)
Waiver FY2020 early metric reporting	2,888,039
Total Fund Balance Budget Variance	\$ 2,493,828

EXPENSE BUDGET VARIANCE NOTES - OPERATIONS

Period Ending 8/31/2019

	<u>Note 12:</u>	<u>Note 13:</u>	<u>Note 14:</u>	<u>Note 15:</u>	<u>Note 16:</u>	<u>Note 17:</u>	<u>Note 18:</u>
Major Funding Types:	Salaries & Fringe Benefits	Prescription Drugs & Medicine	Consumable Supplies	Contracts & Consultants	Capital Outlay	Furniture & Equipment	Client Support
1.) Cost Reimbursement	\$ 4,017,577	\$ 11,254	\$ 37,432	\$ 2,279,204	\$ 120	\$ (193,419)	\$ 179,318
2.) FFS Contract Max	436,665	26,618	83	324,566	4,257	(14,958)	(544)
3.) DSHS Adult & Child / Housing	379,897	(156,717)	28,129	1,452,456	(67,204)	(241,667)	(10,664)
4.) DADS	99,940	-	8,783	364	(224)	(48,499)	(10,862)
5.) TxHmLvg	3,587	(204)	(358)	(2,053)	-	(2,493)	8,712
6.) Program Support & Community Collaboratives	36,980	(385)	392	221,823	(475)	(27,478)	(1,603)
7.) Admin / Authority	195,477	-	27,261	(102,859)	(46,264)	(88,801)	343
Total Expense (over)/under YTD Budget	\$ 5,170,123	\$ (119,434)	\$ 101,722	\$ 4,173,501	\$ (109,790)	\$ (617,315)	\$ 164,700

Major Funding Category Notes YTD Budget Variances \$100,000 & >:

Note 13 Prescription Drugs & Medicine - DSHS Adult & Child / Housing

Pharmacy, Class A Retail	\$ (103,370)
All Other 8 Programs	\$ (53,347)
DSHS Adult & Child / Housing	\$ (156,717)

Note 15 Contracts & Consultants - Cost Reimbursement

CCC / Central Health In-Patient	\$ 1,775,022
Travis County System of Care	\$ 105,720
St. David's MHFA	77,890
City of Austin HIV	83,693
All Other 54 Programs	236,879
Cost Reimbursement	\$ 2,279,204

Note 15 Contracts & Consultants - FFS Contract Max

YES Waiver	\$ 340,640
All Other (7 programs)	(16,074)
FFS Contract Max	\$ 324,566

Note 15 Contracts & Consultants - DSHS Adult, Child, Hsg

Waiver Reserve (original EMCOT)	\$ 1,304,789
DSHS Reserve	93,674
All Other 27 Programs	53,993
DSHS Adult & Child / Hsg	\$ 1,452,456

Note 15 Contracts & Consultants - Prog Support & Comm Collaboratives

HHSC Residency Program	\$ 103,020
MAC Reserve	100,000
All Other 5 Programs	18,803
Prog Supp & Comm Collab	\$ 221,823

Note 15 Contracts & Consultants - Admin / Authority

Business Office - Contract Staff (salaries under	\$ (187,547)
Admin / Authority Reserve	138,009
All Other 11 Programs	(53,321)
Admin / Authority	\$ (102,859)

Note 17 Furniture & Equipment - Cost Reimbursement

There are 56 Programs in this category	\$ (193,419)
Cost Reimbursement	\$ (193,419)

Note 17 Furniture & Equipment - DSHS Adult & Child / Housing

There are 28 Programs in this category	\$ (241,667)
DSHS Adult & Child / Hsg	\$ (241,667)

Note 18 Client Support - Cost Reimbursement

City of Austin Rapid Rehousing	\$ 225,000
All Other 36 Programs	(45,682)
Cost Reimbursement	\$ 179,318

Statement of Revenues and Expenditures - Schedule C4 - Capital Projects
08/01/2019 Through 08/31/2019

Schedule C4 - Capital Projects

REVENUES

Local Funds

Other Local

\$	-	\$	-	\$	-	\$	-	\$	285,500	\$	-	\$	285,500	0.00%
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Total Local Funds

\$	-	\$	-	\$	-	\$	-	\$	285,500	\$	-	\$	285,500	0.00%
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Federal Funds

Other Federal

\$	-	\$	400,000	\$	400,000	\$	20,263	\$	221,861	\$	400,000	\$	(178,140)	(44.53%)
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Total Federal Funds

\$	-	\$	400,000	\$	400,000	\$	20,263	\$	221,861	\$	400,000	\$	(178,140)	(44.53%)
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Total REVENUES

\$	-	\$	400,000	\$	400,000	\$	20,263	\$	507,361	\$	400,000	\$	107,361	26.84%
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EXPENDITURES

Operating expenditures

Travel/Workshop

\$	-	\$	-	\$	-	\$	-	\$	320	\$	-	\$	(320)	0.00%
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Contracts & Consultants

	250,000		-		250,000		-		310,062		250,000		(60,062)	(24.02%)
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Capital Outlay

	3,190,000		(502,715)		2,687,285		20,263		368,258		2,687,285		2,319,027	86.30%
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Furniture & Equipment

	-		-		-		-		61,680		-		(61,680)	0.00%
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Facility/Telephone/Utility

	-		-		-		-		45,456		-		(45,456)	0.00%
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Professional Fees

	-		-		-		-		188		-		(188)	0.00%
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Other Operating Costs

	-		-		-		-		20,377		-		(20,377)	0.00%
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Total Operating expenditures

\$	3,440,000	\$	(502,715)	\$	2,937,285	\$	20,263	\$	806,341	\$	2,937,285	\$	2,130,944	72.55%
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Total EXPENDITURES

\$	3,440,000	\$	(502,715)	\$	2,937,285	\$	20,263	\$	806,341	\$	2,937,285	\$	2,130,944	72.55%
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Total Gain/Loss Operating before FB

	(3,440,000)		902,715		(2,537,285)		-		(298,980)		(2,537,285)		2,238,305
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Fund Balance

Fund Balance

\$	3,440,000	\$	(902,715)	\$	2,537,285	\$	-	\$	-	\$	2,537,285	\$	(2,537,285)	(100.00%)
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Total Fund Balance

\$	3,440,000	\$	(902,715)	\$	2,537,285	\$	-	\$	-	\$	2,537,285	\$	(2,537,285)	(100.00%)
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Total Gain/Loss Operating with FB

\$	-	\$	-	\$	-	\$	-	\$	(298,980)	\$	-	\$	(298,980)
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FUND BALANCE NOTE

	Original Budget	Budget Revisions	Revised Budget	Prior Period Balance	Current Month Actual	YTD Actual	Budget Balance
IT	\$ 3,000,000	\$ (902,715)	\$ 2,097,285	\$ (78,020)	\$ -	\$ (78,020)	\$ 2,019,265
Facilities Consultant	\$ 250,000	\$ -	\$ 250,000	(248,339)	-	(248,339)	1,661
Rundberg build-Out	\$ 190,000	\$ -	\$ 190,000	(236,472)	-	(236,472)	(46,472)
Total Fund Balance Desg. Cap. Proj.	3,440,000	(902,715)	2,537,285	(562,830)	-	(562,830)	1,974,455

- FBal Desg Capital I

Other Capital Projects	\$	-	\$	-	\$	-	263,850	-	263,850	263,850
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Total Fund Balance - Non-Desg. Cap. Proj.		-		-		263,850	-	263,850	263,850
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- FBal Operations

Memorandum

To: Board of Trustees

From: David Weden, Chief Administrative Officer/Chief Financial Officer

Re: Discuss and Take Appropriate Action on Board Policy “Investment and Cash Management – 04.17” including Authorized Broker/Dealer List

Date: September 19, 2019

Background:

The Texas Public Funds Investment Act (Sec.2256.005(e)) requires that the governing body of an investing entity shall review its investment policy and investment strategies not less than annually.

Current Status:

Integral Care’s Investment and Cash Management Policy was last reviewed by Integral Care’s Finance Committee and Board of Trustees in December 2018. There are no proposed changes to the current policy.

As per the policy, Integral Care’s Chief Financial Officer (David Weden) and Accounting Services Director (Mark Watson) serve as the Investment Officers. The policy has been reviewed by the Investment Officers and by Linda Patterson of Patterson and Associates in order to ensure compliance with the Texas Public Funds Investment Act.

Recommendation:

Staff recommends the Finance Committee and Board approve the Investment and Cash Management Policy with no changes.

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04.17 BOARD OF TRUSTEES

Title: Investment and Cash Management

Section: Fiscal

Cross Reference: OP 04.31 – Banking Services Procedure

PURPOSE

The purpose of this investment policy is to comply with all laws and statutes governing the investment of public funds, including but not by way of limitation Chapter 2256 of the Texas Government Code (“Public Funds Investment Act” or “PFIA”), which require Integral Care to adopt a written investment policy regarding the investment of its funds and funds under its control. This Investment and Cash Management Policy (“Policy”) addresses the methods, procedures and practices that must be exercised to ensure effective and judicious fiscal management of Integral Care funds.

POLICY

It is Integral Care policy to administer and invest its funds as its highest public trust. Investments will provide the maximum security of principal invested through limitations and diversification while meeting Integral Care daily cash flow needs.

The receipt of a market rate of return will be consistent with the requirements for safety, diversification, liquidity and yield. Integral Care will comply with the Public Funds Investment Act and, as appropriate, with the Public Funds Collateral Act, Chapter 2257 of the Texas Government Code (“PFCA”). Investments shall be made with the primary objectives of:

- **Safety** and preservation of principal
- Maintenance of sufficient **liquidity** to meet operating needs
- **Public trust** from prudent investment activities
- Optimization of **interest earnings** on the portfolio

SCOPE

This Policy applies to all Integral Care funds, except pension and retirement funds. Integral Care may commingle funds into one or more pooled investment portfolios for efficiency and maximum investment opportunity while recognizing the unique needs of individual funds.

In addition to this Policy, fund investments will be governed by the requirements of any applicable trust indentures or contracts. Any new funds created by Integral Care, unless specifically exempted by the Integral Care Board of Trustees (“Board”), will be managed in accordance with this Policy.

OBJECTIVES AND STRATEGY

Integral Care shall manage and invest its cash with five primary objectives, listed in order of priority: **safety, liquidity, public trust, diversification, and yield (expressed as optimization of interest earnings)**. The safety of the principal invested always remains the primary objective. All investments shall be designed and managed in a manner responsive to the public trust and consistent with applicable state, federal and local law.

Integral Care shall maintain a comprehensive cash management program, which includes collection of account receivables, vendor payments in accordance with invoice terms, and prudent investment of available cash. Cash management is defined as the process of managing monies in order to insure maximum cash availability and maximum earnings on short-term investment of idle cash.

Safety [PFIA 2256.005(b) (2)]

Safety of principal is the foremost objective of Integral Care. Integral Care investments shall be undertaken in a manner that seeks to ensure the preservation of capital in Integral Care's overall investment portfolio ("Portfolio"). Funds will be invested in accordance with this Policy on a "buy and hold" basis. The authorized securities chosen, or pool(s) utilized, will be of the highest credit quality and marketability. The objective will be to mitigate credit and interest rate risk.

- Credit Risk – Integral Care will minimize credit risk, the risk of loss due to the failure of the issuer or backer of the investment, by:
 - Limiting investments to the safest types of investments.
 - Pre-qualifying the financial institutions and broker/dealers with which Integral Care will do business.
 - Diversifying the Portfolio so that potential losses on individual issuers will be minimized.
- Interest Rate Risk – Integral Care will minimize the risk that the interest earnings and the market value of investments in the Portfolio will fall due to changes in general interest rates, by:
 - Structuring the Portfolio so that investments mature to meet cash requirements for ongoing operations, thereby avoiding the need to liquidate investments prior to maturity.
 - Investing operating funds primarily in certificates of deposit, shorter-term securities, money market mutual funds, or local government investment pools functioning as money market mutual funds.
 - Diversifying maturities and staggering purchase dates to minimize the impact of market movements over time.

Liquidity [PFLA 2256.005(b)(2)]

The Portfolio will remain sufficiently liquid to meet all reasonably anticipated operating requirements with a maximum weighted average maturity of no more than twelve (12) months to protect liquidity. This is accomplished by structuring the Portfolio so that investments mature concurrent with cash needs to meet anticipated demands. Because all possible cash demands cannot be anticipated, a portion of the Portfolio will be maintained in interest bearing accounts or local government investment pools that offer same-day liquidity.

Public Trust

All participants in Integral Care investment process shall seek to act responsibly as custodians of the public trust. Integral Care's Chief Financial Officer and the Accounting Services Director have been designated as Investment Officers. Investment Officers shall avoid any transaction that might impair public confidence in Integral Care's ability to govern effectively.

Diversification [PFLA 2256.005(b)(3)]

Portfolio diversification includes maturity and market sector diversification. This also entails the utilization of a number of brokers and dealers to ensure access to balanced information. The Portfolio shall be diversified to protect against market and credit risk in any one sector. Diversification requirements can be met by utilizing an authorized investment pool.

Yield [PFLA 2256.005(b)(3)]

The Portfolio shall be designed with the objective of attaining a reasonable market yield throughout budgetary and economic cycles, taking into account Integral Care risks and Portfolio cash flow. Since the historical cash flow allows a weighted average maturity for the Portfolio of twelve (12) months, the Portfolio will use a benchmark of the one (1) year Treasury Bills to measure risk and performance levels. The maximum stated maturity for any individual security in the Portfolio shall not exceed three (3) years.

RESPONSIBILITY AND CONTROL

Delegation of Authority [PFLA 2256.005(f)]

Integral Care may utilize a registered investment adviser to assist in the investment program. The Investment Officers are responsible for all the aspects of the investment program and investment management decisions and activities. They will develop and maintain written procedures for the operation of the investment program that are consistent with this Policy. The Investment Officers are authorized to engage in investment transactions, deposit, withdraw, wire funds for investments, transfer and manage funds on behalf of Integral Care.

The Investment Officers may provide written authorization for one of Integral Care accounting employees to execute electronic fund transfers between Integral Care financial institution accounts. All participants in the investment process shall seek to act responsibly as custodians of the public trust.

Quality and Capability of Investment Management [PFIA 2256.005(b)(3)]

Integral Care shall provide periodic training in investments for the Investment Officers and other investment personnel through courses and seminars offered by professional organizations, associations, and other independent sources in order to ensure the quality and capability of investment management in compliance with the Public Funds Investment Act. An Investment Officer shall attend a training session not less than once each fiscal biennium (begins the first day of Integral Care fiscal year, September 1) from an independent source approved by the Finance Committee of the Board.

Training Requirement [PFIA 2256.008]

In accordance with Integral Care practice and the Public Funds Investment Act, designated Investment Officers shall attend an investment training session no less often than once every two years and shall receive not less than 10 hours of instruction relating to investment responsibilities. A newly appointed Investment Officer must attend a training session of at least 10 hours of instruction within twelve months of the date the officer took office or assumed the officer's duties.

The investment training session shall be provided by an independent source approved by the Finance Committee of the Board. For purposes of this Policy, an "independent source" from which investment training shall be obtained shall include a professional organization, an institution of higher education or any other sponsor other than a business organization with whom Integral Care may engage in an investment transaction.

Ethics and Conflicts of Interest [PFIA 2256.005(i)]

Investment Officers shall refrain from personal business activity that would conflict with the proper execution and management of the investment program, or that would impair their ability to make impartial decisions.

Investment Officers shall disclose any material interests in financial institutions with which they conduct business. They shall further disclose any personal financial/investment positions that could be related to the performance of the Portfolio.

An Investment Officer who has a personal business relationship with an organization seeking to sell an investment to Integral Care shall file a statement disclosing that personal business interest. An Investment Officer who is related within the second degree by affinity or consanguinity, as determined under Chapter 573 of the Texas Government Code, to an individual seeking to sell an investment to Integral Care shall file a statement disclosing that relationship. A statement required under this subsection must be filed with the Texas Ethics Commission, the Board and the Chief Executive Officer.

PRUDENCE [PFIA 2256.006]

The standard of prudence to be applied by the Investment Officer shall be the "prudent investor" rule. This rule states that "Investments shall be made with judgment and care, under prevailing

circumstances, that a person of prudence, discretion and intelligence would exercise in the management of the person's own affairs, not for speculation, but for investment, considering the probably safety of capital and the probably income to be derived." In determining whether an Investment Officer has exercised prudence with respect to an investment decision, the determination shall be made taking into consideration:

- The investment of all funds, or funds under Integral Care control, over which the officer had responsibility rather than a consideration as to the prudence of a single investment.
- Whether the investment decision was consistent with the written approved investment policy of Integral Care.

LIMITATION OF PERSONAL LIABILITY

The Investment Officers, when acting in accordance with the written procedures and this Policy and in accordance with the PFIA's quoted requirement of prudence, shall be relieved of personal liability in the management of the Portfolio provided that deviations from expectations for a specific security's risk or market price change or Portfolio shifts are reported in a timely manner and appropriate action is taken to control adverse market effects.

INTERNAL CONTROLS

The Investment Officers shall establish a system of written internal controls which will be reviewed annually with the independent auditor of Integral Care. The Investment Officers will maintain a cash flow forecasting process designed to monitor and forecast cash positions for investment purposes. The Investment Officers and any Integral Care investment adviser shall perform an annual review to assure compliance with the PFIA and this Policy.

The Investment Officers or investment adviser, if applicable, shall monitor no less frequently than weekly, the credit rating on all authorized investments in the Portfolio based upon independent information from a nationally recognized rating agency. If any security falls below the minimum rating required by Policy, the Investment Officers shall notify the Board of the loss of rating, conditions affecting the rating and possible loss of principal and the liquidation options available.

The Investment Officers or investment adviser, if applicable, shall monitor on no less than a weekly basis, the status and ownership of all banks issuing brokered CDs owned by Integral Care based upon information from the FDIC. If any bank has been acquired or merged with another bank in which brokered CDs are owned, the Investment Officers shall immediately liquidate any brokered CD which is above the FDIC insurance level.

AUTHORIZED INVESTMENTS [PFIA 2256.005(b)(4)(A)]

Acceptable investments under this Policy shall be limited to the instruments listed below. The investments are to be obtained in a manner which promotes diversity of market sector and maturity. The choice of high-grade government investments and high-grade money market instruments are designed to assure the marketability of those investments should liquidity needs arise. If additional types of securities are approved for investment by public funds by state statute, they will not be eligible for investment by Integral Care until this Policy has been amended and the Board adopts the amended version.

- A. Obligations of the United States Government, its agencies and instrumentalities excluding all mortgage-backed securities and not to exceed three years to stated maturity;
- B. Fully insured or collateralized depository certificates of deposit from a bank doing business in the State of Texas and under the terms of a written depository agreement with that bank and in compliance with the collateral provisions of this Policy.
- C. FDIC insured brokered Certificates of Deposit purchased from a broker or a bank in Texas, delivered versus payment to the Integral Care safekeeping agent, not to exceed one year to maturity. Before purchase, the Investment Officers must verify the FDIC Status of the bank (on www.2fdic.gov/idasp/main_bankfind.asp or equivalent) to assure that the bank is FDIC insured.
- D. AAA-rated, no-load, U.S. Securities and Exchange Commission ("SEC") registered money market funds, restricted to investments authorized by the PFIA which strive to maintain a \$1 Net Asset Value (NAV), in compliance with SEC Rule 2a-7.
- E. AAA-rated, constant-dollar Texas Local Government Investment Pools as defined by the PFIA and which strive to maintain a \$1 NAV, in compliance with SEC Rule 2a-7.
- F. Interest bearing accounts of banks doing business in Texas insured or collateralized in accordance with this Policy.
- G. General debt obligations of any US state or locality rated AA or higher by at least one nationally recognized rating agency and not to exceed two years to stated maturity.

Competitive Bidding Requirement [PFIA 2256.005(c)]

All securities, including certificates of deposit, will be purchased or sold only after three (3) offers or bids verify that Integral Care is receiving fair market price for the investment. Bids may be solicited orally, in writing, electronically, or in any combination of the above methods.

Delivery versus Payment [PFIA 2256.005(b)(4)(e)]

All security transactions, including collateral for repurchase agreements entered into by Integral Care shall be conducted on a delivery versus payment ("DVP") basis.

AUTHORIZED DEALERS AND INSTITUTIONS [PFIA 2256.025]

All investments made by Integral Care will be made through an Integral Care-approved broker or bank. The Board shall approve a list of brokers or dealers authorized to provide investment services to Integral Care. The list shall be approved by the Board on an annual basis and a current year's list is attached to this Policy as Exhibit B. Integral Care shall maintain a list of authorized

financial institutions to provide time and demand deposits but this list need not be approved by the Board.

The following criteria must be met by each authorized broker or dealer:

- Provision of an annual audited financial statement;
- Proof of certification by the Financial Industry Regulatory Authority (FINRA) including the firm's FINRA Central Registry Depository (CRD) number; and
- Proof of current registration with the Texas State Securities Board.

A copy of the Policy will be sent to every authorized broker/dealer.

Each pool with which Integral Care transacts business ("Pool") will be provided a copy of this Policy to assure that it is familiar with the goals and objectives of the investment program. Each Pool will be required to return a signed copy of the Certification Form substantially in the form required by the PFIA (attached to this Policy as Exhibit A) certifying that the Policy has been received and reviewed and that the Pool has put controls into place to prevent securities which are not Policy-compliant from being sold to Integral Care. Major changes to the Policy will require re-certification of the Policy by each Pool. [PFIA 2256.005(k-l)]

DIVERSIFICATION AND MATURITY LIMITATIONS [PFIA 2256.005(b)(4)(B)]

It is Integral Care policy to diversify its Portfolio to minimize risk or loss resulting from over-concentration of assets in a specific maturity, issuer, or class of securities. Diversification strategies shall be established and periodically reviewed. The Investment Officers shall be required to diversify maturities and, to the extent possible, to match investment with anticipated cash flow requirements. Matching maturities with cash flow dates will reduce the need to sell securities prior to maturity, thus reducing market risk.

The longer the maturity of investments, the greater their price volatility. Therefore, it is Integral Care policy to concentrate its Portfolio in shorter-term securities in order to limit principal risk caused by changes in interest rates.

Integral Care attempts to match its investments with anticipated cash flow requirements. Integral Care will not directly invest in securities maturing more than three (3) years from the date of purchase; however, the above described obligations, certificates, or agreements may be collateralized using longer dated investments.

SAFEKEEPING OF SECURITIES AND COLLATERAL

Securities Owned by Integral Care

State laws and prudent treasury management require that all Integral Care-owned securities be held in safekeeping by either a third party financial institution independent from the purchase or an Integral Care-designated depository. All securities will be settled DVP. To perfect DVP, the Integral Care depository used as the custodian will not be used as a broker for any transaction.

The Investment Officers shall authorize all safekeeping arrangements and an agreement of the terms executed in writing. The custodian shall be required to issue original safekeeping receipts to Integral Care describing each specific security, rate, description, maturity, CUSIP number, and other pertinent information.

Pledged Securities

All securities pledged to Integral Care for certificates of deposit or demand deposits shall be held by an independent bank outside the holding company of the pledging bank. The custodian shall be required to issue original safekeeping receipts to Integral Care describing each specific security, including market value. Each safekeeping receipt will be clearly marked that the security is pledged to Integral Care.

Collateral [PFCA 2257.023]

Securities pledged as collateral for time and demand deposits shall be limited to:

- Obligations of the U.S. Government, its agencies and instrumentalities including, but not limited to, mortgage-backed securities which pass the Federal Reserve's bank test, and
- Municipal obligations of any state or public entity rated A or equivalent by at least two (2) nationally recognized rating agencies.

All pledged securities will be held by an independent bank approved by Integral Care. An executed collateral agreement shall be executed under terms of the Financial Institutions Reform, Recovery, and Enforcement Act of 1989 as it may be amended from time to time ("FIRREA"). In order to anticipate market changes and provide a level of additional security for all funds, the collateralization level required will be 102% of the market value of the principal and accrued interest. The pledging bank shall be liable for monitoring and maintaining the collateral and margins daily. The custodian of the collateral shall provide a monthly report of all holdings directly to Integral Care.

Under state law, banks are authorized to propose a voluntary pooling of collateral for their collateral pledged to all public entities. Integral Care is authorized to utilize such pooling and the Board authorized their use, but the Investment Officers must evaluate the costs and risks of such a collateralization relationship. Integral Care is not required to utilize a collateral pool.

All collateral shall be subject to inspection and audit by the Investment Officers or Integral Care independent auditors.

PERFORMANCE EVALUATION AND REPORTING [PFIA 2256.023]

The Investment Officers shall submit a report to the Board no less frequently than quarterly, which complies with the PFIA, contains sufficient informant to permit an informed outside reader to evaluate the performance of the investment program and contains, at a minimum:

- Beginning and ending market value of the Portfolio by market sector and total Portfolio;
- Beginning and ending book value of the Portfolio by market sector and total Portfolio;
- Change in market value;

- Detail reporting on each asset (book, market, and stated maturity dates at a minimum);
- Average yield of the Portfolio for the period;
- Average yield of the Portfolio's benchmark for the period;
- Total earnings for the period; and
- Overall weighted average maturity of the Portfolio.

Integral Care or, as applicable, its investment adviser will obtain market prices from an independent source for all securities for reporting purposes.

The report will be prepared jointly by the Investment Officers and signed by the Investment Officers and all others involved in the investment activity.

DEPOSITORIES

Not less than every five (5) years, the Investment Officers will competitively bid the banking services of Integral Care. Services will be solicited from Integral Care's current financial institution and at least two other qualified institutions.

The banking services institution will be used for normal banking services including disbursements, deposits, custody, and safekeeping of securities. Other banking institutions from which Integral Care may purchase certificates of deposit will also be designated as a depository after they provide their last audited financial statements to Integral Care.

All depositories will execute a depository contract including terms for collateralization of funds above FDIC insured levels.

INVESTMENT POLICY ADOPTION BY Integral Care BOARD [PFLA 2256.005(e)]

This Policy containing Integral Care Investment Policy and Strategy shall be reviewed and adopted annually by the Board.

Initial Effective Date: November 30, 1996

Last Revised Date: ~~September 25, 2014, October 29, 2015, October 24, 2016,~~
~~September 28, 2017,~~ December 13, 2018

Approved: Hal Katz, Chair, Board of Trustees

Signature: Hal Katz

EXHIBIT A

INVESTMENT POLICY CERTIFICATION FORM
As required by Texas Government Code 2256.005(k)

Integral Care

_____ (the "Pool")

I, as registered principal or authorized representative for the Pool named above, do hereby certify that I have received and I have thoroughly reviewed the Investment and Cash Management Policy ("Policy") of Integral Care most recently revised on September 28, 2017.

I acknowledge and hereby certify that this Pool has implemented reasonable internal procedures and controls in an effort to preclude investment transactions conducted between this Pool and Integral Care that are not authorized by the Policy, except to the extent that this authorization is dependent on an analysis of the makeup of Integral Care entire portfolio or requires an interpretation of subjective investment standards.

Pool: _____

Signature: _____

Printed Name: _____

Title: _____

Date: _____

EXHIBIT B

Integral Care

Authorized Broker/Dealer List

The authorized broker/dealer list for Integral Care is shown below. Each of these firms, and the individual with each firm who is responsible for covering the account, is given Integral Care's current Investment and Cash Management Policy ("Policy"). Either Integral Care's Investment Officers or, as applicable, its investment advisor shall maintain the broker files for Integral Care.

When any material changes are made to the Policy, Integral Care will provide each authorized broker/dealer with a copy of the revised Policy.

- J.P. Morgan Chase
- Frost Bank
- Bank of America
- Merrill Lynch
- Mizuho Securities
- Morgan Stanley
- Nomura Securities
- Raymond James
- Stifel Nicolaus
- Edward D. Jones & Co.

VI. Discuss and Take Appropriate Action on Authorizing the Chief Executive Officer and/or His Designee to accept City of Austin Extended Mobile Crisis Outreach Team Award (Goal 2)

Dawn Handley

Sherry Blyth

Laura Wilson Slocum

Marisa Aguilar

Extended Mobile Crisis Outreach Team Funding

PROJECT SCOPE:

- Continue funding for existing Expanded Mobile Crisis Outreach Team (EMCOT)
- Co-locate EMCOT at 911 Call Center (4 fte)
- Telehealth (2.5 fte)
 - PD launch July 2019 followed by EMS launch in Sept. 2019

Award Information

- Funder: City of Austin
- Division: Crisis, Substance Use and Justice Initiatives
- Total Funds per year \$1,749,229
- Match Requirement: N/A
- Initial Contract: 10/1/19
- Renewal Possible: Ongoing City Budget
- Location: Travis County
- Existing Staff: 22 fte
- New Positions: 6.5 fte

VII. Update on MIS Security

Sunila Levi



Agency Technology Systems Security Status

September 2019

Business Value

- **Extremely valuable data sets** containing personal health information (PHI), personally identifiable information (PII), payment information etc. HIPAA requirements.



- **Attractive to cyber criminals** as the black market rate for medical information is much higher than the credit card numbers or bank account passwords.



- *Computer security, cybersecurity or information technology security is the protection of computer systems from the theft of or damage to their hardware, software, or electronic data, as well as from the disruption or misdirection of the services they provide - Wikipedia.*

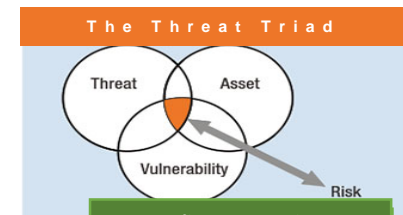
- **MIS Security efforts address:**

$$\text{Vulnerabilities (Internal)} \times \text{Threats (External)} = \text{RISK (General)}$$

- **Threats** - Phishing, Ransomware, Malware, Hacking etc.

- **Vulnerabilities** - Unsupported or end of life systems, Unpatched Library, Missing Access Strategy etc.

- **Strategy** – holistic systems and data security built on framework, policy, procedure, guidelines and standards.



Security Policy Framework



- Policies supporting Information program**
- Information security policy
 - Privacy policy
 - Acceptable use policy

Require Social Media guidelines

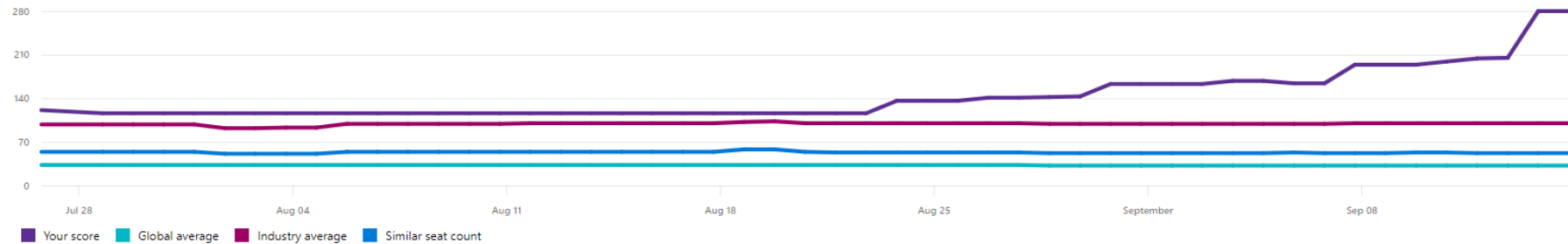
Require Industry standards such as Center for Internet Security
<https://www.cisecurity.org/cis-benchmarks/>

Increasing Email, One Drive, SharePoint Security

Overview Improvement actions History

▲ 159 points in 90 days

📅 90 days ▼ Total score ▼



13 items

Improvement action	Score change	Score on 07/27/2019	Score on 09/15/2019	Category
Require MFA for all users	▲ 18	0/30	18/30	Identity
Apply Data Loss Prevention policies	▲ 20	0/20	20/20	Data
Register all users for multi-factor authentication	▲ 11	0/20	11/20	Identity
Enable Microsoft Intune Mobile Device Management	▲ 20	0/20	20/20	Device
Create a Microsoft Intune Compliance Policy for iOS	▲ 10	0/10	10/10	Device
Create a Microsoft Intune Compliance Policy for Android	▲ 10	0/10	10/10	Device

Microsoft Secure Score

Overview Improvement actions History

Your secure score

Total score: 280 / 707

Microsoft Secure Score analyzes the protection state of your identities, data, devices, apps, and infrastructure.

Identity 101 / 223

Protection state of your Azure AD accounts and roles

Data 69 / 219

Protection state of your Office 365 documents

Device 110 / 245

Protection state of your devices

Apps 0 / 20

Protection state of your email and cloud apps

Infrastructure No data to show

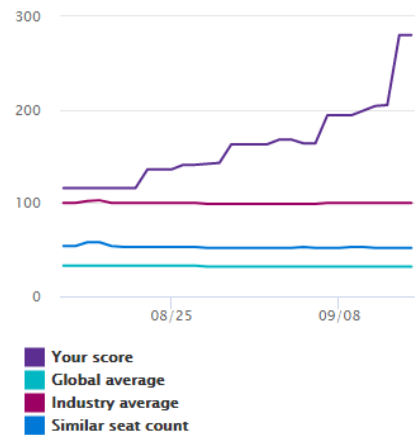
Protection state of your Azure resources

[Learn more about Microsoft Secure Score](#)
[Get your score using Microsoft Graph API](#)

History

▲ 164 points in 30 days

Your secure score over time and how you compare to other organizations.



[View history](#)

Improvement actions

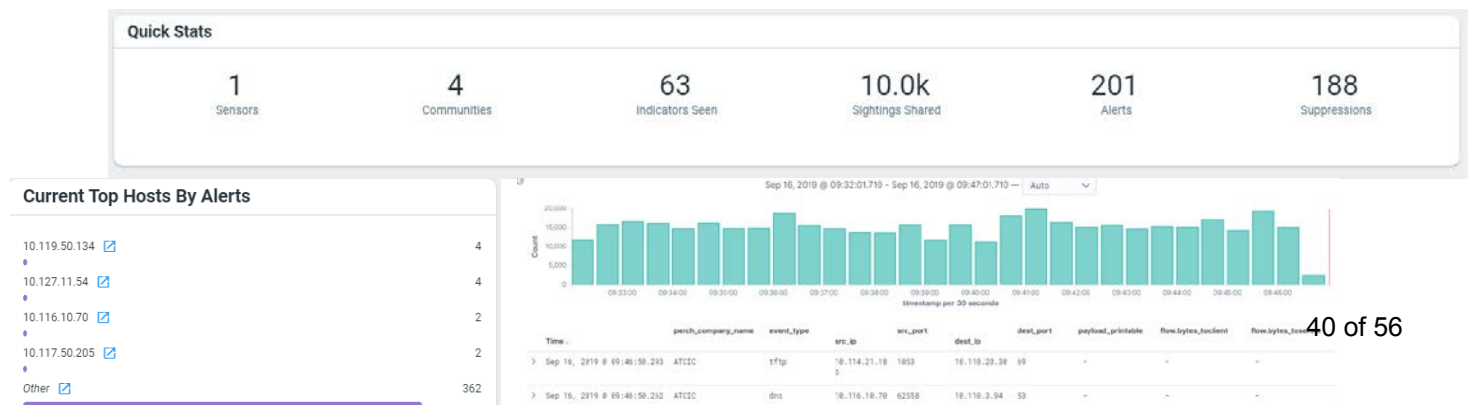
Not completed 55 Completed 12 Resolved through third-party 10 Ignored 0

Improvement action	Score	Category
Require MFA for Azure AD privileged roles	36/50	Identity
Require MFA for all users	18/30	Identity
Block Client Forwarding Rules [Not Scored]	0/20	Data
Set outbound spam notifications [Not Scored]	0/15	Data
Turn on mailbox auditing for all users [Not Scored]	0/10	Data
Register all users for multi-factor authentication	11/20	Identity
Activate Information Rights Management (IRM) services [N...	0/10	Data
Enable self-service password reset	0/5	Identity

[View all](#)

Onboarding Perch - October

- **24x7x365 Security Operations Center (SOC)** service - threat analysts triage every alert and escalate valid threats when detected, including recommendations during a response process.
- **All network traffic** prior is sent to the Perch SOC for malicious activity monitoring as part of Network Threat Monitoring and Perimeter Security.
- **Security Information and Event Management (SIEM)** for all agency devices ~ approx. 2500.
- Perch Security dashboard to review and manage alerts.
- Public and Private communities for threat sharing.



Takeaways

- Cyberattacks: Not a matter of if, but when.
- MIS is proactively working on threat aversion, vulnerability reduction and holistic technology systems and data risk management.
- Security projects to come:
 - GO project – ‘G’ to OneDrive & ‘O’ to SharePoint by end of the year.
 - Strengthening email and Microsoft tools security.
 - Improve access to high valuable agency assets – servers etc.

Thanks for supporting MIS!

VIII. Update on EHR Implementation

David Weden
Amina Ghazi

Netsmart Implementation: MyAvatar EHR Project Update

Start Date: 11/05/2018

Anticipated Go-Live: 12/01/2019

Executive Sponsor: David Weden

Project Manager: Amina Ghazi

Core Team Members: Brooke Martin, Martin Cook, Rob Dominguez, Jesse Sampson, Tera Stallard, Lesa Brown-Valades, Amanda Beane

Milestone	Owner	Target Date	% Complete	Status
Project Launch Phase	Netsmart & Core Team	12/06/18	100%	
Solution Review Phase	Netsmart & Core Team	4/29/19	100%	
Final Review and Validation Phase	Netsmart & Core Team	6/14/19	100%	
Go-Live Prep Event	Netsmart, Core Team, Program SMEs	6/26/19	100%	
Maintenance Training Phase	Netsmart, Core Team, Program SMEs	7/18/19	100%	
Integration Testing Phase	Netsmart, Core Team, Program SMEs	10/31/19	25%	
End User Training Phase	Netsmart, MIS, Program SMEs	11/25/19		
Go-Live	Netsmart & Integral Care	12/01/19		



Netsmart Implementation: MyAvatar EHR Project Update

Current Phase: Integration Testing

Project Achievements

Successful completion of initial Integration Testing week 9/9 – 9/13

- 46 testers, representing all divisions, participated in the initial round of testing last week, including subject matter experts and the Core Implementation Team. This testing group will expand as we progress through the full Integration Testing phase.
- As of 9/17
 - 1,639 clinical forms and processes have been tested (duplicated across testers)
 - Nearly 75% of all items tested were executed successfully
 - Issues identified for refinement/resolution are actively worked each day by both Integral Care and Netsmart leads

All data collection workbooks and build specifications across the MyAvatar EHR and 14 ancillary products have been finalized and submitted. While testing continues to identify areas for refinement, these changes are minor, and core build work is complete.

Cadence of both internal and Netsmart clinical form build work and QA was maintained, and all 150 clinical forms were completed prior to Integration Testing. They will continue to be tested and optimized throughout the testing phase.



Netsmart Implementation: MyAvatar EHR Project Update

Areas of Potential Risk	Mitigation
<p>Care Pathways 1115 Metric Definition: in order to increment our metric numerators and denominators in a manner congruent with what was previously approved by HHSC, Netsmart must complete specialized development and engineering work to allow Integral Care to leverage site specific custom data fields and custom clinical assessments. This work is projected to be delivered December 2019.</p> <p>Concurrent to this effort, Integral Care's One Data department will re-write DSRIP SQL code to map to MyAvatar data points. This will ensure that Integral Care can provide full DSRIP data across two different EHR systems for this demonstration year. In order to maintain the current implementation timeline, One Data will need to complete work by 10/31.</p>	<p>A financial impact analysis was submitted to Netsmart, who escalated the need for custom development and engineering to their leadership. Leads from both Netsmart and Integral Care monitor development progress on a weekly basis.</p> <p>One Data created a sprint plan for the SQL code re-write and is projected to hit the target date and maintain the current timeline.</p>
<p>Migration of Key Clinical Information: in order to ensure a smooth go-live, clinical staff will need access to unstructured data elements from previous psychiatric evaluations, narrative assessments, TRRs, and treatment plans.</p>	<p>Internal meetings with divisional leadership and program staff continue. Clinical documentation components that will be necessary for reference during go-live are being identified. This documentation will be available as either scanned PDF files or imbedded Crystal Reports (pulling from Cerner databases but available within the MyAvatar user interface for a streamlined user experience).</p>
<p>Training Plan: full training plans and support documentation are still in development.</p>	<p>Proposed Training Schedule</p> <ul style="list-style-type: none"> • Early-Mid October: Super Users • Mid-Late October: Supervisors • November: all End Users <p>Training materials are actively being created and training locations secured. Special consideration is being given to overnight/relief staff, contract staff, and external providers. Plans will also articulate resources and avenues for on-going needs post go-live.</p>

IX. Update on New Milestones Foundation

Mary Hearon



F O U N D A T I O N

Supporting Mental Health
Through Integral Care

New Milestones Foundation Report

FY 2018-2019 ★ 4th Quarter Report

September 19, 2019



FINANCIAL UPDATE

STATEMENT OF FINANCIAL POSITION – June 30, 2019

Current Year	Prior Year
June 2019	April 2018

TOTAL ASSETS	\$458,249	\$394,292
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STATEMENT OF ACTIVITIES

June 30, 2019

	YTD ACTUAL	FY 2018-2019 BUDGET	REMAINING BUDGET
TOTAL REVENUE	\$ 172,650	\$ 147,225	\$ 25,425
TOTAL EXPENSE	\$ 59,326	\$ 50,923	\$ 8,403
NET REVENUES	\$ 113,324	\$ 96,302	\$ 17,022
OTHER DISTRIBUTIONS			
Grants to Integral Care			
The Inn (2018 Gala)	\$ 50,000	\$ 50,000	\$ -
CTAAFSC (February 2019)	\$ 5,000	\$ 5,000	\$ -
Child & Family - Summer Activities Prog.		\$ 3,500	\$ 3,500
BEGINNING YEAR - OPERATIONS	\$ 399,925	\$ 399,925	\$ -
YTD - Net Revenue	\$ 58,324	\$ 37,802	\$ 20,522
ENDING NET ASSETS	\$ 458,249	\$ 437,727	\$ 20,522

ORGANIZATIONAL UPDATE

FY 2019 – 2020 ANNUAL BUDGET

- August 20, 2019 Approved by BOD

STRATEGIC PLAN

- July 16, 2019 FY 2020 – 2022 Strategic Plan – Approved by BOD

NAME CHANGE New Milestones Foundation → Integral Care Foundation

- June 4, 2019 → Re-branding process began in coordination with
Integral Care Communications Department
- October 17, 2019 Name change to be announced at Bridging the Gap gala

BOARD DEVELOPMENT

- August 20, 2019 Elected new board member – Alex Carlson (NY Life)

REVENUE

- Hal Katz Birthday Campaign YTD = \$1,904
- Susan Sager Dedication YTD = \$ 386

New Milestones
FOUNDATION

18th Annual
Bridging the Gap
gala

Thursday, October 17, 2019
6:30 - 9:00 p.m.

Omni Hotel Southpark
4140 Governors Row | Austin, TX 78744

rsvp

BY OCTOBER 7 AT
newmilestones.org

Business or Cocktail Attire



BRIAN CUBAN

KEYNOTE SPEAKER

The younger brother of Dallas Mavericks owner and entrepreneur Mark Cuban, is an addiction recovery advocate, Dallas-based attorney, and best-selling author.



WES HURT

2019 CHAMPION AWARD

Founder of Hey Cupcake and CLEAN Cause — a premium beverage company created to make a difference. 50% of the profits support recovery from alcohol and drug addiction.



DR. NICOLE CROSS

EMCEE

News anchor and host of 'In Focus Texas' on Spectrum News, Dr. Cross is an accomplished journalist, educator, mental health professional, entrepreneur, and media guest expert.



Proceeds from the gala directly support Integral Care's services for substance use disorders including substance use treatment, mental health support, medical support, case management, one-on-one emotional support, education and support groups, and life skills training.

SPONSORS: Joan & Kurt Wade | Husch Blackwell | Austin Regional Clinic
Gary Daniel | Frost Bank | Meadows Mental Health Policy Institute | Jill & John
McFarland | Southwest Strategies Group | Superior HealthPlan | Winstead PC

2019 Gala Chair: Lynley Prather

New Milestones Foundation | 1430 Collier St, Austin, TX 78704 | 512-440-4051

YTD REVENUE – 09/17/2019

CATEGORY	2019 Budget	AMOUNT RECEIVED	AMOUNT PLEDGED	Combined Rec'd + Pledged
Sponsor	\$ 72,500	\$ 28,000	\$ 15,000	\$ 43,000
Table Host	\$ 14,000	\$ 3,614	\$ 8,000	\$ 11,614
Tickets	\$ 5,250	\$ 372	\$ -	\$ 372
Donations	\$ 16,000	\$ 3,527	\$ -	\$ 3,527
Facebook Campaign	\$ 2,750	\$ -	\$ -	\$ -
Paddles Up	\$ 25,000	\$ -	\$ -	\$ -
Last Hero to Give	\$ 8,500	\$ -	\$ -	\$ -
TOTAL REVENUE	\$ 144,000	\$ 35,513	\$ 23,000	\$ 58,513
		GOAL	YTD 9-17-19	% of Goal
Pre-Event Goal		\$ 72,500	\$ 58,513	81%



Supporting Mental Health
Through Integral Care

Presented by:

Mary Gatlin Hearon
Director

mary.hearon@integralcare.org
512-440-4051

X. Update on Transformation 1115 Waiver (Verbal Report)

David Weden

XI. Announcements

XII. New Business

- **Identify Consent/Non-Consent Agenda Items**
 - **Consent: Items III, V, VI**
 - **Non-Consent: Items IV**
 - **Item IX – New Milestones Foundation Presentation to Board**

XIII. Citizens' Comments