



## **FINANCE COMMITTEE MEETING**

*Integral Care's mission is to improve the lives of people affected by behavioral health and developmental and/or intellectual challenges.*

**DATE:** Monday, June 25, 2018  
**TIME:** 12:00 p.m.  
**PLACE:** 1430 Collier St. – Board Room  
Austin, Texas 78704

### **AGENDA**

- I. Citizens' Comments** (Presentations are limited to 3 minutes)
- II. Approval of Finance Committee Minutes for April 23, 2018** – pages 1-4
- III. Discuss and Take Appropriate Action on Cash & Investment Reports – April and May, 2018** (Weden) – pages 5-10
- IV. Discuss and Take Appropriate Action on Financial Statements and Amendments (if applicable) for the Period Ending May 31, 2018 (Subject to Audit)** (Weden, Thompson) – pages 11-20
- V. Discuss and Take Appropriate Action on the Approval of Electronic Health Record Vendor** (Weden) – pages 21-34
- VI. Discuss and Take Appropriate Action on the Approval of Liability Insurance Carriers to Include Property, Liability, Crime, Business Automobile Policy, Directors and Officers Liability Policy, and Workers' Compensation Policy for FY 2019 and Authorize the Chief Executive Officer or His Designee to Execute Contract Documents** (Weden) (To be distributed at meeting) – page 35
- VII. Update on Fiscal Year 2018 Business Plan** (Weden) – page 36
- VIII. Report on Three Recent Incidents within Management Information Services** (Weden, Ball) – page 37-40
- IX. Update on Resource Development** (Eldridge) – pages 41-42
- X. New Milestones Foundation Report** (Hearon) – pages 43-52
- XI. Update on Transformation 1115 Waiver** (Macakiage) – Verbal Report – page 53
- XII. Announcements** – page 54

**XIII. New Business** – page 55

- Identify Consent/Non-Consent Agenda Items
- Proposed Budget Work Session Date – Thursday, August 16<sup>th</sup> at 5:00 p.m.

**XIV. Citizens' Comments** (Presentations are limited to 3 minutes) – page 56

***Integral Care is committed to compliance with the Americans with Disabilities Act. For reasonable accommodations, please call 512-440-4002 for information.***

***Note: The full packet is available on Integral Care's webpage at:***  
<http://integralcare.org/agendas-minutes/> ***(Under the heading "Finance Committee")***



## FINANCE COMMITTEE MINUTES

**DATE:** April 23, 2018  
**TIME:** 12:00 p.m.  
**PLACE:** 1430 Collier St. – Board Room  
Austin, Texas 78704

**MEMBERS PRESENT:** Robert Chapa, Luanne Southern (via phone)

**MEMBER ABSENT:** Tom Young

**Center staff were in attendance.**

---

The meeting was called to order by Mr. Chapa at 12:00 p.m.

### **I. CITIZENS' COMMENTS**

None.

### **II. APPROVAL OF FINANCE COMMITTEE MINUTES**

No changes were noted to the minutes of the February 19, 2018 meeting. They stand approved as submitted.

### **III. DISCUSS AND TAKE APPROPRIATE ACTION ON CASH & INVESTMENT REPORTS FOR FEBRUARY, 2018 AND MARCH, 2018**

Mr. Chapa made a motion to recommend to the Board the acceptance of the Cash and Investment Reports for February and March, 2018.

Ms. Southern seconded.

Mr. Watson reviewed the Cash and Investment Report for March, 2018 stating the interest earned in 3/2018 was \$34,973 and total market and book value at end of month was \$30,393,248. He also stated there were no significant changes for the month. A comparison of FY 2017 vs. FY 2018 cash and investment amounts was reviewed. Discussion followed.

All were in favor. Motion carried.

**IV. DISCUSS AND TAKE APPROPRIATE ACTION ON FINANCIAL STATEMENTS AND AMENDMENTS  
(IF APPLICABLE) FOR THE PERIOD ENDING MARCH 31, 2018 (SUBJECT TO AUDIT)**

Mr. Chapa made a motion to recommend to the Board the acceptance of the Financial Statements and amendments (if applicable) for the period ending March 31, 2018, subject to audit.

Ms. Southern seconded.

Ms. Thompson discussed the following information from the schedules found in the packet: Financial Summary, Balance Sheet General Operating Fund and Notes (Schedule N2), Combined (Schedule C1), Statement of Revenue and Expenditures and Notes (Schedule C2), Waiver (Schedule C3), and Capital Projects (Schedule C4). Ms. Thompson discussed in detail the information on the Summary page including: Total Annual Budget; YTD Net; Fund Balance; Capital Projects, and Waiver Budget. Discussion followed.

All were in favor. Motion carried.

**V. DISCUSS AND TAKE APPROPRIATE ACTION ON MIS SOLUTION FOR MANAGED PRINTING**

Mr. Chapa made a motion to recommend to the Board approval for the MIS Solution for managed printing.

Ms. Southern seconded.

Mr. Ball discussed that MIS, alongside with ImageNet Consulting, has researched a way to save agency funds by implementing a managed print solution that will cover toner and maintenance on all printing devices, excluding the Xerox devices which are currently under contract. He stated that currently Integral Care spends over 92K on ink and toner for printers, and another several hundred on maintenance through vendors and MIS man hours. Staff recommend contracting with the vendor ImageNet Consulting to manage printer maintenance and toner of Integral Care's locations. Vendor will have quick response times for maintenance and replacement, and use remote monitoring to track low toner. Discussion followed.

All were in favor. Motion carried.

**VI. DISCUSS AND TAKE APPROPRIATE ACTION ON MIS SOLUTION FOR CO-LOCATION/ DISASTER RECOVERY (DR)**

Mr. Chapa made a motion to recommend to the Board approval for the MIS Solution for Co-Location/Disaster Recovery.

Ms. Southern seconded.

Mr. Ball discussed that currently all Integral Care computing and phones are managed at 1430 Collier. The location has many issues in the summer that's caused by heat induced outages called "Brownouts". These outages causes issues with clinicians accessing the EHR and directly effects patient care. As of today Integral Care has no disaster recovery for data in place. He stated that when outages occur, clinicians have no access, and if the outage occurs during non-duty hours and auxiliary power goes out, there is a risk of losing data or corrupting files due to improper shutdown. The current system used is Xtreme IO, which is due for renewal of services. The five year cost is \$375K. Staff recommend establishing a DR (1430 Collier) and a co-location of services, and not re-new the current Xtreme IO data storage. For a cost savings, Integral Care MIS team has worked with a vendor to establish a co-location at a local data warehouse and make 1430 Collier a hot DR site. To establish this, it will require new equipment, new circuits, and many man hours to be purchased. The total cost is less than \$375K and all devices will give more computing power and provide stable connectivity for clinicians, with no more maintenance cost for 5 years. Discussion followed.

All were in favor. Motion carried.

**VII. DISCUSS AND TAKE APPROPRIATE ACTION ON MIS SOLUTION FOR AGENCY CELLULAR DEVICES**

Mr. Chapa made a motion to recommend to the Board approval for the MIS Solution for Agency Cellular Devices.

Ms. Southern seconded.

Mr. Ball discussed that currently 252 Integral Care employees receive a company stipend and are issued an agency MiFi device. The cost for both together is \$35 (stipend) \$37.99 (MiFi), totaling \$72.99. As of today, Integral care pays over 18K monthly for users with stipend and MiFi devices. This total can be reduced by implementing a device that can be used as a MiFi and cellular. Staff recommend issuing an Integral Care owned cellular phone that can act as both. Issuing devices to the 252 users would enhance security measures for clinicians in the field. This will allow better

## Finance Committee Minutes/Integral Care

April 23, 2018

Page 4

communication between client and clinician. Another added security feature with these devices is Mobile Device Manager, which is a security feature that allows MIS to completely lock a phone if lost or stolen. This measure would save Integral Care approximately \$54K annually. Discussion followed.

All were in favor. Motion carried.

### **VIII. UPDATE ON FEE-FOR-SERVICE/PRODUCTIVITY PROJECT**

Mr. Cook reviewed the information found in the packet on pages 52-59 regarding Fee-for-Service and Productivity Projects. This included Revenue Trends, Program Updates, Key Data Points – Phase I and II, and FFS Improvement Projects – Phase I and II. Members asked that this item be added to the Executive Committee agenda for April 26<sup>th</sup> meeting. Discussion followed.

### **IX. ANNOUNCEMENTS**

None.

### **X. NEW BUSINESS**

- Non-Consent: Item IV
- Consent: Items III, V, VI, VII
- Add Information Item VIII (FFS Update) to the Executive Committee agenda
- Approve moving the next Finance meeting from May 28<sup>th</sup> to May 24<sup>th</sup> at Noon due to Memorial Day Holiday - Approved

### **XI. CITIZENS' COMMENTS**

None.

There being no further business, the meeting adjourned at 12:50 p.m.

*Libby Worsham*

---

Tom Young, Chair  
Finance Committee

---

Date

---

Libby Worsham,  
Executive Assistant



## CASH AND INVESTMENT REPORT


For the month ended April 30, 2018

| <u>Cash and<br/>Cash Equivalents</u>                    | <u>Investments<br/>Market<br/>Value</u> | <u>Investments<br/>Book<br/>Value</u> | <u>Percentage<br/>of<br/>Portfolio</u> | <u>Monthly<br/>Interest</u> | <u>Interest<br/>Rates</u> | <u>Stated<br/>Maturity<br/>Term</u> | <u>Average<br/>Days to<br/>Maturity</u> |
|---|---|---------------------------------------|--|-----------------------------|---------------------------|-------------------------------------|---|
| <b><u>Chase Bank of Texas</u></b>                       |   |                                       |  |                             |                           |                                     |   |
| Deposit Account   | 1,864,722                               | 1,864,722                             | 7.00%                                  | 1,161                       | 0.40%                     | 1                                   | 1                                       |
| <b><u>Frost Bank</u></b>                                |   |                                       |  |                             |                           |                                     |   |
| Deposit Account   | 22,523,942                              | 22,523,942                            | 84.55%                                 | 34,723                      | 1.81%                     | 1                                   | 1                                       |
| <b><u>Edward Jones</u></b>                              |   |                                       |  |                             |                           |                                     |   |
| Cash  | 0                                       | 0                                     | 0.00%                                  | -                           | 0.00%                     | 1                                   | 1                                       |
| Stock Donations   | 0                                       | 0                                     | 0.00%                                  | -                           |                           | 1                                   | 1                                       |
| <b><u>Short-term Investments:</u></b>                   |   |                                       |  |                             |                           |                                     |   |
| TexPool Fund - Operating                                | 1,936,482                               | 1,936,482                             | 7.27%                                  | 2,655                       | 1.67%                     | 1                                   | 1                                       |
| TexPool Fund - Midelburg Trust                          | 315,427                                 | 315,427                               | 1.18%                                  | 432                         | 1.67%                     | 1                                   | 1                                       |
| <b>Totals and Averages, current month</b>               | <u>26,640,573</u>                       | <u>26,640,573</u>                     | <u>100.00%</u>                         | <u>38,972</u>               | <u>1.70%</u>              | <u>1</u>                            | <u>1</u>                                |
| <b>Totals and Averages, previous month</b>              | \$ 30,393,248                           | \$ 30,393,248                         | 100.00%                                | \$ 34,973                   | 1.54%                     | 1                                   | 1                                       |
| <b>Totals and Averages, previous year</b>               | \$ 26,096,301                           | \$ 26,096,301                         | 100.00%                                | 9,804                       | 0.50%                     | 1                                   | 1                                       |
| <b>Benchmark: 90-day T-bill rate at 4/30/18 - 1.76%</b> |   |                                       |  |                             |                           |                                     |   |

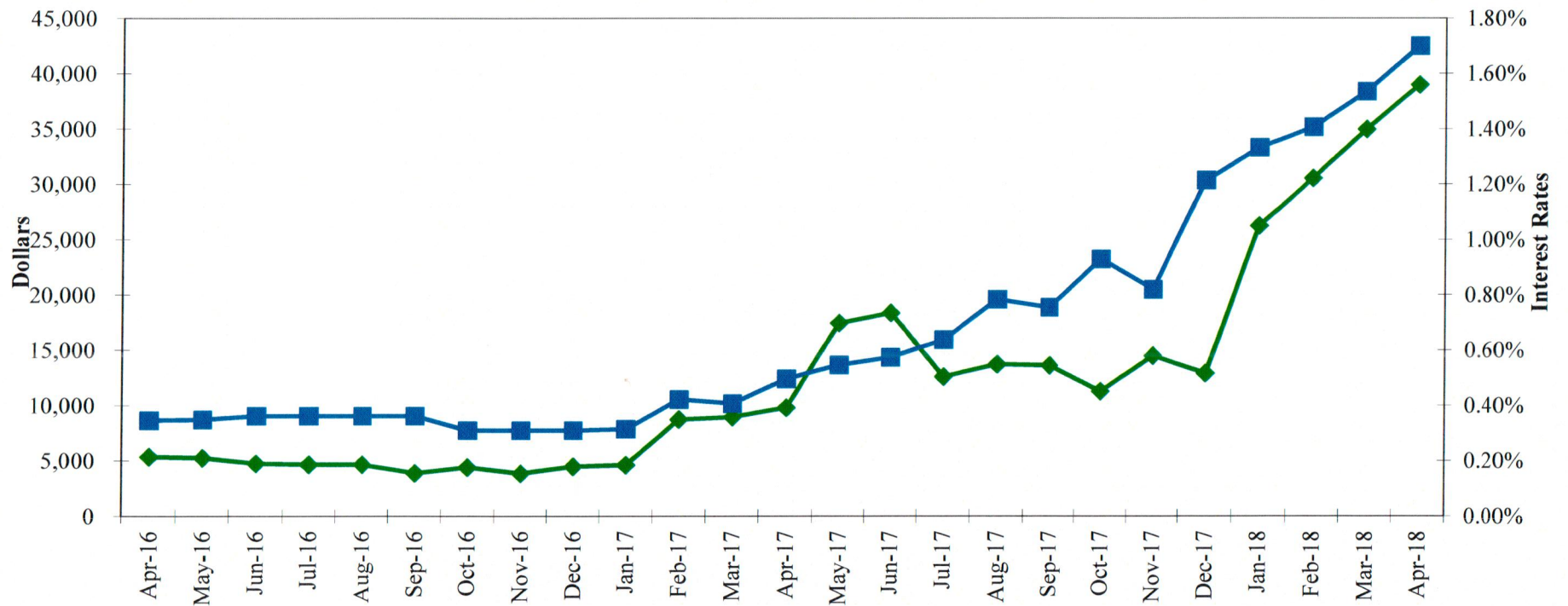
This report is in full compliance with the investment policy as established for the Investment Portfolio, the Public Funds Investment Act (Chapter 2256.023 and Generally Accepted Accounting Principles (GAAP).

(1) - The period change is the result of changes in cash position and not fluctuations in market value of investments.

 5/17/18  
David A. Weden, CAO/CFO

 5/17/2018  
Mark Watson, Director of Accounting

### Interest Rates and Earnings Comparison



**Interest Rate**  
**Earnings**

**April'16**  
**0.35%**  
**5,344**

**April'17**  
**0.50%**  
**9,804**

**April'18**  
**1.70%**  
**38,972**





**LIST OF SECURITIES**  
For the month ended April 30, 2018

| <b>Cash and<br/>Cash Equivalents</b>  | <b>Purchase<br/>Dates</b> | <b>Investments<br/>Book<br/>Values</b> | <b>Interest<br/>Rates</b> | <b>Maturity<br/>Dates</b> | <b>Average<br/>Days to<br/>Maturity</b> |
|---------------------------------------|---------------------------|--|---------------------------|---------------------------|---|
| <b><u>Chase Bank of Texas</u></b>     |                           |  |                           |                           |   |
| Chase Bank Depository Account         | 6/1/2007                  | \$ 1,864,722                           | 0.40%                     |                           | 1                                       |
| <b><u>Frost Bank</u></b>              |                           |  |                           |                           |   |
| Frost Bank Depository Account         | 2/1/2017                  | 22,523,942                             | 1.81%                     |                           | 1                                       |
| <b><u>Edward Jones</u></b>            |                           |  |                           |                           |   |
| Cash                                  | 12/6/2017                 | 0                                      | 0.00%                     |                           | 0                                       |
| Stock Donations                       | 12/6/2017                 | 0                                      | 0.00%                     |                           | 1                                       |
| <b><u>Short-term Investments:</u></b> |                           |  |                           |                           |   |
| TexPool Fund - Operating              | 6/1/2007                  | 1,936,482                              | 1.67%                     |                           | 1                                       |
| TexPool Fund - Midelburg Trust        | 6/1/2007                  | 315,427                                | 1.67%                     |                           | 1                                       |
| <b>Total</b>                          |                           | <b>\$ 26,640,573</b>                   | <b>1.70%</b>              |                           | <b>1</b>                                |



# CASH AND INVESTMENT REPORT


For the month ended May 31, 2018

| Cash and<br>Cash Equivalents                     | Investments<br>Market<br>Value | Investments<br>Book<br>Value | Percentage<br>of<br>Portfolio | Monthly<br>Interest | Interest<br>Rates | Stated<br>Maturity<br>Term | Average<br>Days to<br>Maturity |
|--|--------------------------------|------------------------------|-------------------------------|---------------------|-------------------|----------------------------|--------------------------------|
| <b>Chase Bank of Texas</b>                       |                                |                              |                               |                     |                   |                            |                                |
| Deposit Account                                  | 131,321                        | 131,321                      | 0.59%                         | 771                 | 0.45%             | 1                          | 1                              |
| <b>Frost Bank</b>                                |                                |                              |                               |                     |                   |                            |                                |
| Deposit Account                                  | 19,797,991                     | 19,797,991                   | 89.24%                        | 21,765              | 1.75%             | 1                          | 1                              |
| <b>Short-term Investments:</b>                   |                                |                              |                               |                     |                   |                            |                                |
| TexPool Fund - Operating                         | 2,248,472                      | 2,248,472                    | 10.14%                        | 3,186               | 1.72%             | 1                          | 1                              |
| TexPool Fund - Midelburg Trust                   | 6,719                          | 6,719                        | 0.03%                         | 96                  | 1.72%             | 1                          | 1                              |
| Commercial Money Market - Austin Capital Bank    | -                              | -                            | 0.00%                         | -                   | 0.00%             | 0                          | 0                              |
| Certificates of Deposit - Crockett National Bank | -                              | -                            | 0.00%                         | -                   | 0.00%             | 0                          | 0                              |
| Certificates of Deposit - Hillcrest Bank         | -                              | -                            | 0.00%                         | -                   | 0.00%             | 0                          | 0                              |
| Certificates of Deposit - View Point Bank        | -                              | -                            | 0.00%                         | -                   | 0.00%             | 0                          | 0                              |
| <b>Totals and Averages, current month</b>        | <b>22,184,503</b>              | <b>22,184,503</b>            | <b>100.00%</b>                | <b>25,817</b>       | <b>1.73%</b>      | <b>1</b>                   | <b>1</b>                       |
| <b>Totals and Averages, previous month</b>       | <b>\$ 26,640,573</b>           | <b>\$ 26,640,573</b>         | <b>100.00%</b>                | <b>\$ 38,972</b>    | <b>1.70%</b>      | <b>1</b>                   | <b>1</b>                       |
| <b>Totals and Averages, previous year</b>        | <b>\$ 28,087,631</b>           | <b>\$ 28,087,631</b>         | <b>100.00%</b>                | <b>17,446</b>       | <b>0.55%</b>      | <b>1</b>                   | <b>1</b>                       |


**Benchmark: 90-day T-bill rate at 5/30/18 - 1.86%**

This report is in full compliance with the investment policy as established for the Investment Portfolio, the Public Funds Investment Act (Chapter 2256.023 and Generally Accepted Accounting Principles (GAAP).

(1) - The period change is the result of changes in cash position and not fluctuations in market value of investments.

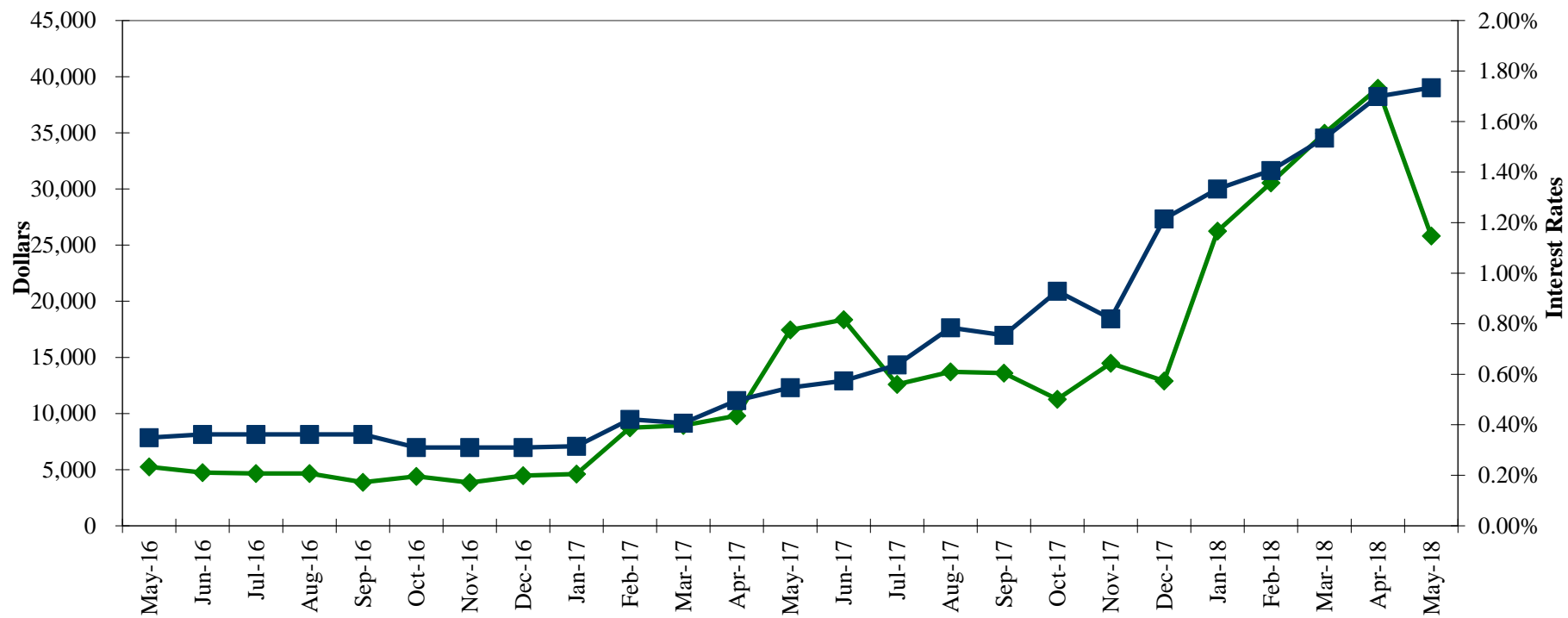
  
David A. Weden, CAO/CFO

6/15/2018

  
Mark Watson, Director of Accounting

15 Jun 2018

### Interest Rates and Earnings Comparison



**Interest Rate**  
**Earnings**

**May'16**  
**0.35%**  
**5,261**

**May'17**  
**0.55%**  
**17,446**

**May'18**  
**1.73%**  
**25,817**



## LIST OF SECURITIES

For the month ended May 31, 2018

| Cash and<br>Cash Equivalents          | Purchase<br>Dates | Investments<br>Book<br>Values | Interest<br>Rates | Maturity<br>Dates | Average<br>Days to<br>Maturity |
|---------------------------------------|-------------------|-------------------------------|-------------------|-------------------|--------------------------------|
| <b><u>Chase Bank of Texas</u></b>     |                   |                               |                   |                   |                                |
| Chase Bank Depository Account         | 6/1/2007          | \$ 131,321                    | 0.45%             |                   | 1                              |
| <b><u>Frost Bank</u></b>              |                   |                               |                   |                   |                                |
| Frost Bank Depository Account         | 2/1/2017          | 19,797,991                    | 1.75%             |                   | 1                              |
| <b><u>Edward Jones</u></b>            |                   |                               |                   |                   |                                |
| Cash                                  | 12/6/2017         | 0                             | 0.00%             |                   | 0                              |
| Stock Donations                       | 12/6/2017         | 0                             | 0.00%             |                   | 1                              |
| <b><u>Short-term Investments:</u></b> |                   |                               |                   |                   |                                |
| TexPool Fund - Operating              | 6/1/2007          | 2,248,472                     | 1.72%             |                   | 1                              |
| TexPool Fund - Midelburg Trust        | 6/1/2007          | 6,719                         | 1.72%             |                   | 1                              |
| <b>Total</b>                          |                   | <u>\$ 22,184,503</u>          | <u>1.73%</u>      |                   | <u>1</u>                       |

Integral Care  
Financial Summary Period Ending May 31, 2018

|  | Sub-Total<br>Operations & |               |               |                  |    | Total          |
|--|---------------------------|---------------|---------------|------------------|----|----------------|
|  | Operations                | Waiver        | Waiver        | Capital Projects |    |                |
| Total Annual Budget - Current              | \$ 80,843,185             | \$ 15,004,090 | \$ 95,847,275 | \$ 22,906,383    | \$ | \$ 118,753,658 |
| Total Annual Budget - Original             | \$ 80,457,247             | \$ 15,198,172 | \$ 95,655,419 | \$ 22,906,383    | \$ | \$ 118,561,802 |
| Total Budget Amendments                    | \$ 385,938                | \$ (194,082)  | \$ 191,856    | \$ -             | \$ | \$ 191,856     |
| Year-to-Date (YTD) Net                     | \$ (646,165)              | \$ 1,041,218  | \$ 395,053    | \$ (3,487,407)   | \$ | \$ (3,092,354) |
| Year-to-Date Planned Fund Balance Exp      | \$ (248,717)              |               | \$ (248,717)  | \$ (2,232,708)   | \$ | \$ (2,481,425) |
| Year-to-Date Net (without FB planned loss) | \$ (397,449)              | \$ 1,041,218  | \$ 643,770    | \$ (1,254,698)   | \$ | \$ (610,929)   |

Notes:

| 1) Fund Balance                              | Fund Balance Category | 2017 Ending Fund Balance | FY2018 YTD Net Operations | FY2018 YTD Fund Balance | Unrestricted Fund Balance Days of Operation |                                    |               |
|--|-----------------------|--------------------------|---------------------------|-------------------------|---|------------------------------------|---------------|
| Operations                                   | Unassigned            | \$ 9,268,380             | \$ (2,567,633)            | \$ 6,700,747            | \$ 6,700,747                                | Total Unrestricted / Waiver FB     | \$ 19,392,898 |
| Middelberg                                   | Restricted            | 6,653                    | -                         | \$ 6,653                |   | FY18 Fund Balance Budget-Bal       | (141,918)     |
| Waiver                                       | Assigned              | 11,650,932               | 1,041,218                 | \$ 12,692,151           | \$ 12,692,151                               | FY2018 YTD Adj Fund Balance        | \$ 19,250,980 |
| Sale of NLJ/Purchase Renovation 1165 Airport | Assigned              | 1,565,939                | (1,565,939)               | \$ -                    |   | YTD Average Operations Expense     | \$ 240,636    |
| Total Fund Balance                           |                       | \$ 22,491,905            | \$ (3,092,354)            | \$ 19,399,551           | \$ 19,392,898                               | YTD Unrestricted Days of Operation | 80            |

| 2) 2018 Fund Balance Budget                                   | Annual Budget  | Used to Date   | Budget Balance |
|---|----------------|----------------|----------------|
| Unrestricted:   |                |                |                |
| Airport Facility Renovation (restricted sale of NLJ Property) | (666,769)      | (1,921,467)    |                |
| Early Child Intervention (ECI) Program                        | (167,015)      | (73,823)       | (93,192)       |
| Unallowable Type Expenses (recurring type expenses)           | (223,620)      | (174,894)      | (48,726)       |
| Total Unrestricted Fund Balance Budget                        | \$ (1,057,404) | \$ (2,170,184) | \$ (141,918)   |
| Airport Facility Renovation (restricted sale of NLJ Property) | (1,565,939)    | (1,565,939)    | -              |
| Total FY2017 Fund Balance                                     | \$ (2,623,343) | \$ (3,736,124) | \$ (141,918)   |

| 3) Capital Projects Include:          | Budget        | YTD Revenue  | YTD Expense  | YTD Net        |
|---------------------------------------|---------------|--------------|--------------|----------------|
| IT Project Plan Future EMR            | \$ 1,000,000  | \$ -         | \$ -         | \$ -           |
| Collier Facility Roof Replacement     | 600,000       | 159,631      | 159,631      | -              |
| S. Lamar New Lease Build-Out          | 242,700       | 284,041      | 284,041      | -              |
| Airport Facility Renovation           | 2,783,326     | 0            | 3,487,407    | (3,487,407)    |
| HCC Oaksprings Facility               | 18,280,357    | 1,550,547    | 1,550,547    | -              |
| Rundberg Facility Expansion Build Out | -             | 48,207       | 48,207       | -              |
| Total Capital Projects                | \$ 22,906,383 | \$ 2,042,425 | \$ 5,529,832 | \$ (3,487,407) |

4) The following are the divisions of Waiver revenue budget, fiscal year to date revenues and reserves:

| Division:                                    | Budget:       | FYTD Budget   | FYTD Revenue: |
|--|---------------|---------------|---------------|
| Waiver Programs                              | \$ 12,820,876 | \$ 9,615,657  | \$ 9,552,934  |
| Program Indirect                             | 2,649,636     | \$ 1,987,227  | 1,987,228     |
| Administration / Authority                   | 758,034       | \$ 568,526    | 568,526       |
| Capital Outlay                               | 1,842,700     | \$ 1,382,025  | 491,878       |
| Reserve Class Comp (effective March 1, 2018) | 227,317       | \$ 170,488    | -             |
| Total Waiver Revenue                         | \$ 18,298,563 | \$ 13,723,923 | \$ 12,600,566 |

The FYTD budget includes 9/12th total budget, actual includes 3/6th of class/comp budget

Rev match exp, Waiver from reserve for S.Lamar > budget and Rundberg not budgeted  
Balance after class comp budget, budget amendment to be made move to capital projects

**Balance Sheet - General Operating Fund - Schedule N2**  
 As of 05/31/2018

|  | Unaudited<br>Beginning<br>Balance<br>9/01/2017 | Prior Period<br>Balance<br>04/30/2018 | Current Period<br>Balance<br>05/31/2018 | Notes | Current Period<br>Change | Year To Date<br>Change | Current Period %<br>Change | Year To Date<br>% Change |
|--|--|---------------------------------------|---|-------|--------------------------|------------------------|----------------------------|--------------------------|
| <b>Schedule N2 Balance Sheet Gen. Op. Fund</b>               |  |                                       |   |       |                          |                        |                            |                          |
| <b>Assets</b>  |  |                                       |   |       |                          |                        |                            |                          |
| <b>Current Assets</b>  |  |                                       |   |       |                          |                        |                            |                          |
| Cash   | \$ 23,193,912                                  | \$ 26,648,141                         | \$ 22,190,415                           |       | \$ (4,457,727)           | \$ (1,003,497)         | (16.73%)                   | (4.52%)                  |
| Accounts Receivable  | 12,278,627                                     | 7,799,933                             | 7,785,885                               | (1)   | (14,048)                 | (4,492,741)            | (0.18%)                    | (57.70%)                 |
| Deposits and Prepaids  | 578,257  | 640,860                               | 575,545                                 |       | (65,315)                 | (2,711)                | (10.19%)                   | (0.47%)                  |
| Inventory  | -  | -                                     | -                                       |       | -                        | -                      |                            |                          |
| <b>Total Current Assets</b>                                  | <b>\$ 36,050,795</b>                           | <b>\$ 35,088,935</b>                  | <b>\$ 30,551,845</b>                    |       | <b>\$ (4,537,089)</b>    | <b>\$ (5,498,950)</b>  | <b>(12.93%)</b>            | <b>(15.25%)</b>          |
| <b>Noncurrent Assets</b>                                     |  |                                       |   |       |                          |                        |                            |                          |
| Investment in Tejas  | \$ -   | \$ -                                  | \$ -                                    |       | \$ -                     | \$ -                   |                            |                          |
| Investment in NMF  | 128,649  | 128,649                               | 128,649                                 |       | -                        | -                      | 0.00%                      | 0.00%                    |
| Investment in Housing First Oak Springs                      | -  | -                                     | -                                       |       | -                        | -                      |                            |                          |
| <b>Total Noncurrent Assets</b>                               | <b>\$ 128,649</b>                              | <b>\$ 128,649</b>                     | <b>\$ 128,649</b>                       |       | <b>\$ -</b>              | <b>\$ -</b>            | <b>0.00%</b>               | <b>0.00%</b>             |
| <b>Total Assets</b>  | <b>\$ 36,179,444</b>                           | <b>\$ 35,217,584</b>                  | <b>\$ 30,680,494</b>                    |       | <b>\$ (4,537,089)</b>    | <b>\$ (5,498,950)</b>  | <b>(12.88%)</b>            | <b>(15.20%)</b>          |
| <b>Liabilities</b>   |  |                                       |   |       |                          |                        |                            |                          |
| <b>Current Liabilities</b>                                   |  |                                       |   |       |                          |                        |                            |                          |
| Interfund Payables   | \$ 414,251                                     | \$ (4,327,603)                        | \$ (4,297,034)                          | (2)   | \$ 30,570                | \$ (4,711,285)         | (0.71%)                    | (1137.30%)               |
| Accounts Payable   | 6,134,382                                      | 1,829,561                             | 1,343,563                               | (3)   | (485,997)                | (4,790,819)            | (26.56%)                   | (78.10%)                 |
| Deferred Revenue   | 2,220,956                                      | 14,604,631                            | 10,299,874                              | (4)   | (4,304,757)              | 8,078,918              | (29.48%)                   | 363.76%                  |
| Fringe Payables  | 3,246,609                                      | 1,557,286                             | 2,005,671                               |       | 448,385                  | (1,240,938)            | 28.79%                     | (38.22%)                 |
| <b>Total Current Liabilities</b>                             | <b>\$ 12,016,198</b>                           | <b>\$ 13,663,874</b>                  | <b>\$ 9,352,075</b>                     |       | <b>\$ (4,311,800)</b>    | <b>\$ (2,664,123)</b>  | <b>(31.56%)</b>            | <b>(22.17%)</b>          |
| <b>Noncurrent Liabilities</b>                                |  |                                       |   |       |                          |                        |                            |                          |
| Accrued Compensated Absences                                 | \$ 1,671,342                                   | \$ 1,869,555                          | \$ 1,928,868                            |       | \$ 59,313                | \$ 257,527             | 3.17%                      | 15.41%                   |
| <b>Total Noncurrent Liabilities</b>                          | <b>\$ 1,671,342</b>                            | <b>\$ 1,869,555</b>                   | <b>\$ 1,928,868</b>                     |       | <b>\$ 59,313</b>         | <b>\$ 257,527</b>      | <b>3.17%</b>               | <b>15.41%</b>            |
| <b>Total Liabilities</b>                                     | <b>\$ 13,687,539</b>                           | <b>\$ 15,533,429</b>                  | <b>\$ 11,280,943</b>                    |       | <b>\$ (4,252,486)</b>    | <b>\$ (2,406,596)</b>  | <b>(27.38%)</b>            | <b>(17.58%)</b>          |
| <b>Fund Equity</b>   |  |                                       |   |       |                          |                        |                            |                          |
| <b>Fund Balance - Operations</b>                             |  |                                       |   |       |                          |                        |                            |                          |
| Fund Balance - Operations                                    | \$ 9,275,033                                   | \$ 9,275,033                          | \$ 9,275,033                            |       | \$ -                     | \$ -                   | 0.00%                      | 0.00%                    |
| Net Income - Operations                                      | -  | (317,270)                             | (646,165)                               |       | (328,895)                | (646,165)              | 103.66%                    |                          |
| Net Income - Capital Projects (excl Airport Dsg Funds)       | -  | (1,918,355)                           | (1,921,467)                             |       | (3,112)                  | (1,921,467)            | 0.16%                      |                          |
| <b>Total Fund Balance - Operations</b>                       | <b>\$ 9,275,033</b>                            | <b>\$ 7,039,408</b>                   | <b>\$ 6,707,400</b>                     | (5)   | <b>\$ (332,007)</b>      | <b>\$ (2,567,633)</b>  | <b>(4.72%)</b>             | <b>(27.68%)</b>          |
| <b>Fund Balance - 1115 Waiver</b>                            |  |                                       |   |       |                          |                        |                            |                          |
| Fund Balance - 1115 Waiver                                   | \$ 11,650,932                                  | \$ 11,650,932                         | \$ 11,650,932                           |       | \$ -                     | \$ -                   | 0.00%                      | 0.00%                    |
| Net Income - 1115 Waiver                                     | -  | 993,814                               | 1,041,218                               |       | 47,404                   | 1,041,218              | 4.77%                      |                          |
| <b>Total Fund Balance - 1115 Waiver</b>                      | <b>\$ 11,650,932</b>                           | <b>\$ 12,644,746</b>                  | <b>\$ 12,692,151</b>                    | (6)   | <b>\$ 47,404</b>         | <b>\$ 1,041,218</b>    | <b>0.37%</b>               | <b>8.94%</b>             |
| <b>Fund Balance - Airport Building Capital Project</b>       |  |                                       |   |       |                          |                        |                            |                          |
| Fund Balance - Airport Building Capital Project              | \$ 1,565,939                                   | \$ 1,565,939                          | \$ 1,565,939                            |       | \$ -                     | \$ -                   | 0.00%                      | 0.00%                    |
| Net Income - Airport Building Capital Project                | -  | (1,565,939)                           | (1,565,939)                             |       | -                        | (1,565,939)            | 0.00%                      |                          |
| <b>Total Fund Balance - Airport Building Capital Project</b> | <b>\$ 1,565,939</b>                            | <b>\$ -</b>                           | <b>\$ -</b>                             | (7)   | <b>\$ -</b>              | <b>\$ (1,565,939)</b>  |                            | <b>(100.00%)</b>         |
| <b>Total Fund Equity</b>                                     | <b>\$ 22,491,905</b>                           | <b>\$ 19,684,154</b>                  | <b>\$ 19,399,551</b>                    |       | <b>\$ (284,603)</b>      | <b>\$ (3,092,354)</b>  | <b>(1.45%)</b>             | <b>(13.75%)</b>          |
| <b>Total Liabilities and Fund Equity</b>                     | <b>\$ 36,179,444</b>                           | <b>\$ 35,217,583</b>                  | <b>\$ 30,680,494</b>                    |       | <b>\$ (4,537,089)</b>    | <b>\$ (5,498,950)</b>  | <b>(12.88%)</b>            | <b>(15.20%)</b>          |

# BALANCE SHEET NOTES

Period Ending 5/31/2018

## Note 1 Accounts Receivable, \$7,785,885:

|   |                     |
|---|---------------------|
| 3rd Party FFS A/R                                 | \$ 1,122,342        |
| 3rd Party FFS A/R - Allowance                     | (462,460)           |
| <b>Sub-Total 3rd Party FFS A/R</b>                | <b>\$ 659,882</b>   |
| Contracts Receivable                              | 5,971,448           |
| Contracts Receivable - Accrued Revenue (MAC etc.) | 628,319             |
| Employee Advances - Payroll Pay Period Conversion | 396,066             |
| Employee Insurance Receivable                     | 94,371              |
| Rental Operations                                 | 35,799              |
| <b>Total Accounts Receivable</b>                  | <b>\$ 7,785,885</b> |

## Note 2 Interfund Payables, (\$4,297,034):

|                                 |                       |
|---------------------------------|-----------------------|
| Housing First Oak Springs LP    | \$ (4,640,995)        |
| Capital Improvement Fund        | 177,741               |
| CAN                             | 139,447               |
| ICC                             | 26,773                |
| <b>Total Interfund Payables</b> | <b>\$ (4,297,034)</b> |

## Note 3 Accounts Payable, \$1,343,563:

|  |                     |
|--|---------------------|
| Accrued Accounts Payable (mainly Contract Provider Exp and DSHS/DADS 2017 unspent cost reimb advances) | \$ 1,135,790        |
| Accounts Payable   | 25,516              |
| Retainage  | 177,057             |
| Tenant Security Deposits   | 5,200               |
| <b>Total Accounts Payable</b>  | <b>\$ 1,343,563</b> |

## Note 4 Deferred Revenue, \$10,299,874:

|  |                      |
|--|----------------------|
| Waiver   | \$ 5,412,298         |
| DSHS   | 1,465,659            |
| DADS   | 585,624              |
| Housing First Oak Springs LP   | 1,444,072            |
| St. David's (Herman Center, HCC Capital, MHFA)   | 734,791              |
| HCC MATCH (NMF, DACC, Lola Wright)   | 298,005              |
| Community Care Collaborative (CCC) - April Invoice not posted prior closing financials, \$630K | (373,412)            |
| All Other  | 732,838              |
| <b>Total Deferred Revenue</b>  | <b>\$ 10,299,874</b> |

## Note 5 Fund Balance Operations, \$6,707,400 (includes Midelberg):

|  |                     |
|--|---------------------|
| <b>Fund Balance Ending 8/31/2017 (includes operations &amp; Midelberg)</b> | <b>\$ 9,275,033</b> |
| FY2018 YTD Net Operations  | (646,165)           |
| FY2018 YTD Airport Facility  | (1,921,468)         |
| FY2018 Other Capital Projects  | 0                   |
| <b>Fund Balance Ending (Operations &amp; Midelberg)</b>                    | <b>\$ 6,707,400</b> |

In April funded S.Lamar exp > budget & Rundberg Waiver Reserve Bal

## Note 6 Fund Balance Waiver, \$12,692,151:

|                                      |                      |
|--------------------------------------|----------------------|
| <b>Fund Balance Ending 8/31/2017</b> | <b>\$ 11,650,932</b> |
| FY2018 YTD Net Waiver                | 1,041,218            |
| <b>Total Waiver Fund Balance</b>     | <b>\$ 12,692,151</b> |

## Note 7 Fund Balance Airport Building Sale/Purchase/Renovation, \$0:

|  |                     |
|--|---------------------|
| <b>Fund Balance Ending 8/31/2017</b>             | <b>\$ 1,565,939</b> |
| FY2018 Renovation Airport Building               | (1,565,939)         |
| <b>Total Building Sale/Purchase Fund Balance</b> | <b>\$ 0</b>         |

**Statement of Revenues and Expenditures - Schedule C1 - Combined**  
05/01/2018 Through 05/31/2018

|  | Original<br>Budget    | Budget<br>Revisions | Revised<br>Budget     | Current Month<br>Actual | YTD Actual            | YTD Budget            | YTD Variance           | Percent<br>Variance |
|--|-----------------------|---------------------|-----------------------|-------------------------|-----------------------|-----------------------|------------------------|---------------------|
| <b>Schedule C1 - Combined</b>              |                       |                     |                       |                         |                       |                       |                        |                     |
| <b>REVENUES</b>                            |                       |                     |                       |                         |                       |                       |                        |                     |
| <b>Local Funds</b>                         |                       |                     |                       |                         |                       |                       |                        |                     |
| City of Austin                             | \$ 9,021,952          | \$ (32,217)         | \$ 8,989,735          | \$ 385,395              | \$ 3,585,756          | \$ 6,742,323          | \$ (3,156,567)         | (46.82%)            |
| Travis County                              | 6,027,302             | (248,726)           | 5,778,576             | 476,208                 | 3,675,290             | 4,333,950             | (658,660)              | (15.20%)            |
| Central Health                             | 10,433,312            | -                   | 10,433,312            | 567,915                 | 7,094,143             | 7,824,987             | (730,844)              | (9.34%)             |
| Other Local                                | 19,170,021            | (121,564)           | 19,048,457            | 1,333,149               | 5,795,327             | 14,286,393            | (8,491,066)            | (59.43%)            |
| <b>Total Local Funds</b>                   | <b>\$ 44,652,587</b>  | <b>\$ (402,507)</b> | <b>\$ 44,250,080</b>  | <b>\$ 2,762,667</b>     | <b>\$ 20,150,516</b>  | <b>\$ 33,187,653</b>  | <b>\$ (13,037,137)</b> | <b>(39.28%)</b>     |
| <b>State Funds</b>                         |                       |                     |                       |                         |                       |                       |                        |                     |
| DSHS Mental Health                         | \$ 26,716,102         | \$ (684,573)        | \$ 26,031,529         | \$ 2,167,947            | \$ 18,034,797         | \$ 19,523,682         | \$ (1,488,885)         | (7.63%)             |
| DSHS Substance Abuse                       | 2,296,317             | 286,327             | 2,582,644             | 223,685                 | 1,940,029             | 1,936,989             | 3,040                  | 0.16%               |
| DADS                                       | 4,391,844             | -                   | 4,391,844             | 278,648                 | 2,699,477             | 3,293,865             | (594,388)              | (18.05%)            |
| TCOOMMI                                    | 1,861,842             | (48,929)            | 1,812,913             | 147,082                 | 1,299,674             | 1,359,693             | (60,019)               | (4.41%)             |
| DARS (Early Childhood Intervention)        | 637,828               | 15,423              | 653,251               | 52,025                  | 473,955               | 489,942               | (15,987)               | (3.26%)             |
| Other State                                | 120,214               | 176,510             | 296,724               | 21,922                  | 100,763               | 222,543               | (121,780)              | (54.72%)            |
| <b>Total State Funds</b>                   | <b>\$ 36,024,147</b>  | <b>\$ (255,242)</b> | <b>\$ 35,768,905</b>  | <b>\$ 2,891,309</b>     | <b>\$ 24,548,695</b>  | <b>\$ 26,826,714</b>  | <b>\$ (2,278,019)</b>  | <b>(8.49%)</b>      |
| <b>Federal Funds</b>                       |                       |                     |                       |                         |                       |                       |                        |                     |
| Medicare/Medicaid/HMO                      | \$ 12,446,741         | \$ 735,331          | \$ 13,182,072         | \$ 872,662              | \$ 7,968,886          | \$ 9,886,662          | \$ (1,917,776)         | (19.40%)            |
| HCS/Tx Hm Lvg Waiver                       | 238,326               | -                   | 238,326               | 8,875                   | 192,296               | 178,749               | 13,547                 | 7.58%               |
| Other Federal                              | 3,727,477             | 114,274             | 3,841,751             | 306,383                 | 2,670,155             | 2,881,323             | (211,168)              | (7.33%)             |
| <b>Total Federal Funds</b>                 | <b>\$ 16,412,544</b>  | <b>\$ 849,605</b>   | <b>\$ 17,262,149</b>  | <b>\$ 1,187,920</b>     | <b>\$ 10,831,337</b>  | <b>\$ 12,946,734</b>  | <b>\$ (2,115,397)</b>  | <b>(16.34%)</b>     |
| <b>Waiver Funds</b>                        |                       |                     |                       |                         |                       |                       |                        |                     |
| 1115 Waiver                                | \$ 18,298,563         | \$ -                | \$ 18,298,563         | \$ 1,413,377            | \$ 12,600,566         | \$ 13,723,938         | \$ (1,123,372)         | (8.19%)             |
| <b>Total Waiver Funds</b>                  | <b>\$ 18,298,563</b>  | <b>\$ -</b>         | <b>\$ 18,298,563</b>  | <b>\$ 1,413,377</b>     | <b>\$ 12,600,566</b>  | <b>\$ 13,723,938</b>  | <b>\$ (1,123,372)</b>  | <b>(8.19%)</b>      |
| <b>Total REVENUES</b>                      | <b>\$ 115,387,841</b> | <b>\$ 191,856</b>   | <b>\$ 115,579,697</b> | <b>\$ 8,255,274</b>     | <b>\$ 68,131,114</b>  | <b>\$ 86,685,039</b>  | <b>\$ (18,553,925)</b> | <b>(21.40%)</b>     |
| <b>EXPENDITURES</b>                        |                       |                     |                       |                         |                       |                       |                        |                     |
| <b>Operating expenditures</b>              |                       |                     |                       |                         |                       |                       |                        |                     |
| Salaries                                   | \$ 49,523,276         | \$ 1,198,765        | \$ 50,722,041         | \$ 4,184,026            | \$ 34,867,700         | \$ 38,041,758         | \$ 3,174,058           | 8.34%               |
| Fringe benefits                            | 13,172,923            | 189,861             | 13,362,784            | 984,559                 | 8,491,042             | 10,022,670            | 1,531,628              | 15.28%              |
| Travel/Workshop                            | 1,022,610             | 22,275              | 1,044,885             | 91,436                  | 601,612               | 783,810               | 182,198                | 23.25%              |
| Prescription Drugs & Medicine              | 521,418               | (2,655)             | 518,763               | 42,907                  | 259,136               | 389,115               | 129,979                | 33.40%              |
| Consumable Supplies                        | 310,897               | 1,921               | 312,818               | 23,473                  | 257,523               | 234,720               | (22,803)               | (9.71%)             |
| Contracts & Consultants                    | 21,264,121            | (1,241,130)         | 20,022,991            | 1,414,954               | 13,372,775            | 15,017,346            | 1,644,571              | 10.95%              |
| Capital Outlay                             | 17,266,756            | -                   | 17,266,756            | 766,492                 | 4,827,000             | 12,950,118            | 8,123,118              | 62.73%              |
| Furniture & Equipment                      | 1,083,636             | 4,817               | 1,088,453             | 117,758                 | 1,164,620             | 816,471               | (348,149)              | (42.64%)            |
| Facility/Telephone/Utility                 | 5,519,235             | (40,439)            | 5,478,796             | 489,553                 | 4,323,646             | 4,109,607             | (214,039)              | (5.21%)             |
| Insurance Costs                            | 335,983               | -                   | 335,983               | 95,254                  | 333,668               | 252,081               | (81,587)               | (32.37%)            |
| Transportation Costs                       | 120,242               | 4,000               | 124,242               | 10,184                  | 113,427               | 93,204                | (20,223)               | (21.70%)            |
| Professional Fees                          | 940,703               | -                   | 940,703               | 8,123                   | 598,547               | 705,537               | 106,990                | 15.16%              |
| Other Operating Costs                      | 5,910,025             | 4,614               | 5,914,639             | 84,289                  | 687,228               | 4,436,082             | 3,748,854              | 84.51%              |
| Client Support Costs                       | 1,569,977             | 49,827              | 1,619,804             | 226,879                 | 1,325,610             | 1,214,874             | (110,736)              | (9.12%)             |
| <b>Total Operating expenditures</b>        | <b>\$ 118,561,802</b> | <b>\$ 191,856</b>   | <b>\$ 118,753,658</b> | <b>\$ 8,539,886</b>     | <b>\$ 71,223,533</b>  | <b>\$ 89,067,393</b>  | <b>\$ 17,843,860</b>   | <b>20.03%</b>       |
| <b>Total EXPENDITURES</b>                  | <b>\$ 118,561,802</b> | <b>\$ 191,856</b>   | <b>\$ 118,753,658</b> | <b>\$ 8,539,886</b>     | <b>\$ 71,223,533</b>  | <b>\$ 89,067,393</b>  | <b>\$ 17,843,860</b>   | <b>20.03%</b>       |
| <b>Total Gain/Loss Operating before FB</b> | <b>\$ (3,173,961)</b> | <b>\$ -</b>         | <b>\$ (3,173,961)</b> | <b>\$ (284,613)</b>     | <b>\$ (3,092,419)</b> | <b>\$ (2,382,354)</b> | <b>\$ (710,065)</b>    | <b>29.81%</b>       |
| <b>Fund Balance</b>                        |                       |                     |                       |                         |                       |                       |                        |                     |
| Fund Balance                               | \$ 3,173,961          | \$ -                | \$ 3,173,961          | \$ 10                   | \$ 66                 | \$ 2,380,473          | \$ (2,380,407)         | (100.00%)           |
| <b>Total Fund Balance</b>                  | <b>\$ 3,173,961</b>   | <b>\$ -</b>         | <b>\$ 3,173,961</b>   | <b>\$ 10</b>            | <b>\$ 66</b>          | <b>\$ 2,380,473</b>   | <b>\$ (2,380,407)</b>  | <b>(100.00%)</b>    |
| <b>Total Gain/Loss Operating With FB</b>   | <b>\$ -</b>           | <b>\$ -</b>         | <b>\$ -</b>           | <b>\$ (284,603)</b>     | <b>\$ (3,092,354)</b> | <b>\$ (1,881)</b>     | <b>\$ (3,090,473)</b>  |                     |

(1)



**Statement of Revenues and Expenditures - Schedule C2 - Operations**  
**05/01/2018 Through 05/31/2018**

|  | Original<br>Budget   | Budget<br>Revisions | Revised<br>Budget    | Current Month<br>Actual | YTD Actual           | YTD Budget           | YTD Variance          | Notes | Percent<br>Variance |
|--|----------------------|---------------------|----------------------|-------------------------|----------------------|----------------------|-----------------------|-------|---------------------|
| <b>Schedule C2 - Operations</b>            |                      |                     |                      |                         |                      |                      |                       |       |                     |
| <b>REVENUES</b>                            |                      |                     |                      |                         |                      |                      |                       |       |                     |
| <b>Local Funds</b>                         |                      |                     |                      |                         |                      |                      |                       |       |                     |
| City of Austin                             | \$ 5,133,840         | \$ (32,217)         | \$ 5,101,623         | \$ 385,395              | \$ 3,585,756         | \$ 3,826,242         | \$ (240,486)          | (1)   | (6.29%)             |
| Travis County                              | 6,027,302            | (248,726)           | 5,778,576            | 476,208                 | 3,675,290            | 4,333,950            | (658,660)             | (2)   | (15.20%)            |
| Central Health                             | 10,433,312           | (190,800)           | 10,242,512           | 567,915                 | 6,903,343            | 7,681,887            | (778,544)             | (3)   | (10.13%)            |
| Other Local                                | 4,678,349            | (121,564)           | 4,556,785            | 588,198                 | 4,180,083            | 3,417,633            | 762,450               | (4)   | 22.31%              |
| <b>Total Local Funds</b>                   | <b>\$ 26,272,803</b> | <b>\$ (593,307)</b> | <b>\$ 25,679,496</b> | <b>\$ 2,017,717</b>     | <b>\$ 18,344,472</b> | <b>\$ 19,259,712</b> | <b>\$ (915,240)</b>   |       | <b>(4.75%)</b>      |
| <b>State Funds</b>                         |                      |                     |                      |                         |                      |                      |                       |       |                     |
| DSHS Mental Health                         | \$ 26,616,102        | \$ (684,573)        | \$ 25,931,529        | \$ 2,167,947            | \$ 17,934,797        | \$ 19,448,685        | \$ (1,513,888)        | (5)   | (7.78%)             |
| DSHS Substance Abuse                       | 2,296,317            | 286,327             | 2,582,644            | 223,685                 | 1,940,029            | 1,936,989            | 3,040                 |       | 0.16%               |
| DADS                                       | 4,391,844            | 0                   | 4,391,844            | 278,648                 | 2,698,625            | 3,293,865            | (595,240)             | (6)   | (18.07%)            |
| TCOOMMI                                    | 1,861,842            | (48,929)            | 1,812,913            | 147,082                 | 1,299,674            | 1,359,693            | (60,019)              | (7)   | (4.41%)             |
| DARS (Early Childhood Intervention)        | 637,828              | 15,423              | 653,251              | 52,025                  | 473,955              | 489,942              | (15,987)              |       | (3.26%)             |
| Other State                                | 120,214              | 176,510             | 296,724              | 21,922                  | 100,763              | 222,543              | (121,780)             | (8)   | (54.72%)            |
| <b>Total State Funds</b>                   | <b>\$ 35,924,147</b> | <b>\$ (255,242)</b> | <b>\$ 35,668,905</b> | <b>\$ 2,891,309</b>     | <b>\$ 24,447,842</b> | <b>\$ 26,751,717</b> | <b>\$ (2,303,875)</b> |       | <b>(8.61%)</b>      |
| <b>Federal Funds</b>                       |                      |                     |                      |                         |                      |                      |                       |       |                     |
| Medicare/Medicaid/HMO                      | \$ 10,813,714        | \$ 625,506          | \$ 11,439,220        | \$ 751,077              | \$ 6,817,882         | \$ 8,579,457         | \$ (1,761,575)        | (9)   | (20.53%)            |
| HCS/Tx Hm Lvg Waiver                       | 238,326              | 0                   | 238,326              | 8,875                   | 192,296              | 178,749              | 13,547                |       | 7.58%               |
| Other Federal                              | 3,727,477            | 114,274             | 3,841,751            | 306,383                 | 2,670,155            | 2,881,323            | (211,168)             | (10)  | (7.33%)             |
| <b>Total Federal Funds</b>                 | <b>\$ 14,779,517</b> | <b>\$ 739,780</b>   | <b>\$ 15,519,297</b> | <b>\$ 1,066,335</b>     | <b>\$ 9,680,334</b>  | <b>\$ 11,639,529</b> | <b>\$ (1,959,196)</b> |       | <b>(16.83%)</b>     |
| <b>Waiver Funds</b>                        |                      |                     |                      |                         |                      |                      |                       |       |                     |
| 1115 Waiver                                | \$ 3,090,145         | \$ 508,325          | \$ 3,598,470         | \$ 299,873              | \$ 2,698,854         | \$ 2,698,866         | \$ (12)               |       | (0.00%)             |
| <b>Total Waiver Funds</b>                  | <b>\$ 3,090,145</b>  | <b>\$ 508,325</b>   | <b>\$ 3,598,470</b>  | <b>\$ 299,873</b>       | <b>\$ 2,698,854</b>  | <b>\$ 2,698,866</b>  | <b>\$ (12)</b>        |       | <b>(0.00%)</b>      |
| <b>Total REVENUES</b>                      | <b>\$ 80,066,612</b> | <b>\$ 399,556</b>   | <b>\$ 80,466,168</b> | <b>\$ 6,275,234</b>     | <b>\$ 55,171,502</b> | <b>\$ 60,349,824</b> | <b>\$ (5,178,322)</b> |       | <b>(8.58%)</b>      |
| <b>EXPENDITURES</b>                        |                      |                     |                      |                         |                      |                      |                       |       |                     |
| <b>Operating expenditures</b>              |                      |                     |                      |                         |                      |                      |                       |       |                     |
| Salaries                                   | \$ 40,254,641        | \$ 1,128,251        | \$ 41,382,892        | \$ 3,469,594            | \$ 28,698,567        | \$ 31,037,364        | \$ 2,338,797          | (12)  | 7.54%               |
| Fringe benefits                            | 10,730,097           | 180,728             | 10,910,825           | 822,844                 | 7,016,925            | 8,183,646            | 1,166,721             |       | 14.26%              |
| Travel/Workshop                            | 833,733              | 24,472              | 858,205              | 81,196                  | 525,413              | 643,779              | 118,366               | (13)  | 18.39%              |
| Prescription Drugs & Medicine              | 464,825              | (2,655)             | 462,170              | 36,828                  | 234,314              | 346,662              | 112,348               | (14)  | 32.41%              |
| Consumable Supplies                        | 267,138              | 1,921               | 269,059              | 17,887                  | 213,557              | 201,870              | (11,687)              |       | (5.79%)             |
| Contracts & Consultants                    | 19,579,579           | (993,419)           | 18,586,160           | 1,296,532               | 12,213,248           | 13,939,722           | 1,726,474             | (15)  | 12.39%              |
| Capital Outlay                             | 110,560              | 0                   | 110,560              | (2,148)                 | 51,458               | 82,962               | 31,504                |       | 37.97%              |
| Furniture & Equipment                      | 886,450              | 4,817               | 891,267              | 93,115                  | 865,541              | 668,556              | (196,985)             | (16)  | (29.46%)            |
| Facility/Telephone/Utility                 | 4,472,640            | (3,000)             | 4,469,640            | 389,383                 | 3,562,754            | 3,352,743            | (210,011)             | (17)  | (6.26%)             |
| Insurance Costs                            | 277,940              | 0                   | 277,940              | 90,384                  | 262,506              | 208,539              | (53,967)              | (18)  | (25.88%)            |
| Transportation Costs                       | 98,492               | 4,000               | 102,492              | 8,673                   | 93,395               | 76,878               | (16,517)              |       | (21.48%)            |
| Professional Fees                          | 185,653              | 0                   | 185,653              | (5,446)                 | 162,768              | 139,257              | (23,511)              |       | (16.88%)            |
| Other Operating Costs                      | 739,963              | 4,614               | 744,577              | 79,305                  | 602,715              | 558,504              | (44,211)              |       | (7.92%)             |
| Client Support Costs                       | 1,555,536            | 49,827              | 1,605,363            | 225,993                 | 1,314,572            | 1,204,047            | (110,525)             | (19)  | (9.18%)             |
| <b>Total Operating expenditures</b>        | <b>\$ 80,457,247</b> | <b>\$ 399,556</b>   | <b>\$ 80,856,803</b> | <b>\$ 6,604,138</b>     | <b>\$ 55,817,733</b> | <b>\$ 60,644,529</b> | <b>\$ 4,826,796</b>   |       | <b>7.96%</b>        |
| <b>Total EXPENDITURES</b>                  | <b>\$ 80,457,247</b> | <b>\$ 399,556</b>   | <b>\$ 80,856,803</b> | <b>\$ 6,604,138</b>     | <b>\$ 55,817,733</b> | <b>\$ 60,644,529</b> | <b>\$ 4,826,796</b>   |       | <b>7.96%</b>        |
| <b>Total Gain/Loss Operating before FB</b> | <b>\$ (390,635)</b>  | <b>\$ -</b>         | <b>\$ (390,635)</b>  | <b>\$ (328,905)</b>     | <b>\$ (646,231)</b>  | <b>\$ (294,705)</b>  | <b>\$ (351,526)</b>   |       | <b>119.28%</b>      |
| <b>Fund Balance</b>                        |                      |                     |                      |                         |                      |                      |                       |       |                     |
| Fund Balance                               | \$ 390,635           | \$ -                | \$ 390,635           | \$ 10                   | \$ 66                | \$ 292,977           | \$ (292,911)          | (11)  | (99.98%)            |
| <b>Total Fund Balance</b>                  | <b>\$ 390,635</b>    | <b>\$ -</b>         | <b>\$ 390,635</b>    | <b>\$ 10</b>            | <b>\$ 66</b>         | <b>\$ 292,977</b>    | <b>\$ (292,911)</b>   |       | <b>(99.98%)</b>     |
| <b>Total Gain/Loss Operating With FB</b>   | <b>\$ -</b>          | <b>\$ -</b>         | <b>\$ -</b>          | <b>\$ (328,895)</b>     | <b>\$ (646,165)</b>  | <b>\$ (1,728)</b>    | <b>\$ (644,437)</b>   |       |                     |

**REVENUE BUDGET VARIANCE NOTES - OPERATIONS**

**Period Ending 5/31/2018**

**Note 1: City of Austin - YTD Budget Variance (\$240,486):**

|   |                     |                             |
|---|---------------------|-----------------------------|
| City of Austin - ACT (City's 1115 Waiver)                         | \$ (105,033)        | Cost Reimbursement Contract |
| City of Austin - DACC (Downtown Alliance Community Court)         | (117,102)           | Cost Reimbursement Contract |
| City of Austin - Permanent Supportive Housing (PSH) (HCC program) | 55,640              | Cost Reimbursement Contract |
| City of Austin - Project Recovery                                 | (69,300)            | Cost Reimbursement Contract |
| City of Austin - All Other (5 contracts)                          | (4,693)             | Cost Reimbursement Contract |
| <b>Total City of Austin Budget Variance</b>                       | <b>\$ (240,488)</b> |                             |

**Note 2: Travis County - YTD Budget Variance (\$658,660):**

|  |                     |                             |
|--|---------------------|-----------------------------|
| Travis County SAMSO                        | \$ (153,178)        | Cost Reimbursement Contract |
| Travis County System of Care               | (158,976)           | Cost Reimbursement Contract |
| Travis County - Families With Voice (FWV)  | (109,175)           | Cost Reimbursement Contract |
| Travis County Correctional Complex         | (68,733)            | FFS with contract Maximum   |
| Travis County Juvenile Probation           | (67,562)            |                             |
| All Other (5 contracts)                    | (101,036)           |                             |
| <b>Total Travis County Budget Variance</b> | <b>\$ (658,660)</b> |                             |

**CCC-Central Health - YTD Budget Variance (\$778,544):**

|                |   |                     |  |
|----------------|---|---------------------|--|
| <b>Note 3:</b> | In-Patient                                  | \$ (421,788)        | Cost Reimbursement, contract end 9/30 spend DSHS 1st |
|                | CommUnity Care- EMERGE Program              | (241,814)           | Anticipate contract increase                         |
|                | Medication Assisted Therapy (MAT)           | (114,942)           |  |
|                | <b>Total Central Health Budget Variance</b> | <b>\$ (778,544)</b> |  |

**Note 4: Other Local -YTD Budget Variance \$762,450:**

|   |                   |   |
|---|-------------------|---|
| St. David's Herman Center (EOU)                             | \$ 439,760        | Contract Expenses over budget   |
| UT Dell Medical School                                      | (126,607)         | Bi-Polar clinic contract not executed (\$76K); Other 2 clinics cost reimb |
| Seton In-School   | (113,032)         |   |
| Cost Reimbursement - Contra Budget on Est Unearned Contract | 589,311           | Cost Reimbursement contracts that may not spend total contract            |
| All Other (20 line items)                                   | (26,982)          |   |
| <b>Total Other Local Budget Variance</b>                    | <b>\$ 762,450</b> |   |

**Note 5: DSHS MH - YTD Budget Variance (\$1,513,888):**

|                                      |                       |  |
|--------------------------------------|-----------------------|--|
| DSHS - Inpatient                     | \$ (713,841)          | CCC - spend balance of that contract term 9/30                   |
| DSHS - HCC                           | (440,692)             | City of Austin HCC needed to spend contract bal 1st              |
| DSHS - GR, Adult & Child             | (306,029)             | Reserve & Class/Comp financials 12 months budget 3 months actual |
| DSHS - Resident Program              | (52,497)              |  |
| DSHS - FEMA pass through (no budget) | 62,910                |  |
| DSHS -All Other (11 line items)      | (63,739)              |  |
| <b>Total DSHS MH Budget Variance</b> | <b>\$ (1,513,888)</b> |  |

**Note 6: DADS - YTD Budget Variance (\$595,240):**

|                                      |                     |
|--------------------------------------|---------------------|
| DADS General Revenue                 | \$ (85,792)         |
| DADS Transitional Support Team       | (322,441)           |
| DADS CLOIP                           | (58,389)            |
| DADS Enhanced Community Coordination | (62,680)            |
| DADS All Other (4 line items)        | (65,938)            |
| <b>Total DADS Budget Variance</b>    | <b>\$ (595,240)</b> |

Reserve &amp; Class/Comp financials 12 months budget 3 months actual

**Note 7: TCOOMMI - YTD Budget Variance (\$60,019):**

|                                   |                    |
|-----------------------------------|--------------------|
| Adult                             | \$ (81,973)        |
| Child                             | 21,954             |
| <b>Total DADS Budget Variance</b> | <b>\$ (60,019)</b> |

**Note 8: Other State - YTD Budget Variance (\$121,780):**

|  |                     |
|--|---------------------|
| CPRIT - 2                                | \$ (63,521)         |
| CPRIT - 3                                | (58,259)            |
| <b>Total Other State Budget Variance</b> | <b>\$ (121,780)</b> |

**Note 9: Medicare/Medicaid/HMO - YTD Budget Variance (\$1,761,575):**

|  |                       |
|--|-----------------------|
| MH - HMO & Medicaid                                | \$ (550,500)          |
| SUD - HMO & Medicaid                               | 11,560                |
| Case Management - HMO & Medicaid                   | (320,903)             |
| Rehab - HMO & Medicaid                             | (507,194)             |
| Medicare   | (8,610)               |
| IDD Service Coordination                           | (513,422)             |
| Reserve - Unearned FFS contra budget               | 127,494               |
| <b>Total Medicare/Medicaid/HMO Budget Variance</b> | <b>\$ (1,761,575)</b> |

**Note 10: Other Federal Budget Variance (\$211,168):**

|  |                     |
|--|---------------------|
| Medicaid Administrative Claiming (MAC)             | \$ (189,808)        |
| HUD Supported Housing                              | 103,892             |
| All Other (5 line items)                           | (125,252)           |
| <b>Total Medicare/Medicaid/HMO Budget Variance</b> | <b>\$ (211,168)</b> |

Reserve &amp; Class/Comp financials 12 months budget 3 months actual

**Note 11: Fund Balance Budget Variance (\$292,911):**

|  |                     |
|--|---------------------|
| ECI Infant Parent Program                          | \$ (125,262)        |
| Midelberg  | 66                  |
| Unallowable Expenses                               | (167,715)           |
| <b>Total Medicare/Medicaid/HMO Budget Variance</b> | <b>\$ (292,911)</b> |

**EXPENSE BUDGET VARIANCE NOTES - OPERATIONS**

Period Ending 5/31/2018

|     |  | <u>Note 12:</u>                       | <u>Note 13:</u>          | <u>Note 14:</u>                          | <u>Note 15:</u>                    | <u>Note 16:</u>                  | <u>Note 17:</u>                         | <u>Note 18:</u>        | <u>Note 19:</u>             |
|-----|--|---------------------------------------|--------------------------|--|------------------------------------|----------------------------------|---|------------------------|-----------------------------|
|     | <b>Major Funding Types:</b>                  | <b>Salaries &amp; Fringe Benefits</b> | <b>Travel / Workshop</b> | <b>Prescription Medication / Medical</b> | <b>Contracts &amp; Consultants</b> | <b>Furniture &amp; Equipment</b> | <b>Facility / Telephone / Utilities</b> | <b>Insurance Costs</b> | <b>Client Support Costs</b> |
| 1.) | Cost Reimbursement                           | \$ 1,650,971                          | \$ 67,871                | \$ 12,126                                | \$ 1,177,146                       | \$ (79,430)                      | \$ (18,126)                             | \$ 6,792               | (108,002)                   |
| 2.) | FFS Contract Max                             | 267,368                               | 4,720                    | 8,054                                    | 79,972                             | (20,972)                         | 2,664                                   | (1,107)                | 37,494                      |
| 3.) | DSHS Adult & Child / Housing                 | 921,628                               | 8,731                    | 87,359                                   | (184,543)                          | (58,601)                         | (138,102)                               | 1,865                  | 1,019                       |
| 4.) | DADS   | 370,981                               | 11,477                   | (2,536)                                  | 280,047                            | (11,255)                         | 17,993                                  | (2,513)                | (6,254)                     |
| 5.) | TxHmLvg                                      | 5,083                                 | 224                      | 36                                       | (6,593)                            | (95)                             | 411                                     | (39)                   | (15,646)                    |
| 6.) | Program Support & Community Collaboratives   | 12,557                                | 10,919                   | 7,309                                    | 353,780                            | 62                               | (22,007)                                | (57,093)               | (19,413)                    |
| 7.) | Admin / Authority                            | 276,930                               | 14,424                   | -  | 26,667                             | (26,694)                         | (52,844)                                | (1,872)                | 277                         |
|     | <b>Total Expense (over)/under YTD Budget</b> | <b>\$ 3,505,518</b>                   | <b>\$ 118,366</b>        | <b>\$ 112,348</b>                        | <b>\$ 1,726,476</b>                | <b>\$ (196,985)</b>              | <b>\$ (210,011)</b>                     | <b>\$ (53,967)</b>     | <b>\$ (110,525)</b>         |

**General Note:** All contracts are budgeted at contract amount. In some instances we will budget a contra budget if we believe that we do not have the capacity to earn all funds, ie some DSHS SUD contracts.

**Major Funding Category Notes YTD Budget Variances \$50,000 & >:**

|                |  |              |   |
|----------------|--|--------------|---|
| <b>Note 13</b> | Travel / Workshop - Cost Reimbursement               | \$ 67,871    | There are 34 programs in this category, no one program is significantly under budget  |
| <b>Note 14</b> | Prescription, Medication - DSHS Adult & Child / Hous | \$ 87,359    | Pharmacy located at E.2nd under budget  |
| <b>Note 15</b> | Contracts & Consultants - Cost Reimbursement         | \$ 1,177,146 | Under Budget: Central Health \$833K; DSHS Inpatient \$714K; Travis County SAMSO \$180K; Travis County System of Care \$233K; DSHS HCC \$134K; Travis County Family With Voices \$116K; Over Budget: Herman Center (\$982K); City of Austin ACT (\$68K) ; All Other 31 programs \$17K under budget |
|                | Contracts & Consultants - FFS Contract Max           | \$ 79,972    | YES Waiver \$64K; All Other 6 programs \$16K under budget   |
|                | Contracts & Consultants - DSHS Adult,Child,Hsg       | \$ (184,543) | Over Budget: Adult Rundberg Clinic (\$222K); PES (\$166K); The Inn (\$115K); Under Budget: Reserve \$308K; All Other 14 programs \$10K under budget   |
|                | Contracts & Consultants - DADS                       | \$ 280,047   | Class Comp Reserve \$86K; Transition Support Team (HUB) \$83K; All Other 18 Programs \$111K under budget  |
|                | Contracts & Consultants - Program Support            | \$ 353,780   | Class Comp Reserve (MAC) \$132K; UT / Dell Collaborative \$175K; All Other 6 Programs \$47K under budget  |
| <b>Note 16</b> | Furniture & Equipment - Cost Reimbursement           | \$ (79,430)  | Herman Center (\$30K); All Other 33 programs are (\$50K) over budget  |
|                | Furniture & Equipment - DSHS Adult,Child,Hsg         | \$ (58,601)  | There are 20 programs in this category, no one program is significantly under budget  |
| <b>Note 17</b> | Facility/Telephone/Utilities - DSHS Adult/Child/Hsg  | \$ (138,102) | NLJ facility extended for 2 add '1 months not budgeted (\$50K ea month) and budget comparison 5 months rent compared to 9/12 ytd annual budget (lease end Jan), (\$124K); All Other 27 programs (\$14K) over budget   |
|                | Facility/Telephone/Utilities - Admin / Authority     | \$ (52,844)  | S. Lamar facilities   |
| <b>Note 18</b> | Insurance Costs - Program Supp & Comm Collaboratives | \$ (57,093)  | Unallowable cost unit (\$61K) insurance claim deductibles   |
| <b>Note 19</b> | Client Support Costs - Cost Reimbursement            | \$ (108,002) | Over Budget: HUD Supportive Hsg (\$80K); Travis County FWV (\$91K); Under Budget: SAMSO \$65K; All Other 27 programs (\$2K) over budget   |

**Statement of Revenues and Expenditures - Schedule C3 - Waiver**  
**05/01/2018 Through 05/31/2018**

**Schedule C3 - Waiver**

**REVENUES**

**Local Funds**

|                          |                   |                   |                   |                    |                   |                   |                  |               |
|--------------------------|-------------------|-------------------|-------------------|--------------------|-------------------|-------------------|------------------|---------------|
| Central Health           | \$ -              | \$ 190,800        | \$ 190,800        | \$ -               | \$ 190,800        | \$ 143,100        | \$ 47,700        | 33.33%        |
| Other Local              | 199,427           | -                 | 199,427           | (17,547)           | 164,698           | 149,580           | 15,118           | 10.11%        |
| <b>Total Local Funds</b> | <b>\$ 199,427</b> | <b>\$ 190,800</b> | <b>\$ 390,227</b> | <b>\$ (17,547)</b> | <b>\$ 355,498</b> | <b>\$ 292,680</b> | <b>\$ 62,818</b> | <b>21.46%</b> |

**State Funds**

|                          |             |             |             |             |               |             |               |              |
|--------------------------|-------------|-------------|-------------|-------------|---------------|-------------|---------------|--------------|
| DADS                     | \$ -        | \$ -        | \$ -        | \$ -        | \$ 852        | \$ -        | \$ 852        | 0.00%        |
| <b>Total State Funds</b> | <b>\$ -</b> | <b>\$ -</b> | <b>\$ -</b> | <b>\$ -</b> | <b>\$ 852</b> | <b>\$ -</b> | <b>\$ 852</b> | <b>0.00%</b> |

**Federal Funds**

|                            |                     |                   |                     |                   |                     |                     |                     |                 |
|----------------------------|---------------------|-------------------|---------------------|-------------------|---------------------|---------------------|---------------------|-----------------|
| Medicare/Medicaid/HMO      | \$ 1,633,027        | \$ 109,825        | \$ 1,742,852        | \$ 121,586        | \$ 1,151,003        | \$ 1,307,205        | \$ (156,202)        | (11.95%)        |
| <b>Total Federal Funds</b> | <b>\$ 1,633,027</b> | <b>\$ 109,825</b> | <b>\$ 1,742,852</b> | <b>\$ 121,586</b> | <b>\$ 1,151,003</b> | <b>\$ 1,307,205</b> | <b>\$ (156,202)</b> | <b>(11.95%)</b> |

**Waiver Funds**

|                           |                      |                     |                      |                     |                      |                      |                     |                |
|---------------------------|----------------------|---------------------|----------------------|---------------------|----------------------|----------------------|---------------------|----------------|
| 1115 Waiver               | \$ 13,365,718        | \$ (508,325)        | \$ 12,857,393        | \$ 1,068,875        | \$ 9,409,834         | \$ 9,643,050         | \$ (233,216)        | (2.42%)        |
| <b>Total Waiver Funds</b> | <b>\$ 13,365,718</b> | <b>\$ (508,325)</b> | <b>\$ 12,857,393</b> | <b>\$ 1,068,875</b> | <b>\$ 9,409,834</b>  | <b>\$ 9,643,050</b>  | <b>\$ (233,216)</b> | <b>(2.42%)</b> |
| <b>Total REVENUES</b>     | <b>\$ 15,198,172</b> | <b>\$ (207,700)</b> | <b>\$ 14,990,472</b> | <b>\$ 1,172,913</b> | <b>\$ 10,917,187</b> | <b>\$ 11,242,935</b> | <b>\$ (325,748)</b> | <b>(2.90%)</b> |

**EXPENDITURES**

**Operating expenditures**

|                                     |                      |                     |                      |                     |                     |                      |                     |               |
|-------------------------------------|----------------------|---------------------|----------------------|---------------------|---------------------|----------------------|---------------------|---------------|
| Salaries                            | \$ 9,268,635         | \$ 70,514           | \$ 9,339,149         | \$ 714,432          | \$ 6,169,133        | \$ 7,004,394         | \$ 835,261          | 11.92%        |
| Fringe Benefits                     | 2,442,826            | 9,133               | 2,451,959            | 161,715             | 1,474,118           | 1,839,024            | 364,906             | 19.84%        |
| Travel/Workshop                     | 188,877              | (2,197)             | 186,680              | 10,240              | 76,199              | 140,031              | 63,832              | 45.58%        |
| Prescription Drugs & Medicine       | 56,593               | -                   | 56,593               | 6,079               | 24,822              | 42,453               | 17,631              | 41.53%        |
| Consumable Supplies                 | 43,759               | -                   | 43,759               | 5,585               | 43,548              | 32,850               | (10,698)            | (32.57%)      |
| Contracts & Consultants             | 1,684,542            | (247,711)           | 1,436,831            | 103,613             | 1,067,394           | 1,077,624            | 10,230              | 0.95%         |
| Capital Outlay                      | 95,570               | -                   | 95,570               | -                   | 5,338               | 71,685               | 66,347              | 92.55%        |
| Furniture & Equipment               | 197,186              | -                   | 197,186              | 15,993              | 176,990             | 147,915              | (29,075)            | (19.66%)      |
| Facility/Telephone/Utility          | 1,046,595            | (37,439)            | 1,009,156            | 95,600              | 727,131             | 756,864              | 29,733              | 3.93%         |
| Insurance Costs                     | 58,043               | -                   | 58,043               | 4,870               | 42,287              | 43,542               | 1,255               | 2.88%         |
| Transportation Costs                | 21,750               | -                   | 21,750               | 1,511               | 20,032              | 16,326               | (3,706)             | (22.70%)      |
| Professional Fees                   | 50                   | -                   | 50                   | -                   | 4                   | 36                   | 32                  | 89.33%        |
| Other Operating Costs               | 79,305               | -                   | 79,305               | 4,984               | 37,935              | 59,508               | 21,573              | 36.25%        |
| Client Support Costs                | 14,441               | -                   | 14,441               | 886                 | 11,038              | 10,827               | (211)               | (1.95%)       |
| <b>Total Operating expenditures</b> | <b>\$ 15,198,172</b> | <b>\$ (207,700)</b> | <b>\$ 14,990,472</b> | <b>\$ 1,125,509</b> | <b>\$ 9,875,969</b> | <b>\$ 11,243,079</b> | <b>\$ 1,367,110</b> | <b>12.16%</b> |
| <b>Total EXPENDITURES</b>           | <b>\$ 15,198,172</b> | <b>\$ (207,700)</b> | <b>\$ 14,990,472</b> | <b>\$ 1,125,509</b> | <b>\$ 9,875,969</b> | <b>\$ 11,243,079</b> | <b>\$ 1,367,110</b> | <b>12.16%</b> |

**Total Gain/Loss Operating before FB**

|   |   |   |        |           |       |           |
|---|---|---|--------|-----------|-------|-----------|
| - | - | - | 47,404 | 1,041,218 | (144) | 1,041,362 |
|---|---|---|--------|-----------|-------|-----------|

**Fund Balance**

|                           |             |             |             |             |             |             |             |              |
|---------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|--------------|
| Fund Balance              | \$ -        | \$ -        | \$ -        | \$ -        | \$ -        | \$ -        | \$ -        | 0.00%        |
| <b>Total Fund Balance</b> | <b>\$ -</b> | <b>\$ -</b> | <b>\$ -</b> | <b>\$ -</b> | <b>\$ -</b> | <b>\$ -</b> | <b>\$ -</b> | <b>0.00%</b> |

**Total Gain/Loss Operating with FB**

|      |      |      |        |           |          |              |
|------|------|------|--------|-----------|----------|--------------|
| \$ - | \$ - | \$ - | 47,404 | 1,041,218 | \$ (144) | \$ 1,041,362 |
|------|------|------|--------|-----------|----------|--------------|

**Statement of Revenues and Expenditures - Schedule C4 - Capital Projects**  
05/01/2018 Through 05/31/2018

Schedule C4 - Capital Projects

**REVENUES**

**Local Funds**

|                          |                      |             |                      |                   |                     |                      |                        |                 |
|--------------------------|----------------------|-------------|----------------------|-------------------|---------------------|----------------------|------------------------|-----------------|
| City of Austin           | \$ 3,888,112         | \$ -        | \$ 3,888,112         | \$ -              | \$ -                | \$ 2,916,081         | \$ (2,916,081)         | (100.00%)       |
| Other Local              | 14,292,245           | -           | 14,292,245           | 762,498           | 1,450,547           | 10,719,180           | (9,268,633)            | (86.47%)        |
| <b>Total Local Funds</b> | <b>\$ 18,180,357</b> | <b>\$ -</b> | <b>\$ 18,180,357</b> | <b>\$ 762,498</b> | <b>\$ 1,450,547</b> | <b>\$ 13,635,261</b> | <b>\$ (12,184,714)</b> | <b>(89.36%)</b> |

**State Funds**

|                          |                   |             |                   |             |                   |                  |                  |               |
|--------------------------|-------------------|-------------|-------------------|-------------|-------------------|------------------|------------------|---------------|
| DSHS Mental Health       | \$ 100,000        | \$ -        | \$ 100,000        | \$ -        | \$ 100,000        | \$ 74,997        | \$ 25,003        | 33.34%        |
| <b>Total State Funds</b> | <b>\$ 100,000</b> | <b>\$ -</b> | <b>\$ 100,000</b> | <b>\$ -</b> | <b>\$ 100,000</b> | <b>\$ 74,997</b> | <b>\$ 25,003</b> | <b>33.34%</b> |

**Waiver Funds**

|                           |                      |             |                      |                   |                     |                      |                        |                 |
|---------------------------|----------------------|-------------|----------------------|-------------------|---------------------|----------------------|------------------------|-----------------|
| 1115 Waiver               | \$ 1,842,700         | \$ -        | \$ 1,842,700         | \$ 44,629         | \$ 491,878          | \$ 1,382,022         | \$ (890,144)           | (64.41%)        |
| <b>Total Waiver Funds</b> | <b>\$ 1,842,700</b>  | <b>\$ -</b> | <b>\$ 1,842,700</b>  | <b>\$ 44,629</b>  | <b>\$ 491,878</b>   | <b>\$ 1,382,022</b>  | <b>\$ (890,144)</b>    | <b>(64.41%)</b> |
| <b>Total REVENUES</b>     | <b>\$ 20,123,057</b> | <b>\$ -</b> | <b>\$ 20,123,057</b> | <b>\$ 807,127</b> | <b>\$ 2,042,425</b> | <b>\$ 15,092,280</b> | <b>\$ (13,049,855)</b> | <b>(86.47%)</b> |

**EXPENDITURES**

**Operating expenditures**

|                                     |                      |             |                      |                   |                     |                      |                      |               |
|-------------------------------------|----------------------|-------------|----------------------|-------------------|---------------------|----------------------|----------------------|---------------|
| Consumable Supplies                 | \$ -                 | \$ -        | \$ -                 | \$ -              | \$ 418              | \$ -                 | \$ (418)             | 0.00%         |
| Contracts & Consultants             | -                    | -           | -                    | 14,809            | 92,133              | -                    | (92,133)             | 0.00%         |
| Capital Outlay                      | 17,060,626           | -           | 17,060,626           | 768,640           | 4,770,204           | 12,795,471           | 8,025,267            | 62.72%        |
| Furniture & Equipment               | -                    | -           | -                    | 8,651             | 122,089             | -                    | (122,089)            | 0.00%         |
| Facility/Telephone/Utility          | -                    | -           | -                    | 4,570             | 33,760              | -                    | (33,760)             | 0.00%         |
| Insurance Costs                     | -                    | -           | -                    | -                 | 28,875              | -                    | (28,875)             | 0.00%         |
| Professional Fees                   | 755,000              | -           | 755,000              | 13,570            | 435,775             | 566,244              | 130,469              | 23.04%        |
| Other Operating Costs               | 5,090,757            | -           | 5,090,757            | -                 | 46,579              | 3,818,070            | 3,771,491            | 98.78%        |
| <b>Total Operating expenditures</b> | <b>\$ 22,906,383</b> | <b>\$ -</b> | <b>\$ 22,906,383</b> | <b>\$ 810,239</b> | <b>\$ 5,529,832</b> | <b>\$ 17,179,785</b> | <b>\$ 11,649,953</b> | <b>67.81%</b> |
| <b>Total EXPENDITURES</b>           | <b>\$ 22,906,383</b> | <b>\$ -</b> | <b>\$ 22,906,383</b> | <b>\$ 810,239</b> | <b>\$ 5,529,832</b> | <b>\$ 17,179,785</b> | <b>\$ 11,649,953</b> | <b>67.81%</b> |

**Total Gain/Loss Operating before FB**

|                    |          |                    |                |                    |                    |                    |
|--------------------|----------|--------------------|----------------|--------------------|--------------------|--------------------|
| <b>(2,783,326)</b> | <b>-</b> | <b>(2,783,326)</b> | <b>(3,112)</b> | <b>(3,487,407)</b> | <b>(2,087,505)</b> | <b>(1,399,902)</b> |
|--------------------|----------|--------------------|----------------|--------------------|--------------------|--------------------|

**Fund Balance**

|                           |                     |             |                     |             |             |                     |                       |                  |
|---------------------------|---------------------|-------------|---------------------|-------------|-------------|---------------------|-----------------------|------------------|
| Fund Balance              | \$ 2,783,326        | \$ -        | \$ 2,783,326        | \$ -        | \$ -        | \$ 2,087,496        | \$ (2,087,496)        | (100.00%)        |
| <b>Total Fund Balance</b> | <b>\$ 2,783,326</b> | <b>\$ -</b> | <b>\$ 2,783,326</b> | <b>\$ -</b> | <b>\$ -</b> | <b>\$ 2,087,496</b> | <b>\$ (2,087,496)</b> | <b>(100.00%)</b> |

**Total Gain/Loss Operating with FB**

|             |             |             |                |                    |            |                    |
|-------------|-------------|-------------|----------------|--------------------|------------|--------------------|
| <b>\$ -</b> | <b>\$ -</b> | <b>\$ -</b> | <b>(3,112)</b> | <b>(3,487,407)</b> | <b>(9)</b> | <b>(3,487,398)</b> |
|-------------|-------------|-------------|----------------|--------------------|------------|--------------------|

| FUND BALANCE NOTE  | Prior Period       | Current Month  |                    | to Balance Sheet   |
|--|--------------------|----------------|--------------------|--|
|  | Balance            | Actual         | YTD Actual         |  |
| Renovation Airport Blvd Building (Designated Fund Balance) | (1,565,939)        | -              | (1,565,939)        | - Fund Balance Airport Building Sale/Purchase/Renovation |
| Renovation Airport Blvd Building (Operating Fund Balance)  | (1,918,355)        | (3,112)        | (1,921,467)        | - Fund Balance Operations                                |
| Capital Projects (excluding Airport Facility)              | -                  | -              | -                  | - Fund Balance Operations                                |
| <b>Capital Projects Total</b>                              | <b>(3,484,295)</b> | <b>(3,112)</b> | <b>(3,487,407)</b> |  |

## **V. Discuss and Take Appropriate Action on the Approval of Electronic Health Records (EHR) Vendor**

**David Weden**

# Memorandum

**To: Board of Trustees**

**From: David Weden, Chief Administrative Officer/Chief Financial Officer**

**Re: Recommendation to Authorize the Chief Executive Officer and/or his designee to Enter into a Contract with Netsmart for the Electronic Health Record**

**Date: June 25, 2018**

## **Background:**

The Integral Care Strategic Plan for FY2017-19 includes the following:

**Strategic Goal 1** Operational excellence is achieved through strong and responsive systems, staffing, and infrastructure that support current and potential initiatives.

**Objective 1.2** Invest in and leverage state-of-the-art information technology  
b) Research, select, and implement electronic health record.

## **Current Status:**

On November 20, 2017, Integral Care released a Request for Proposals for a new electronic health record. Proposals were due on January 19, 2018 and six proposals were received by the deadline. Scoring on proposals was based on the following:

| Category                    | Maximum Score |
|-----------------------------|---------------|
| Proposer Profile            | 5             |
| Executive Summary           | 10            |
| Product Narrative           | 35            |
| Implementation and Training | 25            |
| Service and Support         | 25            |
| Total                       | 100           |

Initial proposals were reviewed and scored by Tony Ball, Kristin Christensen, Martin Cook, Robert Dominguez, Dr. Craig Franke, Amina Ghazi, Brooke Martin, Sharon Panakis, Sheri Stiffler, David Weden, and Teresa Williams. A summary of the scores on the initial proposals coupled with a summary of the initial proposed pricing and reported meeting of the 112 Electronic Health Record (EHR) requirements listed with the RFP are included on the next page.

Based on three proposals having scores within 95% of the highest score and the same three proposers reporting that they could meet 95% or higher of the three proposals having scores within 95% of the highest score and the same three proposers reporting that they could meet 95% or higher of the three proposals having scores within 95% of the highest score and the same three proposers reporting that they could meet 95% or higher of the EHR requirements listed as Must Haves or Should Haves within the



Request for Proposal, the following vendors were selected to come on site for demonstrations: Harris Public Health Solutions, Netsmart Technologies, and Streamline Healthcare Solutions.

| Electronic Health Record Request For Proposal Scoring Sheet                                 |   |               |                    |              |                         |                                |                       |                                 |  |  |  |  |  |
|---|---|---------------|--------------------|--------------|-------------------------|--------------------------------|-----------------------|---------------------------------|--|--|--|--|--|
| Completed by:   | Overall Summary   |               |                    |              |                         |                                |                       |                                 |  |  |  |  |  |
|   |   |               |                    |              |                         |                                |                       |                                 |  |  |  |  |  |
|   | Page Limit  | Maximum Score | Cerner Corporation | Echo Group   | eHealth Medical Systems | Harris Public Health Solutions | Netsmart Technologies | Streamline Healthcare Solutions |  |  |  |  |  |
| Proposer Profile  | N/A   | 5             | 4.45               | 4.91         | 1.64                    | 4.64                           | 4.64                  | 4.73                            |  |  |  |  |  |
| Executive Summary   | 2   | 10            | 9.45               | 7.73         | 3.55                    | 9.27                           | 9.64                  | 9.73                            |  |  |  |  |  |
| Product Narrative<br>Implementation and Training<br>Service and Support<br>Total            | 12 (13 pages if proposal includes both cloud-based and self-hosted) |               |                    |              |                         |                                |                       |                                 |  |  |  |  |  |
|   |   | 35            | 25.45              | 22.91        | 8.00                    | 27.55                          | 31.00                 | 30.45                           |  |  |  |  |  |
|   | 5   | 25            | 20.27              | 19.82        | 7.00                    | 22.09                          | 22.36                 | 22.27                           |  |  |  |  |  |
|   | 5   | 25            | 20.09              | 19.64        | 2.82                    | 23.18                          | 22.09                 | 22.73                           |  |  |  |  |  |
|   |   | 100           | 79.73              | 75.00        | 23.00                   | 86.73                          | 89.73                 | 89.91                           |  |  |  |  |  |
|   |   |               |                    |              |                         |                                |                       |                                 |  |  |  |  |  |
| Cost Summary:   |   |               |                    |              |                         |                                |                       |                                 |  |  |  |  |  |
| Year 1  |   |               | \$ 1,173,000       | \$ 826,806   | \$ 46,490,792           | \$ 982,231                     | \$ 2,918,652          | \$ 731,936                      |  |  |  |  |  |
| Year 2  |   |               | \$ 552,000         | \$ 521,406   | \$ 3,135,769            | \$ 599,231                     | \$ 1,138,358          | \$ 362,745                      |  |  |  |  |  |
| Year 3  |   |               | \$ 552,000         | \$ 521,406   | \$ 2,821,967            | \$ 628,143                     | \$ 1,138,358          | \$ 362,745                      |  |  |  |  |  |
| Cost 1st 3 Years  |   |               | \$ 2,277,000       | \$ 1,869,618 | \$ 52,448,528           | \$ 2,209,604                   | \$ 5,195,368          | \$ 1,651,248                    |  |  |  |  |  |
|   |   |               |                    |              |                         |                                |                       |                                 |  |  |  |  |  |
| EHR Requirements Summary:   |   |               |                    |              |                         |                                |                       |                                 |  |  |  |  |  |
| Section B Must Haves  | Per RFP   | 103           | 103                | 103          | 103                     | 103                            | 103                   | 103                             |  |  |  |  |  |
| Currently Have  | Count   | 78            | 101                | 103          | 92                      | 95                             | 99                    | 99                              |  |  |  |  |  |
| Will Have by Go-Live  | Count   | 8             |                    |              | 7                       | 6                              | 2                     |                                 |  |  |  |  |  |
| Plan to Have by 2018  | Count   | 5             | 2                  |              |                         |                                | 1                     |                                 |  |  |  |  |  |
| Do Not Have   | Count   | 12            |                    |              | 4                       | 2                              | 1                     |                                 |  |  |  |  |  |
| Currently Have  | %age  | 76%           | 98%                | 100%         | 89%                     | 92%                            | 96%                   |                                 |  |  |  |  |  |
| Will Have by Go-Live  | %age  | 8%            | 0%                 | 0%           | 7%                      | 6%                             | 2%                    |                                 |  |  |  |  |  |
| Plan to Have by 2018  | %age  | 5%            | 2%                 | 0%           | 0%                      | 0%                             | 1%                    |                                 |  |  |  |  |  |
| Do Not Have   | %age  | 12%           | 0%                 | 0%           | 4%                      | 2%                             | 1%                    |                                 |  |  |  |  |  |
|   |   |               |                    |              |                         |                                |                       |                                 |  |  |  |  |  |
| Section B Should Haves  | Per RFP   | 9             | 9                  | 9            | 9                       | 9                              | 9                     | 9                               |  |  |  |  |  |
| Currently Have  | Count   | 4             | 6                  | 4            | 7                       | 5                              | 8                     |                                 |  |  |  |  |  |
| Will Have by Go-Live  | Count   | 1             | 2                  | 5            | 1                       | 3                              |                       |                                 |  |  |  |  |  |
| Plan to Have by 2018  | Count   |               |                    |              |                         |                                |                       |                                 |  |  |  |  |  |
| Do Not Have   | Count   | 4             | 1                  |              | 1                       | 1                              | 1                     |                                 |  |  |  |  |  |
| Currently Have  | %age  | 44%           | 67%                | 44%          | 78%                     | 56%                            | 89%                   |                                 |  |  |  |  |  |
| Will Have by Go-Live  | %age  | 11%           | 22%                | 56%          | 11%                     | 33%                            | 0%                    |                                 |  |  |  |  |  |
| Plan to Have by 2018  | %age  | 0%            | 0%                 | 0%           | 0%                      | 0%                             | 0%                    |                                 |  |  |  |  |  |
| Do Not Have   | %age  | 44%           | 11%                | 0%           | 11%                     | 11%                            | 11%                   |                                 |  |  |  |  |  |
|   |   |               |                    |              |                         |                                |                       |                                 |  |  |  |  |  |
| Subtotal %age of Must Haves<br>(Currently Have, Will Have by Go-Live, Plan to Have by 2018) |   |               | 88%                | 100%         | 100%                    | 96%                            | 98%                   | 99%                             |  |  |  |  |  |
|   |   |               |                    |              |                         |                                |                       |                                 |  |  |  |  |  |
| High Score  |   |               |                    |              |                         |                                |                       |                                 |  |  |  |  |  |
| 95% or Higher in Relation to High Score   |   |               |                    |              |                         |                                |                       |                                 |  |  |  |  |  |
| 75% to <95% in Relation to High Score   |   |               |                    |              |                         |                                |                       |                                 |  |  |  |  |  |
| Less than 75% of High Score   |   |               |                    |              |                         |                                |                       |                                 |  |  |  |  |  |

Each proposer selected for demonstrations was given four hours to demonstrate the following scenarios:

## **Integral Care Scenarios for EMR demos**

Each vendor will be given 4 hours for a demonstration based on the following scenarios. Please review the scenarios in advance in case any information needs to be prepopulated in your demonstration system in order to carry out the scenarios in a timely fashion. Where feasible, it is requested that any data entry and movement through the system be completed by a designated Integral Care staff member based on directions from the proposing vendor in order to help get a feel for the system and that the same patient be utilized where feasible for consistency throughout. Items for the demonstration do not need to be taken in order and each vendor has the responsibility for limiting questions during the demonstration in order to ensure they complete the requested demonstration items.

- 1) Demonstrate workflow including the interaction between scheduling, insurance information, tasking (such as verifications needed at front desk, alerts on assessments needed/notification system, visual schedule for various credentials working with patient, tracking of patient in process day of appointment), visual representation of chart, and having multiple items on patient open at same time
- 2) Demonstrate the golden thread of how assessments tie to treatment plans and tie to services delivered. Include demonstration of information that can auto-populate from assessment to treatment plan and between other forms in order to avoid duplication of entry. Please include any ways the system can check to ensure a service code is authorized on the treatment plan.
- 3) Describe the process utilized to track progress on achievement toward goals in Recovery Plans, how this information is displayed to the clinician, and how to access this information in overall reporting.
- 4) Demonstrate how the system can track planned verse delivered services.
- 5) Demonstrate the process for ordering prescriptions, labs and other tests.
- 6) Demonstrate the process for keeping a Medication Administration Record and how Medication Orders are tracked separately from prescriptions.
- 7) Demonstrate a dashboard that can be produced for the clinician that would include productivity for the week or month.
- 8) Demonstrate process for setting up specific timelines for alerts such as financial review due annually, PHQ-9 assessment if patient has counseling appointment.

- 9) Demonstrate the capability for a clinician to sort or filter progress notes.
- 10) Demonstrate if there is a process to force alerts and require the alert to stay on the clinician's view of the record.
- 11) Demonstrate fail safes available to help avoid creation of duplicate charts for the same individual.
- 12) Demonstrate the ability to set-up various structures for storing information and reporting based on various contracts and funding streams within the organization. For example, being able to track and report on activities of an Assertive Community Treatment team funded by the county, to report on Children's Mental Health separately from Adult Mental Health, or to report on activities that are not consumer-specific (e.g. contract needs to know how many people in the community attended an information session or number of individuals outreach was provided to in order to engage in services). Demonstrate ability to restrict eligible service codes within this structure.
- 13) Demonstrate the set-up of an insurance plan for a service, associate the insurance plan with the individual, enter the service, and follow the service through the revenue cycle management process.
- 14) Please demonstrate entering a progress note for an individual and then entering progress notes for a group of 4 individuals receiving the same service where one of the individuals leaves the group 30 minutes early.
- 15) Describe how the system would handle billing such as Intellectual and Developmental Disability Targeted Case Management whereby one face-to-face encounter with the consumer present can bill at the rate of \$90.00 and any remaining encounters, even if they are face-to-face with the consumer, bill at a rate of \$30.00 per encounter. Please note that if a face-to-face with the consumer present does not occur during a month, none of the encounters for the individual would bill for that month.
- 16) Demonstrate the setup required to report on the Certified Community Behavioral Health Clinic measures (one example is sufficient), including exclusionary criteria, and how the measures can be run on all payers, Medicaid payers, and low-income uninsured as well as any dashboard capabilities for monitoring measures.

- 17) Demonstrate how multiple maximum monthly ability to pay scenarios are established based on the type of program or services the individual is receiving.
- 18) Demonstrate how the system tracks and communicates the amount owed by the patient based on copay and deductible in combination with the maximum monthly fee charged to the individual and how this information is displayed to the clinical support staff as the individual continues to receive services throughout the month.
- 19) Demonstrate how a custom data field can be defined and capabilities for tracking and reporting based on the custom data field.
- 20) If a need arises that requires specific development by Integral Care staff, such as a new assessment, can the development go through a Quality Assurance process and then be supported as various upgrades in the software occur?
- 21) Demonstrate how the system determines bed day services as opposed to hourly services and data entry required for bed day services. Demonstrate any bed board capabilities.
- 22) Demonstrate tracking of nursing rounds and how nurse can enter information with mobile device such as iPad or cell phone.
- 23) Demonstrate the set up required for mapping to an encounter data submission file to the state, including options for including or excluding particular programs and/or service codes from the encounter data reporting. Include process for how services can be associated with a procedure code and modifiers for billing purposes but be able to report a procedure code with additional modifiers for encounter data reporting. For example, the Medicaid Billing Code may be 90791AH but the procedure code reported to the state may be 9079195AHETH9 for Psychiatric Diagnostic Interview Examination in response to a MH Crisis Licensed Psychologist, Outpatient Competency Restoration Program no medical via telemedicine.
- 24) Demonstrate how you would flag and track authorized release of PHI in alignment with 42 cfr part 2 and the proposed changes to 42 cfr part 2.
- 25) Demonstrate how the system tracks SNOMED codes in relation to service codes or procedure codes.
- 26) Demonstrate process for serving individual from another clinician's caseload when first clinician is out for an extended period of time.

27) Demonstrate process and options for establishing logic for auditing if required elements present for procedure code being utilized (e.g. checking for required elements within note for various pharmacological management codes).

28) Explain how amendments to final saved progress notes are implemented in the system. Does it automatically void original billing and replace with amended document?

Maximum scoring was allocated to each of the 28 scenarios to be demonstrated with total maximum valuation of 250 points for the demonstration. In addition to the scoring group on the initial proposals, Sherry Scholl and Lesa Brown-Valades were added to group for scoring the demonstrations. Following is a scoring summary of the demonstrations:

| <b>Proposer</b>                 | <b>Average Score for Demonstration</b> | <b>Number of Raters Giving Top Score</b> |
|---------------------------------|--|--|
| Harris Public Health Solutions  | 168                                    | 0  |
| Streamline Healthcare Solutions | 215                                    | 3  |
| Netsmart                        | 231                                    | 10                                       |

In addition to the demonstration, based on Netsmart scoring the highest, Integral Care clinical and Management Information System staff separately contacted references given by Netsmart as well as utilizers of Netsmart found through an internet search to get information on their overall experience and satisfaction. No major issues surfaced during the reference checks.

Netsmart is the proposed solution that the committee believes has the promise of assisting Integral Care in meeting our objectives with Integrated Care, Care Coordination, Certified Community Behavioral Health Clinic, continual tracking of clinical measures within the EHR, real time dashboards for clinicians and managers within the EHR, incorporating efficiencies for the clinician, implementing alternate based payment arrangements, tracking requirements based on varying payor requirements, integrating with other providers and the criminal justice system, supporting the full range of services offered by integral care, supporting internal and external provider entry, and supporting our managed services.

Netsmart is an implementer of Carequality. Carequality has a nationwide governance structure and clear technical and policy requirements that have buy-in from across the industry. Set up one compliant gateway through one Netsmart, and we will have the ability to connect to all other participants, both locally and across the country. Carequality implementers include: Athenahealth, Azuba, Commonwell, Coordinated Care Oklahoma, eClinicalWorks, Epic, GE Healthcare, Glenwood Systems, Inovalon, Integrated Data Services, Kno2, ManaHealth, Medicity, Netsmart, Nextgen Healthcare, Onerecord, Sun Coast RHIO, Surescripts, and Texas Health Services Authority (HIE Texas).

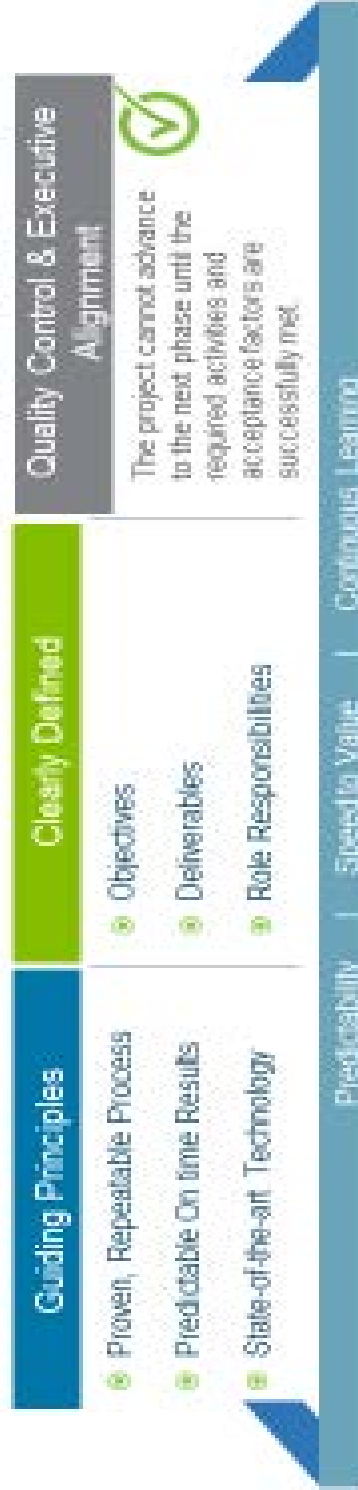
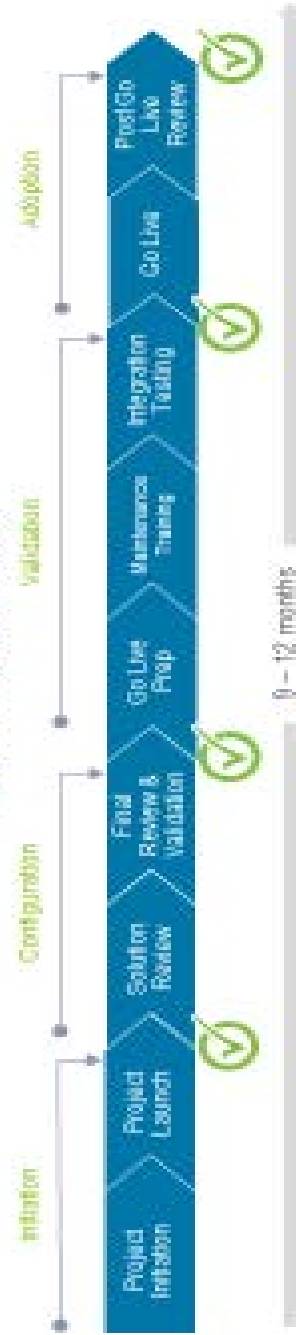
Upon completion of the contract, if the Integral Care Board approves moving forward, an implementation schedule will be developed utilizing the Plexus Project Approach and is anticipated to last approximately 12 months. A general outline of the Plexus Project Approach along with a sample of a summary overview of monitoring progress on implementation is shown on the next two pages followed by three pages of some general information on Netsmart.



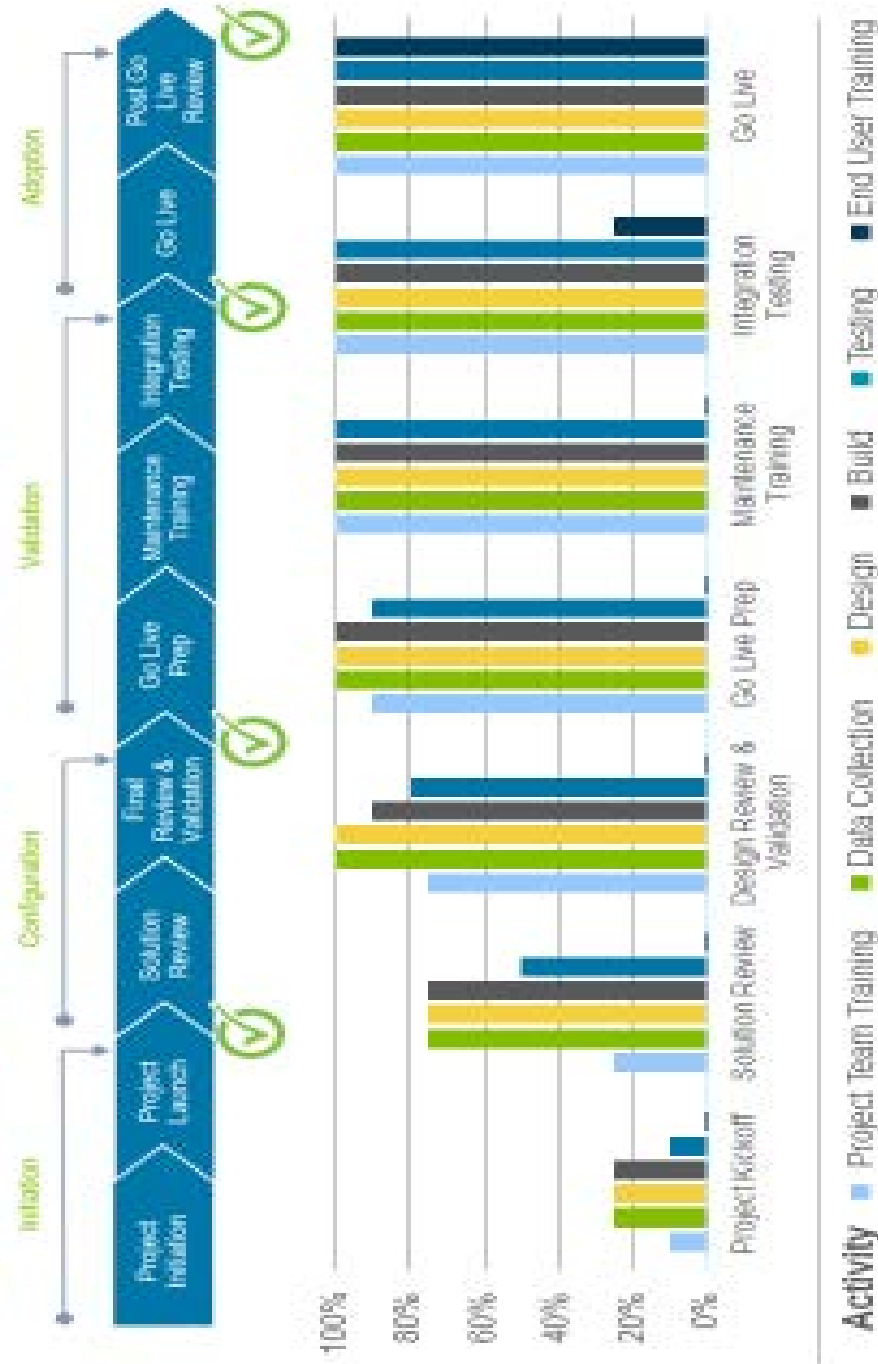
# Plexus Foundation

## Implementation Methodology

### Key Project Events

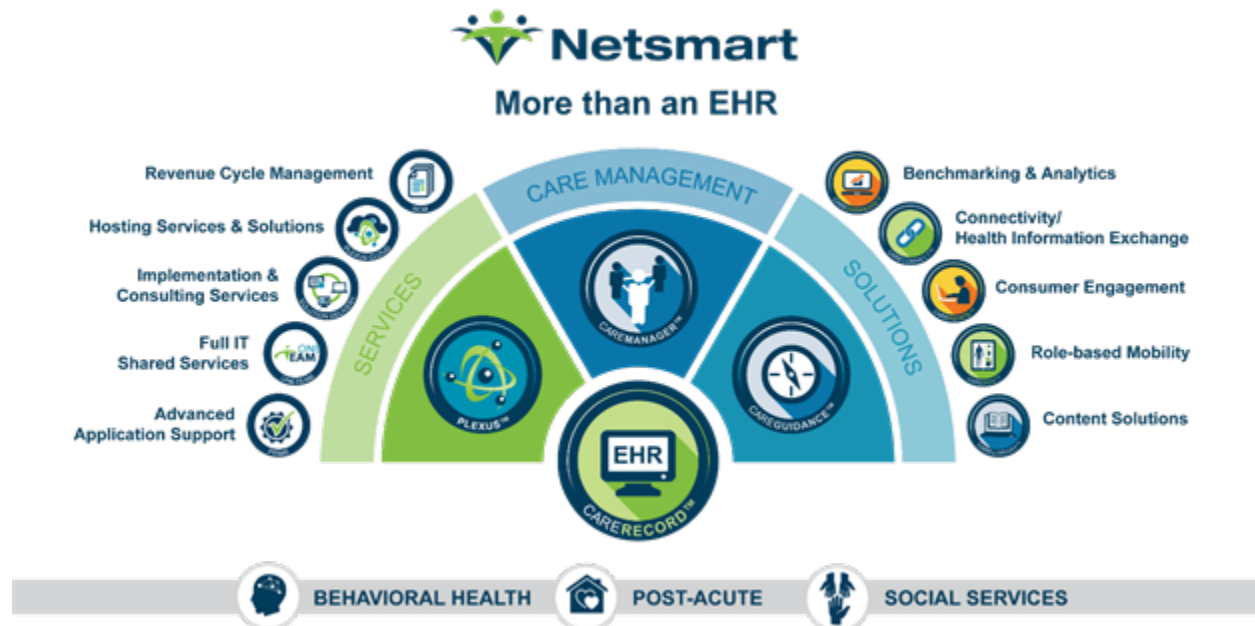


# Key Event Completion Visualization



## What is CareFabric™?

Netsmart's CareFabric is a framework of innovative clinical and business solutions and services that supports integrated, coordinated delivery of health services across the spectrum of care. With the CareFabric, the EHR is just the beginning. Various Netsmart solutions and services are layered and integrated with the EHR to meet the clinical and business needs of our clients.



The CareFabric includes:

**CareRecord™:** A platform providing a framework for EHR, billing, scheduling and clinical workflows to support and improve the care delivery process seamlessly across all levels of care. Each CareRecord solution mirrors continuum of care models and core workflows of behavioral health, addiction treatment and social services community partners. Netsmart has proposed myAvatar as the CareRecord for Integral Care.

**CareConnect™:** Connects an organization with providers, hospitals, physicians, Health Information Exchanges (HIE) and integrated delivery networks with the goal of improving efficiencies and reducing the cost of healthcare. This solution transmits protected health information (PHI) between participating organizations, allowing them to share that information while meeting Meaningful Use Stage 3 requirements and supporting all federal and state policies and standards for HIEs. Netsmart has proposed CareConnect.

**CareManager™:** Netsmart's care coordination solution, it aggregates clinical data to provide a broad picture at the population level. CareManager facilitates care coordination across providers, tracks clinical quality measures and outcomes and manages authorizations and claims across care providers. Netsmart has proposed CareManager as an optional solution.

**CarePathways™:** CarePathways offers analytics, benchmarking, business intelligence and other solutions that help organizations make educated operational, financial, clinical and organizational climate decisions. Netsmart has proposed CarePathways as an optional solution.



**CarePointe™:** Arms clinicians with the information they need to have meaningful discussions with clients during their visits. CarePointe empowers clients with the communication tools needed to engage in the full spectrum of their own care, allowing them to play a more active role in their treatment. Netsmart has proposed components of CarePointe.

**CarePOV™:** Brings the EHR to mobile platforms and provides the user with a convenient way to access the information contained in their enterprise solution. These apps provide the right workflow and the right information to the right user at the right time. They are not meant to recreate the enterprise CareRecord on a phone or tablet; instead the apps pull out specific functionality from the EHR so it can be supported on different devices. Netsmart has proposed components of CarePOV.

**Plexus™:** Netsmart's Plexus services are designed to help organizations manage business and systems more effectively, allowing providers to focus on their core priority: serving clients. These on-demand services ensure predictable results as needed, when needed. All proposed and optional solutions are delivered through Netsmart Plexus services.

**Netsmart Homecare™:** Netsmart Homecare is a fully integrated system that automates processes from patient intake to billing and account receivables. Homecare supports multiple lines of business – home health, hospice and private duty – by seamlessly combining business, clinical and schedule functionality. Homecare also integrates with the full CareFabric suite of solutions and services including: CareConnect™, CareManager™, CarePathways™ and Plexus.

**Netsmart Long-term Care:** Netsmart supplies clinical, financial and reporting solutions for providers in need of long-term care software and technology. Netsmart solutions support multiple lines of business within long-term care including: assisted/independent living, skilled nursing, continuing care retirement communities and private duty. Our Long-term Care solutions also integrate with the full CareFabric suite of solutions and services including: CareConnect™, CareManager™, CarePathways™ and Plexus.

## Industry Leader

Netsmart demonstrates its commitment to the behavioral health community through its continued work in Washington, D.C.

Netsmart is a founding member of the Behavioral Health IT Coalition (BHIT), an advocacy group comprised of key organizations, working in both houses of Congress for passage of corrective legislation to extend MU incentive eligibility to community mental health centers, psychiatric hospitals, clinical psychologists and addiction services providers. If successful, this would allow behavioral health centers and children and family services facilities to be on par with the physical health world.

As the knowledge and technology leader in human services, Netsmart is committed to advocacy on key issues that impact our clients' ability to provide critical services and coordinated, integrated care.

Netsmart is:

- a Presidential Sponsor of the National Association of Psychiatric Health Systems (NAPHS), sharing knowledge and thought-leadership at its annual conference and joining them in public policy initiatives.
- a Premiere Corporate IT Member of the National Association of Counties (NACo), sharing knowledge and thought-leadership at its annual conference and joining them in public policy initiatives.
- a Corporate Partner of the National Council for Behavioral Healthcare, sharing knowledge and thought-leadership at its annual conference and joining them in public policy initiatives.

- a Gold Partner of the National Association of County Behavioral Health and Developmental Disabilities Directors, participating in their annual legislative and policy conference, conducting webinars for their members, and providing articles on topics of interest for the association's newsletter.
- an Emerald Sponsor of HIMSS.

State Affiliations include:

- Participate with the Texas Council at the Consortium level
- Affiliate Member of the New York Coalition of Behavioral Health Agencies
- Alliance Member of the California Alliance of Child and Family Services
- Friend of the Council for the North Carolina Council of Community Programs
- Affiliate Member of the Ohio Council of Behavioral Health and Family Services Providers

Netsmart understands the value of implementing a more comprehensive, integrated approach to services and care. Netsmart supports clinicians with innovative solutions to navigate the ever-changing healthcare landscape and continuously transform quality of care.

## Netsmart's Public Sector Experience

Netsmart has unparalleled experience with public sector organizations, installing our first statewide system for the commonwealth of Kentucky in 1984. Netsmart is experienced with public sector contract policy, procedures and funding practices, and human service systems with clients in 40 states, more than 200 counties and Health Authorities, and 130 local public health districts including:

- The County of Los Angeles Department of Mental Health, where Netsmart solutions support over 200 city operated clinics, 500 contract agencies and more than 10,000 users, all in Netsmart's Plexus Cloud environment
- Other county-operated systems, including 19 in California (such as San Francisco, Monterey, San Mateo and Sacramento) as well as 21 local public health districts in Michigan
- 40 state behavioral health systems including New Mexico, Arizona, Nevada, Wyoming, Texas, Oklahoma, Georgia and Oregon
- Several statewide public health systems including North Carolina, Tennessee, and Nevada (for Vital Records)

Our ability to serve in the Government sector is founded on the principles of operating flexibility, consideration of budgetary constraints, long-term partnership (where most contracts exceed 10 years) and understanding of public sector processes. As a long term member of the National Association of Counties and the sponsor of public sector user associations within the Netsmart Community, Netsmart brings years of experience with Government / County Public Health organizations to your implementation.

Pricing for Netsmart is outlined below:

| <b>Netsmart's Proposed Solutions</b>   | <b>Year 1</b>          | <b>Year 2</b>          | <b>Year 3</b>          | <b>Year 4</b>          | <b>Year 5</b>          |
|--|------------------------|------------------------|------------------------|------------------------|------------------------|
| <b>One -Time Software and Services</b>   |                        |                        |                        |                        |                        |
| <b>SOFTWARE</b>  |                        |                        |                        |                        |                        |
| Avatar RADplus Licenses (Avatar Modules Includes - Avatar PM, Avatar CWS, Incident Tracking, Electronic Signature, Order Entry, eMar, General Ledger Interface, Web Services Suite, MSO, State Forms, Data Warehouse Middleware, Identity Manager, Master Patient Index, Addiction Management) | \$ 855,682.98          |                        |                        |                        |                        |
| <b>Total Netsmart Programs</b>   | <b>\$ 855,682.98</b>   |                        |                        |                        |                        |
| <b>3rd Party Software</b>  |                        |                        |                        |                        |                        |
| Document Capture - Powered by Perceptive License   | \$ 17,500.00           |                        |                        |                        |                        |
| <b>3rd Party Hardware</b>  |                        |                        |                        |                        |                        |
| OrderConnect - EPCS Per Prescriber Setup (Hard & Soft) + S&H   | \$ 1,520.00            |                        |                        |                        |                        |
| myHealthPointe standing Kiosks - 10 Kiosks   | \$ 6,000.00            |                        |                        |                        |                        |
| myHealthPointe Kiosk Windows Computer - 10 Microsoft Surface Pro - Tablet, Keyboard & Stylus   | \$ 9,990.00            |                        |                        |                        |                        |
| M*Modal 70 Devices, includes 1 hour training per device  | \$ 32,550.00           |                        |                        |                        |                        |
| <b>Total third party items</b>   | <b>\$ 67,560.00</b>    |                        |                        |                        |                        |
| <b>PROFESSIONAL SERVICES</b>   |                        |                        |                        |                        |                        |
| Avatar Professional Services (Includes all Avatar Modules) (EHR Suite Implementation, Web Services, State Forms, Data Warehouse, MSO, ProviderConnect, Order Entry, eMAR, Identity Manager, Clinician, State Reporting)  | \$ 603,670.00          |                        |                        |                        |                        |
| Additional 2,000 hours Professional Services T*M   | \$ 340,000.00          |                        |                        |                        |                        |
| Data Migration - bucket of 420 hours   | \$ 71,400.00           |                        |                        |                        |                        |
| CareConnect HIE, Inbox, CareEquality, Inbound labs, Outbound labs  | \$ 34,000.00           |                        |                        |                        |                        |
| CarePathways 1115 Measures Professional Services   | \$ 40,800.00           |                        |                        |                        |                        |
| CarePathways Measures Reporting Implementation   | \$ 34,000.00           |                        |                        |                        |                        |
| OrderConnect & EPCS  | \$ 10,710.00           |                        |                        |                        |                        |
| myHealthPointe   | \$ 11,050.00           |                        |                        |                        |                        |
| myHealthPointe Kiosk   | \$ 11,050.00           |                        |                        |                        |                        |
| Med Note (onsite) & CarePOV Clinician  | \$ 20,400.00           |                        |                        |                        |                        |
| Perceptive   | \$ 11,900.00           |                        |                        |                        |                        |
| Plexus Cloud Services - Perceptive   | \$ 5,100.00            |                        |                        |                        |                        |
| Hosting Setup Fee - Avatar (Large)   | \$ 50,000.00           |                        |                        |                        |                        |
| Addiction Management Data Conversion and Implementation  | \$ 19,380.00           |                        |                        |                        |                        |
| CareManager  | \$ 77,350.00           |                        |                        |                        |                        |
| M*Modal  | \$ 6,630.00            |                        |                        |                        |                        |
| KPI Dashboard  | \$ 14,450.00           |                        |                        |                        |                        |
| <b>Total Professional Services</b>   | <b>\$ 1,361,890.00</b> |                        |                        |                        |                        |
| <b>Total Software and Services</b>   | <b>\$ 2,285,132.98</b> |                        |                        |                        |                        |
| <b>Annual Re-occurring</b>   |                        |                        |                        |                        |                        |
| <b>MAINTENANCE &amp; SUPPORT</b>   |                        |                        |                        |                        |                        |
| Total Software Maintenance   |                        | \$ 177,459.98          | \$ 177,459.98          | \$ 182,783.78          | \$ 188,267.29          |
| Document Capture - Powered by Perceptive Maintenance   | \$ 3,675.04            | \$ 3,675.04            | \$ 3,675.04            | \$ 3,785.29            | \$ 3,898.85            |
| <b>PLEXUS HOSTING</b>  |                        |                        |                        |                        |                        |
| Perceptive - DR - Named User - 906 users   | \$ 51,750.72           | \$ 51,750.72           | \$ 51,750.72           | \$ 53,303.24           | \$ 54,902.34           |
| Avatar - Disaster Recovery - Named User  | \$ 217,440.00          | \$ 434,880.00          | \$ 434,880.00          | \$ 447,926.40          | \$ 461,364.19          |
| ScriptLink Hosting   | \$ 8,400.00            | \$ 8,400.00            | \$ 8,400.00            | \$ 8,652.00            | \$ 8,911.56            |
| Datawarehouse Middleware - High Availability   | \$ 9,600.00            | \$ 9,600.00            | \$ 9,600.00            | \$ 9,888.00            | \$ 10,184.64           |
| <b>SUBSCRIPTIONS</b>   |                        |                        |                        |                        |                        |
| CareConnect - HIE, Outbound, CareEquality, Inbound   | \$ 3,400.00            | \$ 10,200.00           | \$ 10,200.00           | \$ 10,506.00           | \$ 10,821.18           |
| CareConnect Inbox  | \$ 15,402.00           | \$ 46,206.00           | \$ 46,206.00           | \$ 47,592.18           | \$ 49,019.95           |
| myHealthPointe Portal  | \$ 14,166.67           | \$ 42,500.00           | \$ 42,500.00           | \$ 43,775.00           | \$ 45,088.25           |
| myHealthPointe Kiosk   | \$ 5,100.00            | \$ 15,300.00           | \$ 15,300.00           | \$ 15,759.00           | \$ 16,231.77           |
| OrderConnect Prescriber - 80 prescribers   | \$ 28,288.00           | \$ 84,864.00           | \$ 84,864.00           | \$ 87,409.92           | \$ 90,032.22           |
| OrderConnect Non Prescriber - 25 non prescribers   | \$ 1,105.00            | \$ 3,315.00            | \$ 3,315.00            | \$ 3,414.45            | \$ 3,516.88            |
| OrderConnect Base Fee  | \$ 425.00              | \$ 1,275.00            | \$ 1,275.00            | \$ 1,313.25            | \$ 1,352.65            |
| OrderConnect EPCS - 20 EPCS prescribers  | \$ 544.00              | \$ 1,632.00            | \$ 1,632.00            | \$ 1,680.96            | \$ 1,731.39            |
| ProviderConnect - min of 50  | \$ 7,012.50            | \$ 21,037.50           | \$ 21,037.50           | \$ 21,668.63           | \$ 22,318.68           |
| CarePOV Medical Note - Psychiatry 80 user  | \$ 13,328.00           | \$ 39,984.00           | \$ 39,984.00           | \$ 41,183.52           | \$ 42,419.03           |
| CarePathways - 10 HealthHomes measures, CCBHC & 1115 waiver's combined 26 measures   | \$ 14,552.00           | \$ 43,656.00           | \$ 43,656.00           | \$ 44,965.68           | \$ 46,314.65           |
| KPI Dashboard - Medium Clinic - Up to 50 users   | \$ 5,027.75            | \$ 15,083.25           | \$ 15,083.25           | \$ 15,535.75           | \$ 16,001.82           |
| CarePOV Clinician - Disconnected - 75 Users  | \$ 8,415.00            | \$ 25,245.00           | \$ 25,245.00           | \$ 26,002.35           | \$ 26,782.42           |
| Ultimedex Suite Prescription (OE & eMar) - 16 beds   | \$ 2,465.76            | \$ 2,465.76            | \$ 2,465.76            | \$ 2,539.73            | \$ 2,615.92            |
| Ultimedex Prescriber User Subscription   | \$ 360.00              | \$ 360.00              | \$ 360.00              | \$ 370.80              | \$ 381.92              |
| Diagnosis Content on Demand Subscription   | \$ 9,180.00            | \$ 9,180.00            | \$ 9,180.00            | \$ 9,455.40            | \$ 9,739.06            |
| CareManager - 5,000 lives  | \$ 52,000.00           | \$ 156,000.00          | \$ 156,000.00          | \$ 160,680.00          | \$ 165,500.40          |
| M*Modal subscription 201-500 concurrent users  | \$ 10,833.33           | \$ 32,500.00           | \$ 32,500.00           | \$ 33,475.00           | \$ 34,479.25           |
| Wiley Libraries - based on clinical users 3 year term  | \$ 8,640.00            | \$ 25,920.00           | \$ 25,920.00           | \$ 26,697.60           | \$ 27,498.53           |
| <b>Total Annual Reoccurring</b>  | <b>\$ 482,470.77</b>   | <b>\$ 1,236,569.25</b> | <b>\$ 1,236,569.25</b> | <b>\$ 1,273,666.33</b> | <b>\$ 1,311,876.32</b> |
| <b>Total</b>   | <b>\$ 2,767,603.75</b> | <b>\$ 1,236,569.25</b> | <b>\$ 1,236,569.25</b> | <b>\$ 1,273,666.33</b> | <b>\$ 1,311,876.32</b> |
|  |                        |                        |                        | <b>Total 5 YR</b>      | <b>\$ 7,826,284.89</b> |

Some of the expenses above will be offset by savings as currently utilized products are disabled, currently estimated at over \$315,000 per year.

During the meeting, Brooke Martin, Amina Ghazi, and Robert Dominguez will give additional information on their perspectives of Netsmart.

**Recommendation:**

Staff recommends that the Integral Care Board authorize the Chief Executive Officer and/or his designee to finalize negotiations and execute a contract with Netsmart for provision of the Electronic Health Record.

**VI. Discuss and Take Appropriate Action on the Approval of Liability Insurance Carriers to Include Property, Liability, Crime, Business Automobile Policy, Directors and Officers Liability Policy, and Workers' Compensation Policy for FY 2019 and Authorize the Chief Executive Officer or His Designee to Execute Contract Documents**

*(To be distributed at meeting)*

**David Weden**

## VII. Update on Fiscal Year 2019 Business Plan

**David Weden**

## **VIII. Report on Three Recent Major Incidents within Management Information Services**

**David Weden**

**Tony Ball**

# Memorandum

**To: Board of Trustees**

**From: David Weden, Chief Administrative Officer/Chief Financial Officer**

**Re: Report on Three Recent Major Incidents within Management Information Services**

**Date: June 25, 2018**

Three major incidents occurred with the Management information Systems over the last month and a half. Following are summaries of each incident:

## **Background:**

### **Incident #1**

#### **Summary of April 30, 2018 Cerner, SQL database failure**

On April 30, 2018 Cerner Structured Query Language (SQL) database server had an operating systems failure and broke the failover cluster. The incident could have been caused by anything internal to SQL, to a corruption in a file. Management Information Services cannot find what happened in the log files. When this happened the local drives on the Cerner SQL server were deleted. The System Administrator and the Applications Manager discussed and tried to recover the latest data but were unable to, due to the configuration of the Cerner SQL database. To recover the data the server was rebuilt from the latest backup. All data entered from 9:01pm 4/29/2018 to 2pm 4/30/2018 was overwritten. Therefore, once the database was restored it only saved entries made prior to 9:01pm on 4/29/2018. Due to this being a full backup recovery no data entered is retrievable. Cerner returned to full operation at 2:30 am 5/01/2018, with backed-up data from 04/29/2018 9:00pm.

Contacted Microsoft (MS), MS is currently reviewing programs from a fail/safe prospective, since the server was backed up to 4/29/2018, logs were deleted.

Things put in place to minimize the chances of this happening:

1. Reboot servers weekly during maintenance downtime
2. Clear Table Definition Files weekly during maintenance downtime
3. Monthly table defragmentation during monthly downtime

Maintenance Downtime is every Saturday 2am-4am.



Once Integral Care moves to a co-location for data services that will include a hot recovery site for disaster recovery, a constant live backup will be kept at Collier. Implementing a constant live backup system will allow take over if the main system is down. Please understand, if one system is down the other will remain up for users to continue work. Management Information Services is working hard to avoid any incident of this magnitude from happening again, as we understand the effects on agency and patient care.

A more detailed timeline of the events follow:

#### **4/30**

2pm – reports of Cerner being down

- Checked virtual machine to see if was still running.
- All database drives were gone. Unable to see any data.
- Restarted CernerApp and CernerSQL servers
- Checked VM host to see if any issues
- Checked iSCSI connections from VM (Virtual Machine) to SAN (Storage Area Network)

2:20pm

- All Staff email sent acknowledging the outage and advising that MIS is actively working on the issue

2:32pm

- Started VM recovery with expectation that we could recover database data to 1pm of the same day.

11:01pm

- Completed recovery to 4/29 9pm.
- Attempted to apply incremental backups to Cerner databases unsuccessfully

#### **5/1**

1:15am

- Contacted Cerner 24/7 support and opened a ticket

2:01am

- worked with Cerner support to restore data.
- While working with Cerner we discovered that the database was set to “Simple Recovery” and was unable to apply any incremental log backups.

2:28am

- Cerner environments turned on and staff informed that they can now log in.

7:56am

- All Staff email sent instructing that the system was restored from back-up and that all documentation entered after 9pm on 4/29 would need to be re-entered.

#### **5/2-5/3**

- The Microsoft tech ran a diagnostic scan and all information sent to the tech. He was unable to provide a reason the server lost the SQL drives as all server logs were reset when the server was restored from the backup.
- Microsoft said that it could have been an update to the server, but once we reverted to the backup, the logs were changed.

## **Incident #2**

### **June 18, 2018 Phishing Attack on Integral care Network Summary**

On June 18, at 10:33am email account was hacked by someone from an internet Protocol (IP) address in South Africa. The culprit used a password scanning device to obtain the individual's password and get into the individual's outlook account. At 11:49am an AllStaff email was sent from the account, with an attached Phishing link. The email appeared to be legitimate as it was from an internal employee. Many users called Management Information Services (MIS) stating the email look suspicious, but many also clicked the link and or replied. Due to the nature of the email being internal to Integral Care's network, the MIS Director made a decision to force password resets and restart all devices on the network. Time was important, to avoid data compromise. At 12:05pm an all user email was sent informing them what was about to happen (force password change /re-start).

Forcing a password change would avoid other accounts from being compromised. In addition, the foreign IP address was blocked from access. While devices were being reset MIS contacted Secure Data Solutions to immediately start a scan on the network, and look for anything suspicious. Microsoft was also contacted to assist with the servers and Office 365 logs. By 4:20 pm all users and servers were operational at full capacity. This incident was a security wake up call for Integral Care, although quick response adverted any known data compromise, the situation could have been worse. The full scan will be completed on Wednesday June 20, 2018 and final results will be reviewed.

## **Incident #3**

### **June 19, 2018 Outage report (Dove Springs)**

City Power went out at the Doves Spring location at 11:02am and was down for 28 minutes. The Dove Springs location includes the Hotline and Call Center as well as other services. All Integral Care switches remained operational but the main circuit which is connected through the Internal Revenue Service side went down. The main circuit outage brought the call center down including the crisis hotline. Calls to the call center and hotline began rolling over to the main number at 1430 Collier. Call Center employees were in the process of moving to 1430 Collier to resume taking calls when power was restored and phones were operational again at the Dove Springs location.

Management Information Services was able to pull the list of individuals who called while the call center and hotline were down. Call center personnel began reaching out to the approximately 50 individuals that called during the outage.

MIS will meet with Crisis Hotline personnel to work on a more efficient solution to accommodate potential future unplanned outages. MIS will also coordinate with IRS to routinely inspect the equipment and see if the Uninterruptible Power Supply (UPS) is in place and operational.

## **IX. Update on Resource Development**

**Jodie Eldridge**

# RESOURCE DEVELOPMENT QUARTERLY REPORT - Q3

March, April, May 2018

| FOUNDATION                                 | STAGE              | AMOUNT             | INITIATIVE   |
|--|--------------------|--------------------|--|
| St. David's Foundation                     | AWARDED            | \$685,907          | Mental Health First Aid Renewal  |
| <b>TOTAL</b>                               |                    | <b>\$685,907</b>   |  |
| Bank of America                            | PENDING            | \$25,000           | Healthy Community Collaborative Client Assistance  |
| SAMHSA                                     | PENDING            | \$1,320,000        | Austin/Travis County Early Criminal Justice Diversion through Mobile Crisis Outreach                   |
| Dept of Justice                            | PENDING            | \$1,000,000        | ANEW - Second Chance to provide reentry services   |
| SAMHSA                                     | PENDING            | \$475,505          | Treatment for Individuals Experiencing Homelessness (with UT)  |
| Travis County                              | PENDING            | \$360,100          | Behavioral Health Services - transition-aged youth ages 17-24  |
| Texas Health and Human Services Commission | PENDING            | \$902,000          | Projects for Assistance in Transition from Homelessness (PATH)   |
| SAMHSA                                     | PENDING            | \$678,000          | ACT team   |
| <b>TOTAL</b>                               |                    | <b>\$4,760,605</b> |  |
| SAMHSA                                     | <b>SUBMITTED</b>   | \$125,000          | * due 6/8 Mental Health Awareness Training Grants  |
| SAMHSA                                     | <b>SUBMITTED</b>   | \$400,000          | * due 6/11 Community for Outreach Intervention w/Youth and Young Adults at clinical risk for psychosis |
| SAMHSA                                     | <b>IN PROGRESS</b> | \$500,000          | * due 6/29 Infant and Early Childhood Mental Health Grant Program                                      |
| SAMHSA                                     | <b>IN PROGRESS</b> | \$2,000,000        | * due 7/9 SAMHSA CCBHC Expansion grant   |
| Health Human Services                      | <b>IN PROGRESS</b> | \$250,000          | * due 7/17 Innovations in Nutrition Programs and Services  |

|  |          |           |   |
|--|----------|-----------|---|
| Austin Public Health                             | DECLINED | \$400,000 | Rapid Re-housing                                  |
| Austin Community Foundation - Women's Fund (LOI) | DECLINED | \$50,000  | Healthy Community Collaborative Client Assistance |
| The Clayton Fund                                 | DECLINED | \$150,000 | Housing First Oak Springs                         |

# **X. New Milestones Foundation Report**

**Mary Hearon**



F O U N D A T I O N

Supporting Mental Health  
Through Integral Care

# New Milestones Foundation Report

FY 2017-2018    ★    3<sup>rd</sup> Quarter Report

June 25, 2018





## BOARD OF DIRECTORS – FY 2017-2018

Scott Hector, Ph.D. – President

Rachel Gunner, LCSW, BCD, CPC – President Elect

Nickie Froiland – Secretary

Frank Hoffman - Treasurer

Hadley Hempel

Richard Hopkins

Hal Katz

Lynley Prather

Bill Robbins

Stacy Strakowski



## **GOAL 1: RAISING RESOURCES**

### **FUNDRAISING EVENTS**

- New Milestones Foundation
  - Darden Smith concert – April 12, 2018
    - Attendance:  $\approx$  40                      Net Revenue: \$883
- Benefit Events
  - *Blue October* concert – April 14, 2018
    - Hosted by 101X Alternative Austin Radio                      Location: The Mohawk
    - Proceeds to be received by EOM June 2018.



## *17<sup>th</sup> Annual Bridging the Gap Gala*

Sponsorships Available Now

\$20,000 Pennybacker Bridge TITLE SPONSOR

\$10,000 First Street Bridge

\$ 7,500 Mopac Bridge

\$ 5,000 Lamar Bridge

\$ 2,500 Congress Bridge

**SAVE THE DATE**


New Milestones  
FOUNDATION


**2018 BRIDGING THE GAP GALA**

**Honoring Clay Johnston, M.D.**  
Dean, Dell Medical School  
The University of Texas at Austin

Thursday, November 1<sup>st</sup> - 6:30-8:30PM  
Westin Austin at the Domain

*Co-Chairs: Hadley Hempel & Lynley Prather*



Benefiting the programs and services of  
 **Integral Care**

## **GOAL 2: RAISING AWARENESS**

### **SPEAKERS BUREAU**

- May 11, 2018 - Northwest Austin Rotary
  - Speaker: Craig Franke, M.D. – “An Update on Opioid Use Disorder”

### **COMMUNICATIONS**

- PRESS RELEASE
  - Announcing MGH on PR Web – April 18, 2018
- FACEBOOK – 38 postings since January
- WEBSITE
  - Goal: New and improved site – live by EOM June 2018

## INFRASTRUCTURE

### Personnel

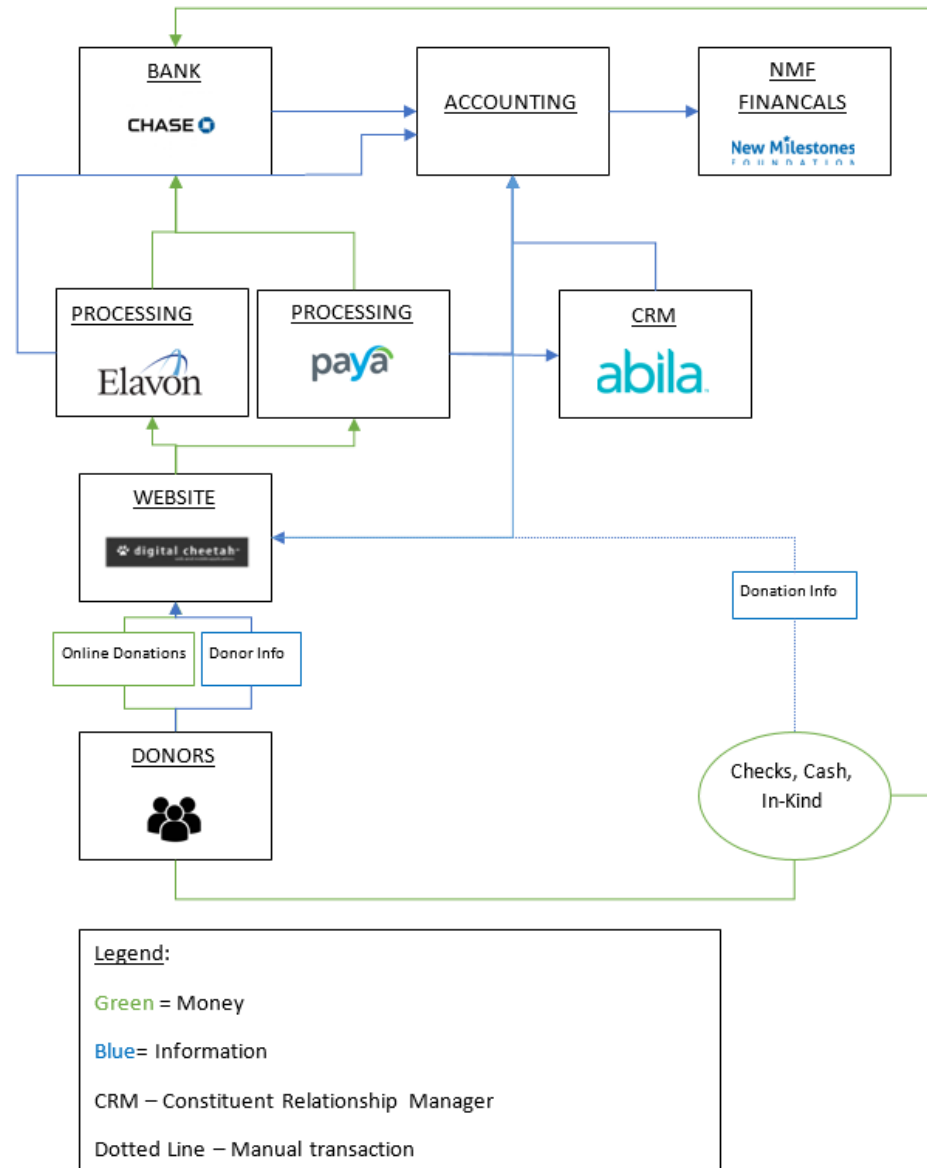
- Hired Foundation Associate – Peter Herlin
  - Began work: May 29, 2018
- CRM (Constituent Relationship Management)
  - Selected: Keela
  - Implementation to coordinate with launch of new website



## INFRASTRUCTURE

### Financial and Information Flow

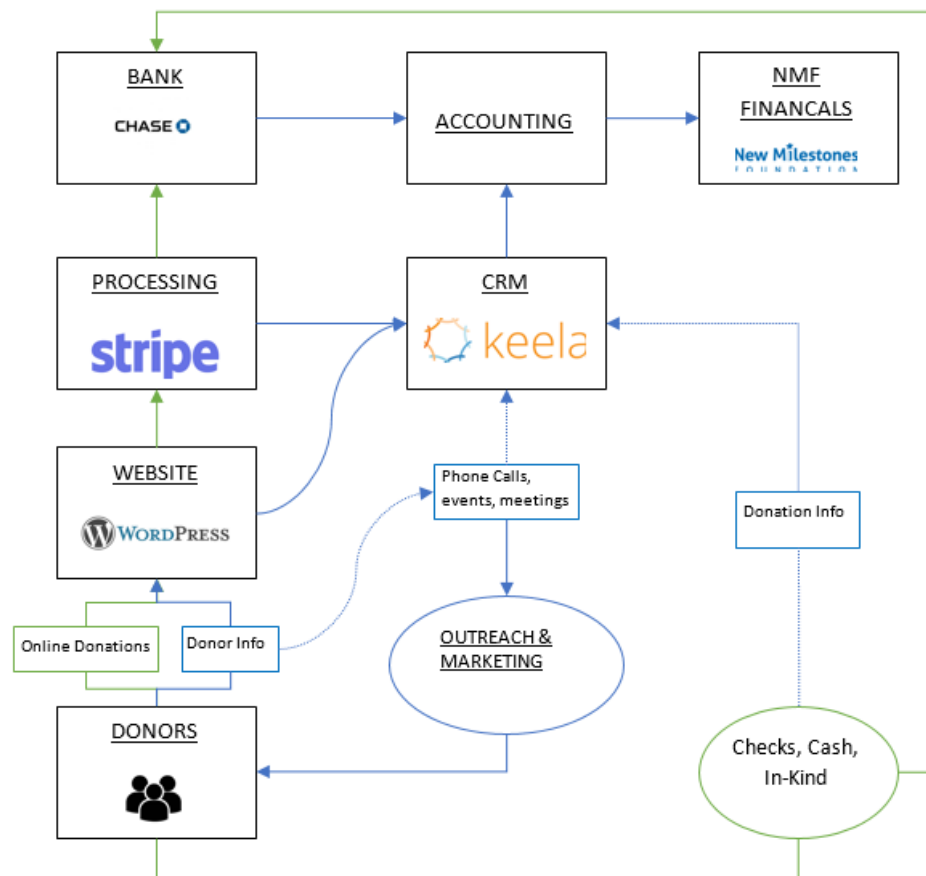
What Is



## INFRASTRUCTURE

### Financial and Information Flow

What Will Be



#### Legend:

Green = Money

Blue = Information

CRM – Constituent Relationship Manager

Dotted Line – Manual transaction

# New Milestones

F O U N D A T I O N

---

Supporting Mental Health  
Through Integral Care

*Mary Gatlin Hearon*

Director

[mary.hearon@integralcare.org](mailto:mary.hearon@integralcare.org)

512-440-4051

[www.newmilestones.org](http://www.newmilestones.org)

# **XI. Update on Transformation 1115 Waiver (Verbal Report)**

**Kimberly Macakiage**

## XII. Announcements



# XIII. New Business

- Identify Consent/Non-Consent Agenda Items
  - Consent: Items III, VI
  - Non-Consent: Item IV, V
  - Proposed Budget Work Session Date – August 16<sup>th</sup> at 5:00 p.m.

## August 2018

| Sunday | Monday                          | Tuesday | Wednesday | Thursday  | Friday | Saturday |
|--------|---------------------------------|---------|-----------|---|--------|----------|
|        |                                 |         | 1         | 2   | 3      | 4        |
| 5      | 6                               | 7       | 8         | 9   | 10     | 11       |
| 12     | 13                              | 14      | 15        | Proposed<br>Budget Work<br>Session<br>5:00 p.m. | 17     | 18       |
| 19     | 20                              | 21      | 22        | P & O<br>Meeting                                | 24     | 25       |
| 26     | Finance<br>Committee<br>Meeting | 28      | 29        | Board of<br>Trustees<br>Meeting                 | 31     |          |

## XIV. Citizens' Comments